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The equalising mirage? Socioeconomic segregation and environmental justice in post-socialist Bucharest

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Abstract

Unlike the vast majority of European capitals, and despite growing income inequality, descriptive measures of socioeconomic segregation seem to show a desegregation trend in Bucharest over the last two decades. Socioeconomic segregation and environmental justice studies provide completing insights of the intricate uneven geographies of social, economic and environmental disparities of post-socialist cities. And Bucharest is paradoxically the most populated and yet less studied of the capitals of Central and Eastern Europe. This paper explores changes in the patterns of socioeconomic segregation in post-socialist Bucharest, sheds more light on the relationship between neighbourhood characteristics and the patterns of segregation, and includes 'attractor' and 'repellent' environmental factors, contributing to combine environmental justice and socioeconomic segregation approaches. Despite the apparently continuous desegregation trend, and even when controlling for the presence and proximity of other predictors, environmental factors play an increasing role in shaping local socioeconomic segregation patterns. This would suggest the need for consideration of the environmental factors in public policies aimed at mitigating the social cost of the transition and residential segregation. This should also lead to use descriptive measures of segregation more cautiously and to explore the relationship between neighbourhood characteristics, environmental conditions and the local patterns of segregation instead.

Keywords

socioeconomic segregation, environmental justice, post-socialist, Bucharest

1. Introduction

Socioeconomic, income or class-based segregation has been on the rise in Europe and North America for the past two decades (Florida 2017, Marcińczak et al. 2016). On both sides of the Atlantic, the deepening of socio-spatial divisions has its roots in growing income inequality. Whereas the results from the U.S. (Reardon and Bischoff 2011) and Western Europe (Musterd et al. 2016) illustrate that rising socioeconomic segregation closely follows growing income disparities, the effect of economic inequality on spatial divisions seems to differ in former socialist countries (Marcińczak et al. 2015). Whether time-lagged or not, the relationship between income and segregation is mediated by a multitude of structural factors, especially the type and extent of welfare provision and the form of housing system (Arbaci, 2007; Maloutas and Fujita, 2012).

Whilst socioeconomic segregation has been extensively studied in Europe (Tammaru et al. 2016), there still remain some issues to be addressed. First, the majority of studies on class and income-based segregation rely on descriptive indices of segregation and put emphasis on structural factors in explaining the evolving global patterns of socio-spatial divisions (Musterd et al. 2016). Such studies disregard the effect of neighbourhood characteristics on local patterns of segregation, their changes, and their contribution to the evolution of global segregation patterns (Brown and Chung, 2008). Then, the recent attempts to link neighbourhood characteristics to the spatial patterns of socioeconomic divisions in post-socialist cities have left the role of environmental factors unexplored (Marcińczak et al. 2017). And the currently mixed findings regarding the association between segregation and environmental exposure might be linked to serious methodological issues (Frey, 2017). Finally, even recent studies on residential segregation and environmental justice do not include cases from South-Eastern Europe (Maloutas and Fujita, 2012; Tammaru et al. 2016), where the transition from socialism to capitalism was much slower than in the countries that joined the European Union in 2004 (Hamilton et al., 2005). The paper has thus the following aims: 1) to explore changes in the global and local patterns of socioeconomic segregation in post-socialist Bucharest over the last two decades; 2) to shed more light on the relationship between the spatial concentration of social categories and different neighbourhood characteristics; 3) to include 'attractor' and 'repellent' environmental factors in order to combine environmental justice and socioeconomic segregation approaches in the post-socialist context.

European post-socialist cities have suffered from severe environmental issues due to massive industrialisation, hastened socialist urbanisation and the political suppression of environmental movements during the communist rule, as well as poor post-socialist environmental protection legislation (Pavlínek and Pickles, 2000; Whitehead, 2005).

People who live close to green spaces are more likely to benefit from ecosystem functions lowering such adverse impacts (Chaisty and Whitefield, 2015). Conversely, people living close to industrial areas are more likely to be exposed to pollution, noise and hazards (Suditu and Vâlceanu, 2013) and are pushed to make different locational choices (Cook and Swyngedouw, 2012). As environmental conditions render neighbourhoods more (symmetrically less) desirable to the social class that has the choice of residential mobility (Łaszkiewicz et al., 2017), they might therefore percolate into the socioeconomic segregation patterns.

Bucharest is somewhat paradoxically the most populated and yet less studied of the capital cities of Central and South-Eastern Europe (Marciniczak et al. 2014). Shedding more light on the evolution of segregation patterns in South-Eastern Europe might help to better understand the link between the pace of massive political shifts, socioeconomic inequality, environmental justice, and the mutating geography of socioeconomic segregation. Bucharest is of particular interest in this respect, because of the gradualist context of post-socialist transition in Romania – only entering the EU in 2007, the more ‘hardline’ communist rule until 1989, and Ceaușescu's large-scale socialist redevelopment of the city centre.

2. Study area

Bucharest has about two million inhabitants, making the capital of Romania the largest city in the former socialist countries of Central and South-Eastern Europe. It has a population density of more than 8,000 persons/km², with up to 15,000 persons/km² in large housing estates developed under communist (Ianoș et al., 2016). The city is divided in six sectors radiating from the city centre as pie shares (Figure 1). They each have their own city hall, mayor, and assembly, but administrative boundaries do not take neighbourhoods into account and sometimes cut through the middle of a block (Chelcea et al., 2015). Metropolitan areas have no legal status in Romania, there is no institutional arrangements for cooperation with the neighbouring towns. And within the Municipality of Bucharest itself, the local governments are not always coordinated as it is not uncommon for its 6 sectors and to be governed by opposing parties (Dumitrache et al., 2015).

