Horse riding and the shape of the acetabulum: Insights from the bioarchaeological analysis of early Hungarian mounted archers (10th century)
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Running title
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Abstract
Horse riding is a human activity that has particularly interested bioanthropologists and paleopathologists working on the reconstruction of activities from skeletal changes in ancient populations. However, various sample and methodological limitations, such as the absence of direct evidence connecting the individuals and the activity, result in a lack of confidence regarding what changes should be included in the so-called “Horse Riding Syndrome”. Focusing on the ovalization of the acetabulum, regularly mentioned in literature, we analyzed comparative samples of presumed riders and non-riders to evaluate its reliability for the identification of horse riding.

We relied on a Hungarian Conquest period collection (10th century CE), including several individuals associated with horse riding equipment or horse bones in the graves. Direct and easily repeatable measurements were used to calculate an index of ovalization of the acetabulum (IOA). The index values were compared according to the presence or absence of archaeological deposit. An extra-group of presumed non-riders from the documented Luís Lopes Skeletal Collection (Lisbon) was used for comparison.

Early Hungarians buried with horse-related grave goods exhibited a higher overall IOA compared to the ones without, and those known not to ride ($p = 0.049$ in the latter case, with left and right values combined).

Our results suggest that the ovalization of the acetabulum may indeed be a promising indicator to be included in a set of markers for horse riding. The analysis of further different types of pathological and non-pathological skeletal changes (e.g. joint and entheseal changes) will contribute to a more reliable identification of horse riders in anthropological collections.
INTRODUCTION

Bone changes of different types have been interpreted for decades as activity, occupational or stress markers, with an important step forward from the 1980’s (e.g. Capasso et al., 1999; Dutour, 1986; Hawkey and Merbs, 1995; Henderson and Alves Cardoso, 2013; Jurmain, 1999; Kennedy, 1989; Knüsel, 2000; Mariotti et al., 2004; Milella et al., 2015; Niinimäki, 2012; Pálfi and Dutour, 1996; Perréard Lopreno, 2007; Villotte et al., 2010). Among all human activities, horse riding brought profound and lasting changes in the history of human cultural evolution concerning major aspects such as trade, settlement, warfare, subsistence, social organization and political ideology (Anthony, 2007). Archaeological evidence suggests that horse domestication likely started in the Botai culture, in the Kazakh steppe, around 3500 years BCE (Outram et al., 2009).

Analyses of horse skeletal remains identified promising markers of equestrian activity (Bulatović et al., 2014; Pluskowski et al., 2010; Taylor et al., 2015), bit wear being even often considered as a direct and unmistakable sign of riding (Anthony, 2007). Unfortunately, the existence of such a direct link between specific skeletal changes and the practice of horse riding has not been yet unarguably demonstrated regarding humans. Bioarchaeological research on horse riding has developed strongly, with a growing interest from the beginning of the 1990’s especially and the early contributions of Miller on historic Native American Omaha and Ponca skeletal remains (Miller, 1992; Miller and Reinhard, 1991; Reinhard et al., 1994) and Pálfi on a Hungarian cemetery of the 10th century CE (Pálfii, 1992, 1997; Pálfi and Dutour, 1996).

“Horse(back) Riding Syndrome” commonly refers to a combination of skeletal changes repeatedly observed and interpreted as signs of riding. It covers various types of pathological and non-pathological changes, which were previously reviewed (Baillif-Ducros et al., 2012; McGrath, 2015).

To summarize, they can be categorized in different groups of indicators: a) spinal changes: osteoarthritis, Schmorl’s nodes, thoracic and lumbar wedging (eg. Baillif-Ducros et al., 2012; Blondiaux, 1994; Karstens et al., 2017; Reinhard et al., 1994; Üstündag and Deveci, 2011; Wentz and de Grummond, 2009); b) extraspinal joint changes: coxarthrosis, gonarthrosis (eg. Baillif-Ducros and McGlynn, 2013; Fornaciari et al., 2014); c) enthesal changes: adductor, gluteal, quadriceps, hamstring, external obturator and soleus muscles (eg. Belcastro et al., 2001; Blondiaux, 1994; Djukic et al., 2018; Miller, 1992; Pálfí, 1992; Tichnell, 2012); d) morphological variations: femoral head-neck junction changes (Poirier’s facet, plaque, cervical fossa of Allen), elongated acetabula (eg. Baillif-Ducros et al., 2012; Courtaud and Rajev, 1998; Dutour and Buzhilova, 2014; Erickson et al., 2000; Molleson and Blondiaux, 1994; Pálfí, 1992); and e) traumatic lesions resulting from riding accidents, at the clavicle, radius/ulna, carpal bones, skull, tibia/fibula, ribs (eg. Andelinović et al., 2015; Khudaverdyan et al., 2016; Ki et al., 2018).

