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'CAUSE' IN QUESTION: ABOUT 3 WAYS OF STARVING TO DEATH IN LITHUANIAN

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ABSTRACT: The phrases *mirti bad-u* (to die hunger-is), *mirti iš bad-o* (to die *iš* hunger-gs), *mirti nuo bad-o* (to die *nuo* hunger-gs) are generally considered as 3 synonymic ways to express a cause of death. Still, if the instrumental case, the preposition *iš* and the preposition *nuo* may be exchangeable without a difference of interpretation in this expression out of context, these 3 syntactic constructions can not be considered as equivalent: the precise analysis of the contexts where these constructions occur shows that each construction corresponds to a specific semantic value, which distinguishes it from the other 2. In sum, these 3 syntactic constructions provide 3 different representations of the event 'die of hunger', hence the fact that in some contexts, the substitution of one construction by another is not possible. The analysis of this micro phenomenon will enable us to extend our study to other expressions involving a cause, to propose definitions of the semantics of the instrumental case and of the prepositions *iš* and *nuo* and finally to observe that 'cause' is a complex label covering very different cases which proceed directly from the forms constructing them.

In Lithuanian, a cause may be introduced by – at least – three different syntactic constructions: the preposition $i\check{s}$, the preposition nuo and the instrumental case. In some cases, these three constructions are equally possible and it is frequent to consider it a case of syntactic synonymy (See E. Valiūlytė (1998:360), Šukys (1998:241). The expression in (1) is often quoted to illustrate this so-called synonymy:

(1) mir-ti bad-u, mir-ti iš bad-o, mir-ti nuo bad-o die-INF hunger-IS die-INF iš hunger-GS die-INF nuo hunger-GS 'to die of hunger'

The detailed analysis of the contexts where these three constructions appear shows that they are not equivalent but correspond to three rather different interpretations of what a « cause » may be. Our objective is to account for the conditions in which these three markers, which are not causal *a priori* and which have clearly distinct uses otherwise, may converge and find themselves in a synonymy relationship.

We will follow French linguist Antoine Culioli who defines language as a meaningful representational activity. The specificity of this theory is that meaning is not considered as a primitive, which each language would encode in its own way. Meaning is created in the utterance, in the succession of operations, of which the syntactic constructions and forms observable in each

language are the tracks. The linguist's task is to reconstruct these operations from a meticulous analysis of the tracks we have in languages by a process of abstraction.

We shall thus begin to wonder about the function of a case and of a preposition in order to be able to conceive the semantics of our three markers. We will then come to the analysis of their conditions of use in the expressions meaning 'to die of hunger', before extending our study to other expressions of cause.

1. CASES AND PREPOSITIONS ARE RELATORS:

The treatment of cases and prepositions in the literature presents striking similarities. At least two main lines of investigation may be identified:

- the argument or adjunct status of the phrase (See for instance Dowty (2003), Franckel & Paillard (2007), Partee & Borschev (2003))
- the distinction between grammatical and semantic values of the marker (See for instance the distinction between grammatical and concrete or semantic cases operated by Jespersen (1924:185), Lyons (1968:295), Blake (1994:32), Bergsland (1997), and the notion of 'empty', 'weak', 'colorless' or 'abstract' prepositions in Vendryes (1921), Séchehaye (1950), Spang-Hanssen (1963), Cadiot (1997))

These two categories indeed present obvious similarities as Zwicky (1992: 370) summarizes it in the following formula: "Anything you can do with cases you can also do with adpositions and vice versa". Generally speaking, it is commonly admitted that cases like prepositions mark the dependence on a constituent which governs them. We can quote as an illustration Blake (1994:1) who considers that Case is « a system of marking dependent nouns for the type of relationship they bear to their heads » and Denis & Sancier Chateau (1994) who define a prepositional phrase as a group which maintains « avec la phrase ou avec un de ses constituants un rapport de dépendance ». Hagège (1997: 19) puts in the same category of *relator* prepositions, postpositions, case endings – or a combination of two of these means – as well as tones in certain languages: he defines a relator as « marque de dépendance d'un complément, circonstanciel ou actanciel par rapport à un prédicat, le plus souvent verbal ».

The proximity between cases, adpositions and terms considered as having a basic connecting function in general can also be highlighted by morphology. In some languages, it was shown that certain case endings originate in relator nouns. In Sinhalese for instance, dative –t and locative –ge case inflection suffixes respectively derive from certain forms of the Sanskrit nouns artha 'aim, wealth' and grha 'house, place, town' (Starosta 1976 : 88). In Lithuanian, the allative case, which expresses the direction towards something, was constituted by the incorporation of a postposition (pi (e) (near)) to a nominal base in the genitive case (Kazlauskas: 165):

```
(2) vakar-op (= vakar-o + p)
evening-ALLS (evening-GS + POSTP)
'towards the evening'
```

Still, most certainly, as Colombat (1981:19) rightly underlines it, there is a fundamental difference between cases and prepositions: the first ones are only the non independent manifestation of a relation between two terms, whereas the latter are an independent manifestation of this relation.