At the fall of the communist regime, Bucharest concentrated 70% of its population in large socialist housing estates. As in neighbouring countries, the Romanian economy experienced a significant decline in the 1990s, followed by a rapid growth in the 2000s, until the 2009 crisis. However, Romania's path is different, with a hardline communist rule, and, in Bucharest, low-density central neighbourhoods with socially mixed populations were torn down to build Ceaușescu's gigantic “Civic Centre” (Chelcea, 2006). After 1989, reforms were delayed, the inflow of foreign investment was uncertain,

and employment in large state-owned enterprises remained more or less intact until 1996, when the liberals won the elections with a program of privatisation and euro-modernisation. During this “hesitant transition” (Marciniczak et al., 2014), neither the privatisation nor the restitution of properties nationalised in 1948 created full bundles of private property rights. Starting from 1991, most state-owned housing was privatised, selling apartments and old houses to sitting tenants at deflated prices, regardless of location, quality, or architectural value. In 1995, the state legislated protection against eviction for 10 years, banning the alienation of tenants, and imposing “rent caps”; residential mobility was thus low and the housing market ridged (Chelcea et al., 2015). In the second half of the 1990s however, the privatisations triggered a sharp deindustrialisation, from about 430,000 employees in 1990 to 200,000 in 2000 and 130,000 in 2010, and the population began to steadily decrease (Table 1).

Figure 1. Map of the 6 sectors of Bucharest showing the forest, lakes, green areas and industrial land in 1992.

The beginning of the 2000s saw a new round of reforms, with the new constitution securing private property rights in 2003, the ban on the selling of privatised houses ending in 2005, and the 2006 law establishing a mortgage market. This led to a real estate bubble in Romania between the 2007 EU accession and the 2009 crisis (Dumitrache et al., 2015). In Bucharest, most investments were focused on converting former industrial land into office buildings, malls, shopping centres, and occasionally gated communities near the northern lakes or luxury condos in the central areas (Ianoş et al., 2016).

Table 1. Bucharest, city social profile 1992 – 2011.

	1992	2002	2011
Total population	2,067,545	1,926,334	1,883,425
Working population	937,298	825,655	893,501
Higher Socio Professional Categories (%)	18.0	28.4	38.2
Medium Socio Professional Categories (%)	32.9	35.5	37.9
Lower Socio Professional Categories (%)	51.5	36.1	23.9

The North of the city concentrates most parks and forests, and while green spaces tend to be of smaller size in the city centre, the large park around the Civic Centre stands out (Figure 1). Other large parks adjoin the large socialist housing estates from the 1960s and 1970s, notably to the South East and the East, whereas orchards and meadows are more present at the periphery. The authorities lacked the resources to maintain the parks during the economic shock of the first decade of the transition (Pătroescu et al. 2011). Some of the parks greens remained wilderness parks and stray dogs to playgrounds and amenities until the 2000s (Ioja et al, 2011), despite the creation of new Văcăreşti National Park around the reservoir in the south east of the city (Ianoş et al., 2016). However, regardless of their design, maintain or state of disrepair, all green spaces were found protective of the smog and urban heat island correlated with the high rise building stock (Sirodov et al, 2015).

Before the socialist period, the first wave of industrial expansion went to the south (Rahova) and west (Grivița) of the city center (Simion, 2016). During the socialist transformation, massive industrialization continued throughout the 1960s and 1970s, concentrating the heavy industry chosen by Ceaușescu in massive industrial complexes along the major axes towards the outskirts of the city, westward (Militari), southward (Progresul), and eastward (Republica) (Figure 1). A lighter industry was developed along the railway lines towards the northwest, and near the Băneasa airport in the north. Even though higher and denser housing estates were hastily constructed in the proximity of the massive industrial complexes, the Obor (1966), Titan (1967), Militari (1968) and Rahova (1970) housing complexes, the housing sector increasingly lagged behind the growth of industrial employment. Consequently, the peripheral zone was gradually taken over by

self-provided wood and cob housing lacking basic amenities (Marciniczak et al. 2014). The industrial complexes have been closing down since the end of the 1990s, with a mix of abandoned buildings and partial reconversion of the better connected estates. Privatisation gained momentum in the 2000s, plants with good transport accessibility proved to be more valuable as real estate rather than production and were redeveloped into business parks, hypermarkets and shopping malls (Simion, 2016) that were previously nonexistent in socialist cities. Nevertheless, current and former industrial land is still affected by heavy water and soil pollution (Grădinaru et al., 2014). And in 2011, Bucharest was still hosting 14 Seveso hazardous industrial sites, some of which are located near the city centre – as the Grozavești power plant exposing the presidential palace (Rufat 2013). Recent housing projects are also impacted by the proximity of industrial land and obnoxious facilities: in 2008, the National Housing Agency (ANL) launched a social homeownership assistance program of over 1,000 small houses on a 115 ha strip along the Băneasa airport runway.

Figure 2. Change in the distribution (%) of the ISCO profiles in Bucharest 1992 – 2011.

Like other post-socialist cities, Bucharest recently entered the second demographic transition with a population decline and an increase of older people. Bucharest's economy has tertiarised and with the coming to age of a more educated new generation, the share of individuals with little or no education has decreased. The evolution of the employment structure reflects the steady decline of employment in industry and the professionalisation of the workforce, resulting in a more mixed social profile (Figure 2).