The lack of specificity of the markers and problems of equivalence between contemporary references and historical cases are among the many limitations to the use of skeletal changes as activity markers in archaeological samples (e.g. Dutour, 1992; Jurmain et al., 2012). While clinical and sport medicine data may provide valuable information and guide the bioarchaeological analyses, the existence of a clear archaeological, historical or ethnographic context is crucial to support the link between skeletal changes and a specific activity. Unfortunately, the contextual data provided in the studies are often insufficient. Although it must not be considered as absolute evidence, only the presence of archaeological goods related to equestrian activity in the grave may represent a robust indicator that one individual among others might be a rider. Furthermore, the studies relying on direct archaeological evidence rarely concern more than a handful of subjects (when not only one), which prevents from performing systematic observations and identifying reliable specific changes. With these aspects in mind, we aim to contribute to improving the recognition of horse riding-
related skeletal changes to identify more reliably the presence of riders in anthropological collections.

Among all skeletal changes related to horse riding, we focused here on the modification of the shape of the acetabulum, indicator which is quite consensual (Baillif-Ducros and McGlynn, 2013; Baillif-Ducros et al., 2012; Courtaud and Rajev, 1998; Erickson et al., 2000; Fornaciari et al., 2014; Larentis, 2017; Miller, 1992; Miller and Reinhard, 1991; Pálfi, 1992; Pálfi and Dutour, 1996; Reinhard et al., 1994; Üstündag and Deveci, 2011). Changes consist in an ovalization of the acetabulum or an expansion/elongation of the rim, which can be anterior-superior or superior. The results of a visual examination greatly depend on the experience of the observer, thereby limiting the reliability and reproducibility of the observations. Therefore, different methodologies have been experimented to identify precise, reliable and repeatable criteria to describe the acetabular shape changes. Erickson et al. (2000) performed a Fourier analysis on adult males from two Native American Arikara populations, respectively presumed riders (24 acetabula) and non-riders (37 acetabula). They observed significant differences (set level of \( \alpha = 0.1 \)) between both groups, with expanded anterior-superior acetabular rims in the presumed riders group. Later on, a morphometric analysis of the acetabular rim shape performed with the use of 3D scans on samples of ancient Mongolian pastoralists (34 coxae) revealed significant differences between the Scythians and the other Mongolian samples, but not with a reference collection (Eng et al., 2012). According to the authors, these results could be related to the riding style or sample and methodological limitations. Finally, through direct measurements and the calculation of an index, one probable Merovingian horse rider revealed very elongated acetabula compared to a reference collection (Baillif-Ducros et al., 2012), while, with the same method, Sarry et al. (2016) did not observe particularly elongated acetabula in a La Tène period mass burial associating eight horses and eight human individuals. However, bone preservation was a limiting factor. This last methodological approach was the one adopted in this paper.

The position that is privileged by riders is characterized by a pelvis in retroversion (tilted backward) and a diminished lumbar lordosis that allows a better absorption of vertical stresses (Auvinet, 1999; Humbert, 2000). Baillif-Ducros et al. (2012) explain how changes in the acetabular shape can result from pressure of femoral head on the superior and anterior-superior parts of the acetabula when the hip is in a rider position (Fig. 1).

Historical and archaeological sources attest that Hungarian, or Magyar, tribes conquered the Carpathian Basin at the end of the 9th and the beginning of the 10th century with powerful armies of mounted archers, resulting in the foundation of the Kingdom of Hungary by Stephen I in the year 1000 or 1001 (Engel, 2001). In many cemeteries from that period, pieces of archery and horse riding equipment, as well as horse bones, were deposited in the graves (Révész, 2003). The close association between these items and the skeletons, together with the well-known historical context, allows postulating that the concerned individuals were riders. This population is, therefore, one of the most relevant for methodological investigations of activity-related skeletal changes, especially those regarding horse riding, already identified in skeletal remains of medieval Hungarians (Józsa et al., 1991; Pálfi, 1992; Pálfi and Dutour, 1996; Pap, 1985).