"La préposition étant un signifiant autonome elle n'est pas seulement marque de ce rapport, elle est aussi terme, ce qui lui donne sur le cas une supériorité indiscutable. Au contraire, le cas reste marque, avec tout ce que cela implique de contingent."

Despite this difference in nature, I assume we have here two different means to do the same thing: establish a relation of dependency between two terms, relation which we translate in terms of abstract **location** (in French "repérage"). The operator of location, which puts terms in relation with each other, is a central concept of A. Culioli's theory, which is based on the hypothesis that any

enunciative operation boils down to such an operation: « Tout terme (au sens le plus large: séquence, phrase, unité lexicale, etc.) se trouve pris dans une relation à un autre terme, préalablement donné, et qui a par conséquent dans cette relation toujours asymétrique le statut de **repère** » (Franckel & Paillard 1998: 55). We hypothesize that cases and prepositions are particular operators of location carrying a specific semantics, which we call *relators* (R).

We will consider that in each case, preposition and case ending establish an asymmetric relation between two terms X and Y, where Y is the source of determinations of X. One can note this relation XRY, where Y corresponds to the noun introduced by the preposition or to the noun inflected by the instrumental case. The identification of X is more complex, X may correspond to a term of the context or to a component of the semantic representation of the verb for instance.

Provided with this general hypothesis, we can propose the following hypotheses on the semantics of each of the three markers. These abstract characterizations result from the detailed analysis of the 3 markers in the variety of their respective uses, analysis which is necessary to identify the principle organizing their variation. They are based on the thesis that any preposition, like any case (in a particular language), has a semantic *identity* which can not be defined by some basic meaning, but by the specific role it plays in the interrelations between the terms of the context in which it appears, interrelations which constitute the meaning of the utterance¹.

Semantics of the instrumental case²:

- 1- the instrumental case is a relator: it posits a relation of location between terms X and Y, where Y is the source of determinations for X;
- 2- Y defines X providing it with qualitative properties.

Y is the term inflected with the instrumental case (here *badas*, hunger). In the case we deal with here, X corresponds to the process p (here *mirti*, to die); indeed, as we shall see later, the noun in the instrumental case qualifies the process like an adverb, defining a type of p (here a type of death). One can represent this relation as follows: subj $[mirti]_x [bad]_y - u_R$

Semantics of the preposition *nuo*:

- 1- *nuo* is a relator: it posits a relation of location between terms X and Y, where Y is the source of determinations for X;
- 2- nuo posits that Y is an autonomous term which determines X in giving its origin.

Y is the term introduced by the preposition (here *badas*, hunger). In the present case, X corresponds to the event (here somebody dying): *nuo* Y means that X has Y as its origin.

One can represent this relation as follows: [subj *mirti*]_x *nuo*_R [*bado*]_y

Semantics of the preposition iš:

1- iš is a relator: it posits a relation of location between terms X and Y, where Y is the source of determinations for X;

2- *iš* posits that Y has a double status: on the one hand, it is considered as fully Y, Y as such, (noted I); on the other hand, it is considered from an external point of view (noted E).

3- *iš* posits that X which is initially located by I of Y (Y as such), is located by E of Y (Y considered from an external point of view).

Y is the term introduced by the preposition (here *badas*, hunger). In this particular case, X is the subject of the predicate p (here *mirti*, to die), more precisely, it is the subject in as much as it is involved in the process p (*mirti*). *Iš* posits that X is initially located by 'hunger as such' (I of Y), which signifies that the individual to which the term corresponding to X (the subject) refers, is affected by the sensation of hunger. E of Y, in other words, Y considered from an 'external' point of view, means here that Y is considered solely through the process p which involves X. The notation

² My sincere thanks to Denis Paillard, who helped me clear the formulation of these hypotheses.

¹ For a justification of this position, see de Penanros 2013b in the same volume pp. 103-104.

E signifies that Y is not taken into account as such but through its relation to the process. As a corollary, this process is considered from the perspective of the relation between X and Y, and, as such, p is an 'external' manifestation of Y.

One can represent this relation as follows: $[subj]_x$ mirti $i\check{s}_R$ $[bado]_y$

Given these 3 definitions, we have, with the terms *mirti* (to die) and *badas* (hunger), 3 different representations of a « death by hunger »:

"mirti badu"

The instrumental case constructs the noun *badas* as a term which **defines the process of dying with qualitative properties**: the noun *badas* provides defining properties to the death; the phrase [*mirti badu*] is interpreted as a particular type of death (in that it is a death by hunger/starvation, which distinguishes it from a death of cold, or from a heart attack for instance).

"mirti nuo bado"

The preposition *nuo* constructs the noun *badas* as an **autonomous** term, which retrospectively determines the process, **by giving its origin**. The preposition *nuo* introduces a cause as defined in the dictionary « events that provide the generative force that is the origin of something ».