3. Environmental justice and socioeconomic segregation

Whilst the rise of inequalities has been especially acute during the first decade after socialism (Hamilton et al., 2005), the level of socioeconomic segregation did not seem to change in European post-socialist cities during the 1990s, leading to the idea of a “delayed segregation” (Sykora, 2009). In most of them however, a strong relationship between the social composition and the built environment of neighbourhoods has been highlighted, both under socialism and after (Stalinov, 2007). Usually undermaintained and decaying, the pre-socialist housing stock dominating in the historical centre and the peripheral zone accommodated the lower social categories. Conversely, socialist-era housing estates and some inner-city villa neighbourhoods were home to the higher and middle social groups (Sykora, 2009). The 2000s then saw the social upgrading of city centres (Bernt et al., 2015) and the migration of upper social categories to newly built housing and the suburbs (Stanilov and Sykora, 2014). Although not all socialist housing estates have deteriorated, and while many were hastily built, of poorer quality and lacking amenities, some districts were planned according to grand visions, in coordination with industrial complexes and with attention to greenery, those blocks of flats have gradually been losing their better-off residents (Kovacs and Herfert, 2012). These trends have led to much speculation on the deepening of socio-spatial divisions, the polarisation or even the dualisation of urban space. The “delayed segregation” has finally started to materialise in some post-socialist cities in the 2000s, as socio-spatial divisions started to rise, catching up with income disparities. However, twenty-five years after the end of communism rule, post-socialist cities are still not pointing towards a socio-spatial dualisation of urban areas (Marcinčzak et al., 2016).

Currently, most studies work under the implicit assumption that spatial differentiations do not influence segregation, but are rather a result of segregation. Some authors have however been making the opposite argument, as there is a time lag between the slow change of the physical structures, built environment and environmental quality of neighbourhoods over time and the often much faster change in population characteristics and demand for residence. This is especially true in central cities with old and sometimes dilapidated and obsolete housing, and especially in Europe, where urban development might be highly regulated by housing policies and urban planning, which interfere with the processes of market segregation (Andersen 2003, van Kempen et al. 2009). And such temporal discrepancies are even more true in cities that have experienced socialist rule and transformations, whose requirements contrasted with existing pre-socialist physical structures, whereas then the socialist urban fabric was in turn found to be less suitable for the needs of the following period, while experiencing the profound population characteristic transformation and demand for residence change of the post-socialist period (Hamilton et al. 2005, Stanilov et al. 2014). Andersen (2003) for example says that one of the main reasons for abandonment and low demand for housing in these areas is that the decaying environment prompts a high turnover of residents and failing interest. More

recently, some authors have proven the local context to be critical in the production of the patterns of segregation (Maloutas et al. 2012) and started to explore the relationship between various neighbourhood characteristics and the local patterns segregation (Musterd et al. 2016, Marcińczak et al. 2017), even if for the time being the effect of environmental factors on the local patterns of socioeconomic segregation have been less studied.

While there has been little focus in the segregation literature on the importance of the physical, environmental and economic spatial structure beyond housing tenure and housing markets, early environmental justice studies focused on the residential neighbourhoods of socially marginalized people and their proximity to noxious resources and facilities (Bullard 1990, Helfand et al. 1999). From the seminal works of Zorbaugh on access to amenities, and of Bullard on exposure to toxic facilities, environmental justice studies have highlighted the uneven distribution of exposure to hazards (Davis, 2006), pollutants (Morello-Frosch and Jesdale, 2006), noise (Houston et al., 2014) or unwanted land uses (Mohai and Saha, 2006) among social classes and environmental racism (Taylor, 2014). Bullard noted that socially marginalized groups choices tended to be based on cost rather than environmental quality, explaining why they tend to “choose” hazardous or obnoxious neighbourhoods (1990). Some have expressed doubts on such unidirectional causalities, claiming that the relationship between individuals and their environment is in fact mutual (Massey, 2005), leading to a broader focus on the characteristics of local contexts and places where racial groups reside (Landrine and Corral, 2009).

As an extension of these residence-based studies in the environmental justice literature, several researchers argued that residential segregation may be perpetuating inequalities in environmental exposure and health (Park et al. 2017). Such empirical studies have been using a variety of environmental variables to study the differential exposures and access of different groups, ranging from green space availability (Łaszkiewicz et al. 2017) and distance (Czembrowski et al. 2016) to normalized difference vegetation index (NDVI) (Saporito et al. 2015) or ecological diversity index and ecosystem services (Cohen et al. 2012), density of urban trees (Frey, 2017), air pollution concentrations (Padilla et al. 2014), automobile and truck traffic and emissions (Houston et al. 2014), noise levels (Casey et al. 2017), soil lead concentrations (Aelion et al. 2015), presence of waste and polluting facilities (Boone et al. 2014), distance to waste treatment, storage and disposal facilities (Mohai et al. 2006), etc. Some even discussed that favoured and well educated populations might make the choice to live in expensive and polluted areas because they are closer to other amenities (Padilla et al. 2014), while South et al. (2011) found no relation between the ecological structure and spatial segregation. Empirical studies have thus reported inconsistent results, ranging from a strong association to no association, or even negative correlations – ie increasing exposure to some pollutants associated to decreasing residential segregation (Morello-Frosch et al. 2006).

Environmental justice studies began to use measures of residential segregation only recently, and thus far their results have not been consistent (Park and Kwan, 2017), explaining why their findings have not fully percolated into segregation studies. And recent studies in the US have raised methodological issues linked to spatial autocorrelation, multicollinearity and heteroskedasticity, casting some doubts on previous findings (Boone et al., 2014; Frey, 2017). Similarly, recent works on the effects of neighbourhood characteristics on local patterns of segregation are generally aspatial, or not controlling for spatial autocorrelation (Musterd et al. 2016, Marcińczak et al. 2017) – the analysis generally ignores the proximity between tracts. Moreover, in an exploratory approach, many studies have been multiplying the number of models and variations, and/or increasing the number of variables implemented in each model, and such a variable inflation is raising Type I error, overfitting, multicollinearity and heteroskedasticity issues (Bland et al. 1995). Socioeconomic segregation and environmental justice studies are thus currently facing similar methodological issues, yet they could provide completing insights of the intricate uneven geographies of social, economic and environmental disparities of post-socialist cities.