We followed an approach previously applied in studies about skeletal changes related to archery and horse riding in particular (Belcastro et al., 2007; Belcastro and Facchini, 2001; Thomas, 2014; Tihanyi et al., 2015), which provided promising results in an exploratory investigation (Berthon et al., 2016). The objective is to analyze the acetabular shape according to the presence or absence of archaeological deposits related to the investigated activity in the graves. Specifically, through a comparison between two subgroups of Hungarians, those with and without a horse-related deposit,
as well as with an extra-group of non-riders, we test the hypothesis that the skeletons associated with a funeral deposit present a stronger ovalization of the acetabulum, indicating a horse riding practice.

**MATERIALS AND METHODS**

**A unique cemetery from the Conquest period**

The cemetery of Sárrétudvari-Hizóföld, located in the Northern Great Plain region of eastern Hungary, was excavated by Ibolya M. Nepper between 1983 and 1985. The graves delivered a large number of archaeological goods, such as jewels, elements of clothing or weapons (Nepper, 2002). Those included, in particular, pieces of archery (antler bow plates, quivers, arrowheads) and horse riding equipment (stirrups, bits, girth buckles, saddles). Several graves also contained horse bones (skull and extremities), that were, in some cases, folded inside the horsehide before being deposited in association with the individuals (Révész, 2003) (Fig. 2a,b). Sárrétudvari-Hizóföld was exhaustively excavated, well documented and published, and yielded a large number of archaeological remains that can be related to mounted archery. The signs of riding were originally identified in several individuals in a comprehensive paleopathological study (Pálfi, 1992, 1997; Pálfi and Dutour, 1996).

**Composition of the sample of early Hungarians**

262 graves from the 10th century provided a total of 265 individuals. According to previous studies, the population is composed of 101 subadults (including 3 fetuses), 162 adults and 2 undetermined subjects (Oláh, 1990; Pálfi, 1997). The reconstruction of activities in past populations involves numerous limitations (e.g. Dutour, 1992; Jurmain et al., 2012). We included in this study only adult males to limit the influence of non-mechanical factors such as hormonal and developmental changes. All adult age categories were included as a large number of subjects could not be categorized due to the state of preservation. Furthermore, age does not seem to be a bias factor for the changes of the acetabular shape as it is not related to degenerative processes (Baillif-Ducros et al., 2012). Erickson et al. (2000) found no significant correlation between the estimated age-at-death and the coefficients used in a Fourier analysis of the acetabular shape.

Based on a reassessed sex diagnosis (Bruzek, 2002; Bruzek et al., 2017; Murail et al., 2005) and age estimation (Bruzek et al., 2005; Scheuer and Black, 2004), we identified a total of 67 adult male individuals in the population. In Sárrétudvari-Hizóföld, 32 graves presented an archaeological deposit that can be related to horse riding (equipment, horse bones, or both). There are at least 16 adult males in this group (RD♂ = “Riding Deposit”). The other subjects, not included in the study, are 1 adult female, 7 adults with indeterminate sex, 7 subadults and 1 non-identified subject. On the other hand, 51 adult males did not have any horse riding equipment or horse bones in their graves (RD♀ = “no Riding Deposit”).

**Out-group comparison**

The Hungarian samples were also compared with an extra-group for which the intensive and regular practice of horse riding was highly improbable. As the use of horses was very widespread in medieval Hungary, we used, among the limited possibilities available, a European documented skeletal collection with a known socio-cultural context. Bearing in mind the potential limits that this might involve, we believed it represents a valid alternative to provide input to discuss the link between bone changes and horse riding.
We used the Luís Lopes Skeletal Collection, curated in the National Museum of Natural History and Science (MUHNAC), in Lisbon, Portugal. It is composed of 1692 skeletons with information regarding the sex, age at death, occupation, the cause of death etc. (Alves Cardoso and Henderson, 2013; Cardoso, 2006). Our sample was composed of Portuguese people who mainly lived during the first half of the 20th century, in the urban area of Lisbon, with electricity and modern means of transport. It is therefore very unlikely that they were practicing horse riding intensively and on a daily basis. The sample selected in the collection is composed of 47 adult males, aged 20 and older.