While previous publications have been using environmental indicators as dependent variables, our own comprehensive study design was configured the other way around: using environmental factors as independent variables or 'predictors' of the local patterns of segregation. In order to shield our findings from previous methodological issues we made sure to limit the amount of variables implemented in the analysis by first studying the correlations among them, to use spatially explicit models addressing both presence within the selected tract and proximity in neighbouring tracts, to report a wider range of p-values, and to use regression diagnostics to control spatial autocorrelation (Moran's I statistic), multicollinearity (Multicollinearity Condition Number) and heteroscedasticity (Breusch-Pagan test).

4. Methods and research material

4.1. Geography and research materials

We used the last three Romanian censuses (1992, 2002, 2011) to calculate the indices of segregation and to characterise the neighbourhoods. However, the number of census tracts has reduced, from 160 tracts in 1992, to 151 in 2002, and to 131 in 2011, while most boundaries were redrawn. As a result, direct comparison between the censuses would suffer from the MAUP problem. Whilst some authors have consequently only relied on the six sectors because their boundaries remained stable (Armaş et al., 2016), we consider that scale too crude to adequately monitor the patterns of neighbourhood change. We therefore aggregated the census data into the smallest contiguous and

spatially invariant ensembles. The resulting 39 districts allow for less biased comparison between the three censuses. We also used land usage data from the Romanian National Statistics Institute to compute the share of green space and the share of industrial land of the different spatial units at those dates. However, national censuses in post-socialist countries may not cover all residents actually living in a city (Steinfuhrer et al., 2010). They usually fail to register population categories such as homeless people, squatters, illegal immigrants or students still registered at their parents' homes. The data quality of the 2011 census has been further questioned, mainly because of a political call to boycott the census that might have led about 5% of the population of Bucharest to evade it (Anonymous and Rufat, 2015). Some have even claimed that those data quality and comparison issues might be on purpose (Armaş et al., 2016).

As for socioeconomic categories, we merged the eight original ISCO categories into higher and lower social groups. We incorporated the two highest social categories (managers and professionals) to form the higher group. Likewise, we combined unskilled workers, skilled workers and machine operators to form the lower group. The remaining ISCO categories, technicians, civil servants and service workers were included in a medium social category (Table 1). Although somewhat basic, owing to the fact that the gap between the rich and the poor is widening across cities in Europe (Musterd et al. 2016), we laid the emphasis on the evolving patterns of segregation of the higher and lower social groups.

4.2 Methods

In the first stage of empirical analysis, we used descriptive measures of segregation. The index of dissimilarity (ID) was used to measure the global patterns of segregation. It takes values from 0 to 1, with higher values indicating a more uneven distribution of groups. The Modified Index of Isolation (MII) was calculated for the higher and the lower social groups to estimate how (un)evenly each group is distributed compared to the remainder of the population while taking into account their relative size. As for ID, low values of MII indicate weak isolation of a social group; high values, above 0.6, signal strong spatial isolation. The Location Quotient (LQ) was used to pin down local patterns of segregation, understood as the degree of a group's relative concentration in a neighbourhood (at different scales). Positive values with one standard deviation above the mean indicate that a group is significantly overrepresented in a given tract. We also use this index as the dependent variable in the subsequent regression models.

In the second stage of the empirical analysis, we employed the econometric approach to explore the relationship between the local patterns of socioeconomic segregation and the demographic, housing and environmental characteristics of neighbourhoods in 1992, 2002 and 2011. We estimated multivariate OLS models with LQ values at the census tract level for the higher social group as the dependent variables. The same analysis was conducted for the lower social category and repeated for each census year. In the six

resulting regression models, the Moran's I tests confirmed the presence of spatial autocorrelation ($p < 0.05$). In all these models, the robust Lagrange Multiplier test suggested the spatial lag model as the best alternative. In order to allow for robust comparison, we repeated the whole process at the district aggregation scale. At this scale, we proceeded with the results estimated by OLS, as we did not detect spatial autocorrelation in the residuals of the models.

4.3 Variables selection and description

The local patterns of socioeconomic segregation in the former socialist city are related to the different demographic, building stock and housing characteristics of neighbourhoods (Marciniczak et al. 2015). Our innovative approach added environmental variables to test the effects of nearby environmental factors on local patterns of segregation while controlling for the presence and proximity of demographic, building stock, and housing condition factors. We first selected the 10 demographic variables most in use in the literature that were available for all three census dates (1992, 2002, 2011), then added four variables on the building stock (built before 1945, 1945-1977, 1977-1989, after 1990), three variables on the housing condition (lack of running water, lack of toilets, wood and cob) and three environmental variables (share of industrial land, share of lakes, and share of green spaces). As some of those 20 variables are highly correlated with each other, we had to scale down to the two less correlated variables per domain (demography, building stock, housing condition and environment) and had to further take out household composition due to its strong correlation to age in order to avoid the potential problem of multicollinearity. Table 2 presents the 7 remaining variables along with their expected effects and descriptive statistics.

Table 2. Selection of variables

Variables	Theoretical effect on High	Theoretical effect on Low	Mean 1992		Mean 2002		Mean 2011	
			tract	district	tract	district	tract	district
Over 65y	+	-	11.4%	11.3%	14.5%	14.0%	15.6%	14.7%
Before 1945	+	-	34.9%	41.9%	34.3%	37.9%	20.2%	21.9%
After 1990	+	-	4.1%	0.6%	9.1%	9.6%	12.8%	16.0%
No running water	-	+	7.9%	9.9%	5.6%	7.1%	1.3%	1.4%
Rent	- / +	+ / -	39.3%	37.6%	9.5%	10.5%	5.3%	4.9%
Green areas	+	-	9.0%	11.7%	7.2%	10.3%	6.0%	9.1%
Industrial land	-	+	14.8%	14.6%	8.0%	12.6%	4.8%	11.6%

Demographic factors: “elderly (residents aged 65 and more)” was used as a predictor for high social status areas. The elderly were already concentrated in the better quality housing in the central area under socialism and were able to stay there at least until the

2002 census (Chelcea et al., 2015). This variable was also strongly associated with the share of smaller households (singles) and negatively correlated with the share of larger households (with more than five people), children (less than five and less than 14 years old), lack of education (no school diploma), and low quality housing (buildings made out of wood and cob). As a result, the variable was expected to be a predictor of neighbourhoods with high social status – at least until 2002 – as is the case in the centre of other capital cities at least during the first years of the post-socialist transition (Marcinićzak et al., 2014).

Building stock factors: “*buildings constructed before 1945*” was used as a predictor of high social status areas. The older buildings in more prestigious locations were subject to initial gentrification (Chelcea, 2006), and the process of social upgrading in the historical housing stock gained momentum in the 2000s (Chelcea et al., 2015). The “*buildings constructed after 1990*” variable was also expected to correlate with high social status, as it is the case in other post-socialist countries (Holm et al., 2015; Marcinićzak et al., 2017). We chose to keep both ends of the construction date – before 1945 and after 1990 – as they were retaining the most information and consistent with previous studies of pre-socialist, socialist and post-socialist transformation (Kovacs et al. 2012).

Housing factors: “*lack of running water in the housing*” was used as the predictor of lower social status areas according to the results of factorial ecology studies from Romania (Rufat 2013) and Poland (Marcinićzak and Sagan 2012). It was positively correlated to the other housing variables (lack of toilets and housing in wood and cob), so it is also an indicator of a larger situation of deprivation. As housing ownership usually relates to higher social status, living in public housing is linked to welfare dependency, and renting is the most frequent predictor of lower social status in post-socialist contexts (Holm et al. 2015), we also used the variable “*share of residents renting apartments*” as an indicator of the housing tenure.

Environmental factors: the share of surface water and lakes (derived from 2006 imagery) was each time strongly positively correlated with the share of green areas, including parks, forest, cemeteries, orchards, and meadows, based on inventories from previous studies, with a small time lag: 1995, 2000 and 2010 (Pătroescu et al. 2011). As the presence, distance or share of green areas are the most used indicators of environmental quality, access to amenities and exposure in environmental justice studies (Frey, 2017), we chose the “*share of green areas*” as our 'attractor' indicator of environmental quality. For the our 'repellent' indicator of exposure to environmental noxiousness, we couldn't find direct data (air and soil pollution concentrations) consistently for each of the three census years, as a result we have chosen the most in use proxy in the environmental justice studies: exposure to industrial, waste and polluting facilities (Mohai et al. 2006, Boone et al. 2014). Based on inventories from previous studies, we used “*share of industrial land*”, airport and railroad land, and industrial brownfield until the date of their

actual conversion to other uses (Simion, 2016) to account at once for exposure to air pollution, soil pollution, waste, hazards and noise. Recent studies based on hedonic pricing models have confirmed that whilst industrial land has a depressive impact on real estate value, people are willing to pay more to live close to parks or forests (Ardeshiri et al., 2016; Czembrowski and Kronenberg, 2016; Panduro and Veie, 2013). As a result, without a strong housing policy, the less privileged will be pushed away from green spaces and will only be able to afford to live near less desired spaces (Basolo and Yerena, 2017). In other words, correlating green space and industrial land uneven geographies with the dynamics of residential segregation can reveal that the lower socioeconomic group are being “trapped” in environmental exclusion because of their inability to access to residential mobility (Coulter and van Ham, 2013).

5. Results

5.1 Separation and concentration of socioeconomic groups

Table 3 shows the results of two global segregation indices summarising the degree of socio-spatial divisions in the city. The steadily decreasing ID values reveal that the spatial gap between the top and bottom social categories is narrowing. So, unlike the vast majority of European capital cities in the first decade of the twenty-first century (Musterd et al. 2016), and irrespective of growing income inequality, the segregation between the opposing socioeconomic categories seem to have decreased. The trend was in progress over the two decades after the collapse of socialism, and it can be confirmed at different spatial scales (tracts and districts).

Table 3. Indices of segregation for Bucharest 1992 – 2011 at different scales

	ID		MII higher		MII lower	
	tract	district	tract	district	tract	district
1992	0.34	0.29	0.1	0.06	0.1	0.020
2002	0.32	0.26	0.08	0.06	0.06	0.042
2011	0.26	0.24	0.05	0.05	0.04	0.003

The results of MII further confirm this trend. In spite of massive shifts in the employment structure, we notice lowering levels of concentration of both socioeconomic categories. The segregation patterns of the higher socioeconomic group appear to be less micro-scale and fragmented, as there is not much difference between the smaller tract and the larger district scale. And in general, the smaller the aggregation unit, the higher the value of the global indices (Wong et al., 1999).

5.2 Spatial patterns of differentiation

The Location Quotients (LQ) were mapped in quartiles for both the high and low social groups for each census year (1992, 2002, 2011) at the tract and district scale. At the tract scale, the patterns of segregation are consistent over time with a concentration of the high social group in the central and northern neighbourhoods and the low social group clustering in the outskirts (Figure 3).