**Metric acquisition**

The analysis of the acetabular shape relied on direct measurements of the vertical and horizontal diameters of the acetabulum and the calculation of an index, following the approach taken by Baillif-Ducros et al. (2012) and Sarry et al. (2016) that we also previously experimented (Berthon et al., 2016). While the Fourier analysis used by Erickson et al. (2000) seems particularly relevant to capture the changes of the shape of the entire acetabular rim, several portions of the acetabular rim are frequently not preserved in ancient materials. Secondly, the vertical and horizontal diameters allow assessing the anterior-superior rim expansion previously described (Baillif-Ducros et al., 2012; Erickson et al., 2000). Finally, we applied a methodology that does not raise technical and time issues in broad studies of large samples as our results aim to be combined with those of a more comprehensive analysis including the scoring of various other types of observations.

The metrics are well-defined measurements that can be easily taken: VEAC (M22) corresponds to the maximum vertical diameter of the acetabulum, measured as a prolongation of the longitudinal axis of the ischium, and HOAC (M22) is the maximum horizontal diameter, measured perpendicularly to VEAC (Baillif-Ducros et al., 2012; Bräuer, 1988; Bruzek et al., 2017; Murail et al., 2005). The measurements are neither external nor internal but taken precisely on the rim of the acetabulum (Fig. 3a)\(^1\). They were used to calculate a vertical/horizontal diameter index (VEAC/HOAC) that allows comparing the acetabular shape between individuals, groups, and studies. This index of ovalization of the acetabulum (IOA) indicates a vertical ovalization when it is higher than 1 (VEAC > HOAC) while an IOA close to 1 corresponds to circular acetabula and an IOA inferior to 1 indicates acetabula wider than their height (HOAC > VEAC) (Fig. 3b,c). The metrics were recorded with a digital sliding caliper with a precision of 0.1 mm. Both left and right acetabula were measured to address asymmetry questions. We excluded suspected cases of hip dysplasia, subluxation or dislocation and acetabula showing strong osteophytosis at the rim.

The measurements were taken by one observer (A), without knowledge of the presence or absence of horse riding deposit in the graves, concerning the Hungarian samples. Additionally, a set of measurements was taken by a second observer (B), independently, to evaluate the reproducibility of the measurements. Observer A had more practical experience than Observer B in metrics of the coxal bone, but both observers are experienced in osteometry. The definitions and techniques of measurements were clarified before the test. Observer A also performed a second round of measurements (A2), with an interval of several months, to assess the intraobserver agreement. Observer B’s measurements were compared with the A2 set. 30 coxae from 15 individuals were measured for comparing the vertical and the horizontal diameters respectively. The individuals were selected randomly among the Hungarian adults, provided that the acetabular rim was well preserved. The concordance between the series of measurements was evaluated using the concordance correlation coefficient that allows quantifying the agreement between two measures

\(^1\) Bruzek et al. (2017) also provide an illustration of the technique to measure the vertical diameter of the acetabulum in the DSP2 software.
of the same variable (Lin, 1989). Values are ranged between -1 and 1, with ±1 denoting a perfect concordance or discordance, and 0 denoting an absence of correlation.

**Statistical analyses**

Boxplots were constructed to visually represent the distribution of the indices in each group. The nonparametric Mann-Whitney test was used to assess the differences between the groups. It was applied to the indices, both sides combined and taken independently. We used the nonparametric Wilcoxon test, applied on the paired indices in each group, to evaluate the asymmetry (Nikita, 2017). The difference between both sides’ indices was also calculated (right index - left index) and compared between the groups. The set significance level was α = 0.05. The analyses were performed using SPSS Statistics 25.

**RESULTS**

**Inter- and intraobserver agreement evaluation**

There was a very good concordance between the series of measurements taken by Observer A and Observer B for the diameters of the acetabulum (Table 1). The mean absolute difference was indeed close to 1 mm in each case and the concordance correlation coefficient was superior to 0.900, which is considered as very satisfying. Additionally, we observed an excellent concordance for both diameters between the two series of measurements taken by Observer A, with a mean absolute difference not higher than 0.5 mm and concordance correlation coefficients superior to 0.970 (Table 1). The concordance was almost perfect in the case of the horizontal diameter, with a coefficient of 0.993.