Figure 3. Maps of the LQs at the tract level 1992 – 2011

In both cases, there seem to be a decreasing concentration of high social groups and symmetrically increasing concentration of low social groups from centre to periphery. Although the geographies of census tracts have changed between censuses, our aggregation into stable district levels confirms those spatial patterns of concentration and their stability over time (Figure 4).

Figure 4. Maps of the LQs at the district level 1992 – 2011

The concentration of the higher group coincides with the best-quality pre-socialist housing: the villas in the North, the surviving bourgeois housing in the centre, and the better-quality buildings from the Ceaușescu period, in the centre around the monumental “Civic Centre” and to its western (Drumul Taberei) and eastern (Balta Albă, Titan) vicinities. The prestige and popularity of such areas have consolidated since the demise of communism (Chelcea, 2006). Despite some centrifugal movements reportedly gaining momentum after 2000 (Pătroescu et al., 2011) and the heavy transformation of the employment structure, the spatial patterns have remained relatively stable throughout the transition. In fact, a slight centripetal movement of the high social group can be linked to some 'repellent' factors in the North, with the extension of the Băneasa airport in the 1990s and the forest clearing to give room to a shopping and business complex after

2006, both fostering traffic and congestion (Ianoş et al., 2016). Some 'attractor' factors are also at play with the rehabilitation of the historical centre and the conjunctive eviction of the Roma population and underclass tenants around 2007 giving rise to gentrification and touristification (Chelcea et al., 2015).

The lower social categories display a nearly inverse spatial pattern. They are concentrating in the peripheries in the low-quality large housing projects from the 1960s and the self-provided houses neighbouring the vast socialist industrial complexes. Contrasting with the estates from the late 1970s and 1980s still housing medium to higher social categories, the poor-quality blocks from the 1960s gave rise to underclass ghettos after socialism, such as the former workers' dormitories in the Ferentari district in the south west becoming one of the hot spot of the Roma population (Mionel and Neguţ, 2011). The other one was in the historical core and around the "Civic Centre" as Roma and squatters moved in as Ceauşescu's destructions progressed (Pătroescu et al., 2011). For the low social group as well, the spatial patterns have remained relatively stable throughout the transition. In the outskirts where the lower categories had flocked in poor-quality illegally self-constructed housing, the lack of legal document is an impediment to transactions and residential mobility. They have remained an underclass trap (Marin, 2005). However, some slight changes can be observed, linked to the centripetal movement of the higher group and new developments pushing the lower group away: the 'urbanisation front' along the major transportation corridor in the western outskirts and around the newly established Văcăreşti National Park in the south east (Ianoş et al., 2016).

5.3 Determinants of local socioeconomic segregation patterns

To test the effect of neighbourhood characteristics on the local patterns of segregation, we ran cross-section econometric models for tracts and districts. Table 4 presents the results at the tract scale. As the dependent variables do not follow normal distribution, the LQs were transformed using a Box-Cox transformation (λ parameter). Consequently, we can only compare the direction of each predictor, whether it has a negative or a positive effect on residential concentration, not the effect sizes from the different models. In the results of the spatial lag models, impact measures reflect the effect of a particular explanatory variable on the dependent variable in a particular unit (direct effects) but also in neighbouring units (indirect effects), while total effects sum the overall effect of an explanatory variable. For both socioeconomic groups, the estimated lag models explain more than 70 percent of variance in the concentration patterns and, unlike in the OLS, their residuals are homoscedastic, the homogeneity of variance respects the model assumptions.

Only two variables are consistently significant in predicting the local patterns of segregation at each time stamp. The higher share of elderly is positively associated with the over-representation of the better-off, and negatively related to the concentrations of

the worse-off. The strongholds of the lower social groups have significantly worse housing conditions, as illustrated by the positive association with the share of housing without running water. For both variables, the indirect effects are just as strong as the direct effects, implying strong spill-over effects. The local effects of these two variables are therefore as strong as their effects on neighbouring tracts.

Table 4. Regression models at the tract level 1992 – 2011

1992 160 tracts	LQ High ($\lambda = 0.32$)				LQ Low ($\lambda = 1.13$)			
	OLS	Spatial Lag			OLS	Spatial Lag		
		direct	indirect	Total		direct	indirect	total
Over 65 years	0.04***	0.027***	0.027***	0.054***	-0.018***	-0.013***	-0.011***	0.024***
Before 1945	0.007***	0.004***	0.004***	0.007***	-0.002***	-0.001**	-0.0008**	-0.0019**
After 1990								
No running water	-0.03***	-0.0211***	-0.020***	-0.042***	0.011***	0.009***	0.008***	0.017***
Rent	-0.01***	-0.01***	-0.01***	-0.019***	0.005***	0.005***	0.004***	0.009***
Green areas								
Industrial land		-0.004*	-0.004	-0.008**	0.002'	0.002**	0.002**	0.004**
Constant	-0.223**	0.029			-0.038	0.002*		
ρ		0.497***				0.459***		
r^2	0.75	0.85			0.76	0.84		
Moran's I	0.274***				0.283***			
Breusch-Pagan test	12.2'	9.0			13.6'	11.2		

2002 151 tracts	LQ High ($\lambda = 0.71$)				LQ Low ($\lambda = 0.52$)			
	OLS	Spatial Lag			OLS	Spatial Lag		
		direct	indirect	total		direct	indirect	total
Over 65 years	3.5****	2.33****	3.68****	6.01****	-2.4****	-1.67****	-1.43****	-3.10****
Before 1945	0.3***				-0.02**			
After 1990								
No running water	-1.8****	-1.40****	-2.22****	-3.62****	1.4****	1.14****	0.97****	2.12****
Rent	1.1***				-1.1****	-0.74****	-0.63**	-1.37****
Green areas								
Industrial land								
Constant	-0.59****	-0.24****			0.34***	0.18***		
ρ		0.66****				0.49***		
r^2	0.67	0.83			0.68	0.76		
Moran's I	0.319****				0.228****			
Breusch-Pagan test	2.5	6.6			6.2	11.3		

'0,1 * 0,05 ** 0,01 *** 0,001 **** 0,0001

2011 131 tracts	LQ High ($\lambda = 1.21$)				LQ Low ($\lambda = 0.11$)			
	OLS	Spatial Lag			OLS	Spatial Lag		
		direct	indirect	total		direct	indirect	total
Over 65 years	2.6****	1.72****	1.84****	3.56****	-3.56****	-2.49****	-2.06***	-4.56****
Before 1945	0.4***	0.27****	0.28**	0.56***	-0.22*	-0.20**		-0.37**
After 1990		0.24**		0.49*		-0.31**		-0.56*
No running water	-9.6****	-0.19****	-0.21***	-0.40***	7.1***	2.13****	2.16***	4.29****
Rent	2.9****				-2.45**			
Green areas	0.003**	0.003****	0.003***	0.007***	-0.002'	-0.002**		-0.004*
Industrial land	-0.003**				0.005****			
Constants	-0.582***	-0.385***			0.591****	0.422****		
ρ		0.589****				0.526****		
r^2	0.63	0.76			0.58	0.68		
Moran's I	0.278****				0.248****			
Breusch-Pagan test	9.3	9.6			8.0	7.5		

'0,1 * 0,05 ** 0,01 *** 0,001 **** 0,0001

Right after the collapse of socialism, in 1992, significant effects also arose from the share of historical housing, tenure structure, and environmental conditions. The share of housing before 1945 is positively associated with the concentration of the higher social group and has an adverse effect on the lower social group. The tenure structure of housing has a similar effect, with the share of owner-occupied housing being a good predictor of higher social status areas, rentals being mediated by public housing. Finally, the lower social groups were overrepresented within neighbourhoods with a higher share of industry, and also in the areas neighbouring industrial districts. While higher social categories were generally avoiding such neighbourhoods, the indirect effects are not significant, meaning that the 'repellent' effect of industry was confined to the local (tract) scale for the better-off.

One decade after socialism, the 2002 results reveal that housing stock and environmental variables lose statistical significance. Surprisingly, compared to the situation in 1992, the effect of tenure structure changed its sign and its effect was limited to the lower social groups' patterns of concentration. After the privatisation of housing to sitting tenants in the 1990s, the lower social group no longer dominated in the areas with higher share of rented housing, as the number of rentals shrank and was increasingly turning to the market.

Two decades after socialism, in 2011, the lower social group was underrepresented in historical areas. The historical housing stock had significant positive direct and indirect effects on the concentration of the better-off, meaning they were overrepresented in those tracts and their surroundings. The areas with new residential tissue built after socialism

had a similar effect, albeit limited at the local scale. Interestingly, green areas started to have direct and indirect effects on the local patterns of segregation. Not only the higher social group concentrated in the tracts with higher shares of green areas, it was also overrepresented in the neighbouring tracts.

Table 5. Regression models at the districts scale 1992 – 2011

39 districts	1992		2002		2011	
	LQ High	LQ Low	LQ High	LQ Low	LQ High	LQ Low
Over 65 years	0.04**	-0.02**	0.03**	-0.03***	0.02***	-0.03***
Before 1945	0.008**	-0.002*			0.007***	-0.006*
After 1990					0.006*	
No running water	-0.02***	0.01***	-0.01***	0.01***	-0.1***	0.2***
Rent	-0.01**	0.006**	0.03**	-0.01*	0.03*	
Green areas			0.007*	-0.005*	0.005**	-0.005*
Industrial land						
r ²	0.81	0.80	0.80	0.81	0.89	0.87
Breusch-Pagan test	13.9'	13.7'	8.0	11.7	4.9	3.1

'0,1 * 0,05 ** 0,01 *** 0,001

At the district scale, the residuals of the OLS model are not spatially autocorrelated and do not suffer from heteroscedasticity (Table 5). The results generally confirm the same relationship between local segregation patterns and neighbourhood characteristics. The effects of demographic, building stock and housing variables seem therefore scale invariant. However, this is not the case of environmental factors. The share of industrial land loses statistical significance at the district scale, further confirming that its effect on segregation patterns is confined to the local level. In turn, green areas became a significant predictor of higher social areas earlier, while the lower social group had significantly less green areas already during the first decade after socialism.

6. Discussion

In Bucharest, the relationship between the local patterns of socioeconomic concentration and neighbourhood characteristics is significant, but is not stable over time. While previous studies, often limited to one time point, have reported inconsistent results, sometimes concluding that the association between environmental quality and residential segregation is either unclear or nonexistent, our innovative research design reveals that both 'attractor' and 'repellent' environmental factors are playing a significant part at different scales, although with varying impacts throughout the succeeding stages of the post-socialist transition. It has been argued that previous mixed findings regarding the association between segregation and environmental factors might arise from

methodological shortcomings (Park et al., 2017; Frey, 2017). Overcoming them reveals that the positive (and expected) effect of green areas on the concentration patterns of the higher social profiles in the post-socialist city requires an extended development of market economy.