**Asymmetry in each group and differences between groups**

The preservation of the coxae was a limiting fact in the Hungarian archaeological groups. The composition of the samples of acetabula for which the index could be calculated is presented in Table 2.

As a first step, we evaluated the bilateral asymmetry of the acetabular shape, excluding the individuals with only one observable acetabulum (Table S1, and Fig. 4). In all cases, the acetabula were not circular on average, but they tended to be a bit higher than wide (IOA lightly superior to 1), which corresponds to a normal morphology (Bräuer, 1988). In all groups, we observed a bilateral asymmetry, although it was not significant (Wilcoxon signed-ranks test: RD⊕: Z = -0.943, p = 0.345; RD⊖: Z = -1.542, p = 0.123; EXTRA: Z = -1.451, p = 0.147), but this asymmetry was inverted in the case of the RD⊕ group compared to the RD⊖ and EXTRA groups. While the median and the variation were greater on the left side concerning the RD⊕ group, the spread of the values appeared to be higher on the right side in the two other groups (median and interquartile range in particular). Thus, the acetabula tended to exhibit a vertical ovalization more important on the left side in the RD⊕ group and on the right side in the RD⊖ and EXTRA groups.

We also calculated the difference between the right and left index values for each pair of acetabula to compare the degree and direction of bilateral asymmetry between the groups (Table S1, and Fig. 5). The tendencies concerning the bilateral asymmetry were confirmed, with a negative median in the RD⊕ group and a positive median in the RD⊖ and EXTRA groups respectively. The left acetabula in the RD⊕ group appeared to be higher and narrower than the right ones, but they tended to be shorter and wider than on the right side in the RD⊖ and EXTRA groups. While the differences between groups were not significant (Mann-Whitney test: RD⊖/RD⊕: U = 38, p = 0.117; RD⊖/EXTRA: U = 76, p = 0.171; RD⊕/EXTRA: U = 389, p = 0.548, two-tailed), we observed that the direction of the asymmetry differed between the RD⊕ and the other groups.
**Differences of acetabular shape between groups**

The index values were then compared between each group, for the left and right acetabula taken separately and combined, without considering if one or both sides were observable in an individual (Table S1, and Fig. 6). The RDθ group was characterized by a higher median value and a greater variation (especially interquartile range) compared to the RDθ and EXTRA groups, which showed similar distributions. The differences were not statistically significant between the groups for the left side (Mann-Whitney test: RDθ/RDθ L: $U = 80$, $p = 0.330$; RDθ/EXTRA L: $U = 125.5$, $p = 0.228$; RDθ/EXTRA L: $U = 520.5$, $p = 0.634$, two-tailed) and for the right side (RDθ/RDθ R: $U = 108$, $p = 0.234$; RDθ/EXTRA R: $U = 146.5$, $p = 0.140$; RDθ/EXTRA R: $U = 566.5$, $p = 0.619$, two-tailed). When left and right indices were combined, the differences were not significant either between RDθ and RDθ groups ($U = 374.5$, $p = 0.123$) and RDθ and EXTRA groups ($U = 2158.5$, $p = 0.445$) but the differences observed between RDθ and EXTRA groups were statistically significant ($U = 538.5$, $p = 0.049$, two-tailed).

**DISCUSSION**

Our results must be regarded in close connection with the archaeological data concerning the Hungarian samples. In a cemetery of a semi-nomadic population, known for using armies of mounted archers, the presence of horse riding equipment or horse bones in the graves of some individuals strongly suggests that they were riders during their life. However, it also raises several hypotheses regarding the funerary practices (Härke, 1997) as the deposits might concern only the riders or a part of them, or not be related with riding but with various social or religious aspects instead. The elements originally deposited in the graves might also not have been preserved (e.g. taphonomic processes, disappearance of organic materials, lootings). Thus, it must be acknowledged that some individuals who initially had a deposit in their grave may have been finally included in the RDθ group, contributing to mitigate significant differences between both Hungarian groups.

Our study revealed that the subjects from burials with archaeological items related with horse riding showed an increased overall acetabular vertical ovalization compared to the ones from the graves with no funeral goods of this type. The IOA values of individuals of this last group are similar to the ones of the extra-group subjects, which almost certainly did not practice horse riding, intensively or regularly.