Despite raising income inequalities, radical changes in the occupational composition and population shrinkage during the two decades of transition after socialism, the level of socioeconomic segregation did not seem to increase in Bucharest. On the contrary, after marginal changes in the 1990s (Marciniczak et al. 2014), residential segregation unexpectedly continued to decline during the second decade of the transition (until 2011). Keeping in mind the data constraints, we have confirmed these trends at different scales (tracts and districts).

Bucharest might thus give the staggering impression that the post-socialist transition is in fact “equalising” the neighbourhood social profiles and mixing population groups. Not only might this cast doubt on the popular notion that growing income inequality implies a more acute residential segregation (van Kempen, 2007; Tammamaru et al. 2016), it also questions the fashionable assumption that the social cost of the post-socialist transition fosters socio-spatial polarisation or even dualisation (Bernt et al., 2015; Sýkora and Bouzarovski, 2012; Hamilton et al., 2005). Bucharest is a sheer example of professionalisation resulting in a more mixed social profile. One could assume that the more “hesitant” the transition from socialism to capitalism, the longer the “delay” between income inequality and residential segregation (Marciniczak et al., 2014). However, Romania entered the European Union in 2007 and most impediments to housing market and residential mobility were removed by 2005-2006: the absence of a trend reversal by 2011 does not support a “delay” any more.

Apart from environmental factors, other neighbourhood characteristics have a significant effect on the local patterns of socioeconomic segregation, most notably the share of elderly. The demographic group was used as a proxy for a wider demographic context, as it is positively correlated to single households while negatively correlated to the share of people under 15 years and larger households (5 people and above). The elderly appear as the winners of the “hesitant” transition, at least those already concentrated in older housing in the central and northern areas before the transition (Chelcea et al. 2015). There is however an underlying selection as the less affluent, less educated, more recently arrived, thus more likely to live in less attractive neighbourhoods and in the outskirts during socialism, have been returning to their retrocessed land in their former villages trying to survive from subsistence agriculture (Chelcea, 2006). The former elite were able to stay in the same fashionable housing nationalised by the socialists in 1948 at least until the end of the legal protection against eviction in 2005. The “hesitant” transition has preserved this socioeconomic segregation and its geographies in Bucharest until the mortgage market took off in 2006. Only in 2011 the newer housing, built after socialism,

finally attracted high social status population. This supports findings from other post-socialist countries that post-1990 housing allows the better-off to manifest their purchasing power (Marciniczak et al. 2017; Holm et al. 2015). Conversely, worse housing conditions are a strong predictor of the concentration of the lower social group. The share of housing without running water (almost 10% in Bucharest in 1992) is a significant determinant of the lower group strongholds at both tract and district scales, consistently throughout the two decades of the transition.

This suggests that despite the eye catching continuous trend of desegregation, the poor are in fact “trapped” in the neighbourhoods with the worst conditions. Lack of running water was an indicator of a broader situation of deprivation and while its effects remained strong and consistent all over the period, environmental variables are the determinants whose influence have been changing the most. At the onset of the transition, the close proximity of industrial land was both a 'repellent' for the higher social group and a predictor of the concentration of the lower social group. This directly reflects the situation during socialism, when low-quality large housing projects were hastily built right around industrial complexes to house the workers and lower social groups had no choice but to self-construct wood and cob housing lacking basic amenities further out, while the heavy industry was keeping the higher social groups at bay. It is however surprising that their 'repellent' effect is not significant any more after 2000, when the better connected plants started to be redeveloped, as if the novelty of the new shopping malls and business parks erased the environmental cost of the socialist heritage and that less visible water and soil pollution is now easier to overlook.

Conversely, while the proximity of green areas was not significant at the beginning of the transition, it had increasing effects on the local patterns of socioeconomic segregation, 'attracting' the higher and 'repelling' the lower social groups, first at the neighbourhood, then at the local scale. The positive effect of the access to green areas on real estate prices has been demonstrated to emerge early in the transition for other post-socialist cities (Czembrowski and Kronenberg 2016). Whilst in Bucharest the quality of parks depredated at the beguiling of the transition before some redevelopments in the late 2000s, all green spaces were found to have health benefits (Sirodov et al, 2015). The increasing convergence of the effects of housing conditions and environmental factors on local patterns of segregation at the two different scales reveals that, despite the overall desegregation trend, the lower social categories are in fact captive of the less desirable areas in the neighbourhoods with the worse environmental conditions.

7. Conclusion

How helpful is the post-socialist transition in the environmental justice debate? During the “hesitant” transition, the slow (re)emergence of a real estate and housing market

after socialism was to give a unique chance to observe whether poor environmental conditions, hazardous facilities, low cost housing or socially marginalized groups came first. Despite the data limitations in Bucharest, our findings suggest that during as well as after socialism the lower socioeconomic group are being “trapped” in the neighbourhoods with the worst environmental conditions. Overcoming previous methodological shortcomings, our approach shows the increasing impact of the proximity of green areas and symmetrically decreasing effect of the closeness of noxious facilities on the segregation patterns as the market matures. Whereas our study reveals significant relationships between environmental factors and the local patterns of segregation at different spatial scales, a longitudinal, and preferably individual-level statistical information might be needed to draw firmer conclusions. So, what does environmental justice bring to the segregation studies in the post-socialist context? Despite the apparently continuous desegregation trend in Bucharest, and even when controlling for the presence and proximity of demographic, tenure and housing predictors, environmental factors play an increasing role in shaping the local segregation patterns. This would suggest the need for consideration of the environmental factors in policies aimed at mitigating the social cost of the transition as well as residential segregation. This should also lead to use descriptive measures of segregation more cautiously and to explore the relationship between neighbourhood characteristics, environmental conditions and the local patterns of segregation instead.

8. References

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