While we should not exclude the possibility that this skeletal modification could also result from other postures, it is clearly compatible with horse riding: the vertical ovalization of the acetabulum results from the pressure applied by the femoral heads on the acetabula when the hip is placed in the rider position (Auvinet, 1999; Bailliff-Ducros, 2012; Humbert, 2000).

Concerning the difference of direction of the bilateral asymmetry in the case of the RDθ group, Molleson (2007) discussed for instance that the occurrence of degenerative joint changes on the medial condyle of the left knee in individuals from Spitalfields, London, might be explained by riders mounting a horse from the left side. In addition, experienced riders can develop a pelvic asymmetry in response to back pain (Hobbs et al., 2014). In the context of the Hungarian Conquest period, we might wonder if an asymmetry could result from the movements involved in mounted archery in particular.

Despite strict sampling criteria and bone preservation limitations, this group of 32 ancient Hungarian individuals including at least 16 adult males, represents, to the best of our knowledge, the largest homogeneous anthropological sample investigated for horse riding with direct archaeological evidence for each individual. Our promising results support the hypothesis that the vertical ovalization of the acetabulum may represent a potential indicator for the practice of horse riding.
among a set of other skeletal changes that will be tested. The question of the riding style will be another point of interest as we ignore so far if the steppe nomad style of the early Hungarians (Uray-Kóhalmi, 1972) and other riding styles may result in different bone modifications. A future comparative analysis should allow us to identify the possible existence of specific or common features according to the riding style.

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Figure 1. Reconstruction illustrating the possible contact between the femoral head and the superior part of the acetabulum (white arrow) in the riding position, with the pelvis in retroversion. Drawing by Luca Kis.
Figure 2. Examples of graves from the Hungarian Conquest period cemetery of Sárrétudvari-Hízóföld (10th century) with deposits of horse riding equipment (stirrups, girth buckles, bits), sometimes associated with horse bones: (a) field photographs and (b) archaeological drawings of graves. From Nepper (2002)
Figure 3. (a) Measurements of the maximum vertical and horizontal diameters of the acetabulum, and examples of (b) an acetabulum with a shape intermediate between circular and a horizontal ovalization (index = 0.971), and (c) an acetabulum exhibiting a strong vertical ovalization (index = 1.082)
Figure 4. Asymmetry of the index of ovalization (vertical/horizontal diameter) of the acetabulum (IOA) on paired coxae of the Hungarians with riding deposit (RD⊕) and without riding deposit (RD⊖), and in the extra-group (EXTRA). SD = standard deviation.
Figure 5. Difference between the right and left indices of ovalization of the acetabulum (IOA) in the Hungarians with riding deposit (RD⊕) and without riding deposit (RD⊖), and in the extra-group (EXTRA) (a positive result indicates right values superior to the left values, and a negative result indicates the contrary). SD = standard deviation.
Figure 6. Index of ovalization (vertical/horizontal diameter) of the acetabulum (IOA), by side and combined, on unpaired coxae of the Hungarians with riding deposit (RD⊕) and without riding deposit (RD⊝), and in the extra-group (EXTRA). SD = standard deviation.

* Statistically significant difference between the RD⊕ and EXTRA groups (U = 538.5, p = 0.049, two-tailed).
### Table 1. Inter-(A–B) and intraobserver (A1–A2) agreement in the measurement of the maximum diameters of the acetabulum (n = 30). A concordance correlation coefficient close to 1 indicates a strong concordance between two series of values

<table>
<thead>
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<th>Observer A – Observer B</th>
<th>Observer A1 – Observer A2</th>
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<td>56.1 (A) 55.0 (B)</td>
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<td>Mean difference (mm)</td>
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<td>Mean absolute difference (mm)</td>
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<td>Concordance correlation coefficient</td>
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<td>0.911</td>
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### Table 2. Composition of the samples of observable acetabula in the Hungarian archaeological groups with riding deposit (RD⊕) and without riding deposit (RD⊖), and in the extra-group (EXTRA)

<table>
<thead>
<tr>
<th>Sample groups</th>
<th>Adult males</th>
<th>Adult males with at least one observable coxal bone</th>
<th>Observable acetabula</th>
<th>Pairs of observable acetabula</th>
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<tr>
<td></td>
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<td>Left</td>
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