"mirti iš bado"

The preposition $i\check{s}$ constructs the death as being **a manifestation of hunger**; $i\check{s}$ provides the term *badas* with a double status: on the one hand, it refers to the notion "hunger" as such, on the other hand, it is solely taken into account as determining the involvement of X in the process. The hunger manifests itself through death, in other words, death, through the relation established by $i\check{s}$, is considered as a manifestation, an 'exteriorization' of hunger.

In some contexts, these 3 representations of death by hunger are equivalent, in that the difference of meaning implied (type of death/ death caused by an independent event / death as a manifestation of hunger) is not relevant: the 3 constructions are then exchangeable (see (3)).

(3) Tai viena skurdžiausių pasaulio valstybių. Nors trūksta būtiniausių prekių ir maisto, **dešimtys tūkstančių piliečių mirė nuo bado/badu/iš bado,**valdžia didžiules lėšas skiria armijos išlaikymui, masinio naikinimo ginklų kūrimui ir gamybai.

This is one of the poorest states in the world. Although food and goods for basic needs are lacking, that **tens of thousands of people died of hunger**, the State devotes enormous sums to the maintenance of the army, to the creation and production of weapons of mass destruction.

But theses cases are rare. The semantics of *nuo*, which constructs *hunger* as an autonomous term, is quite different from the semantics of the preposition *iš* and of the instrumental case, and this results in the fact that *nuo* is rarely substitutable for these 2 constructions in context (and vice versa). The semantics of the instrumental case and of the preposition *iš* are much closer to each other for this causal relation, and their differences of meaning are quite difficult to tackle in this purely notional issue. Still, we maintain that these semantic differences exist in the system of the language even if they are not relevant in certain contexts (implying then an apparent synonymy) and that the definitions of these markers permit to highlight the dividing line between *mirti badu* and *mirti iš bado*³, and to account for the differences of distribution of the 2 constructions outside of this specific expression.

We will examine these 3 expressions successively in order to clarify their specificities, and will each time widen our study to other expressions of cause. This study is based on a corpus of around

³ It is to be noted that we encountered the same practical difficulty of the study underlined in de Penanros 2013b (same volume, see point 2. "Methodology" p. 109-110) and that the same observation concerning the preponderance of the preposition *iš* over the instrumental case could be done.

3000 occurrences from the database Kompiuterinės Lingvistikos Centras (donelaitis.vdu.lt) which was submitted to native speakers.

2. THREE DIFFERENT REPRESENTATIONS OF A 'CAUSE':

2.1. Instrumental case: definition of the process by qualitative properties

2.1.1. Death as an abstraction

Studying numerous contexts, we observe that the instrumental case predominates in the contexts where the 'death by hunger' is taken into account abstractly, as a type of death.

(4) Tie, kurie nebepakelia išdavystės ir sugalvoja žudytis,

```
tegul pabando mir-ti bad-u /?iš bad-o /?nuo bad-o.
let try die-inf hunger-IS /??iš hunger-GS /??nuo hunger-GS
```

Toks bañdymas per porą savaičių juos dažniausiai sugrąžina į gyvenimą.

Those who can no longer bear betrayal and are thinking of suicide **just have to try and die of hunger**. Such an attempt will bring them back to life within 2 weeks.

Mirti badu is the expression to be employed in (4), where the 'death by hunger' is considered as a type of death to be tested. Likewise, this conception of a 'type of death' with the instrumental case explains that the informants⁴ spontaneously use *mirti badu* when proposed the context (5).

- (5) If you could choose, would you rather **die of hunger** or get drowned?
 - I'd rather die of hunger.
 - Jei tu galėtum pasirinkti, tu norėtum **mirti badu** ar nusiskandinti?
 - Aš geriau mirčiau badu.

2.1.2. Terms possible in the position Y

The semantics of the instrumental case which provides the process with qualitative properties to define its nature explains which nouns may be employed in the position Y^5 .

First of all, with the verb *mirti*, only nouns referring to usual causes of death are possible in the instrumental case.

```
(6) mirti badu<sub>IS</sub>, plaučių vėžiu<sub>IS</sub>, gripu<sub>IS</sub>./*meile<sub>IS</sub>, *džiaugmu<sub>IS</sub>, *skausmu<sub>IS</sub> to die of hunger, of lung cancer, of the flu, *of love, *of joy, *of pain
```

Secondly, the term in the instrumental case (Y) must be typical enough regarding death in order to be able to define its nature; as such it must enter a paradigm constituted of the different causes of the same order possible⁶. With *mirti*, if all the nouns of diseases are possible in the instrumental case, the generic term *liga* (disease) is impossible (and the preposition *nuo* is employed).

```
(7) mirti *liga<sub>IS</sub> /*iš ligos / nuo ligos 'to die of disease'
```

Thirdly, the names of internal sensations are not possible in the position Y:

```
(8) mirti *alkiu<sub>IS</sub>, *troškuliu<sub>IS</sub>, *nuovargiu<sub>IS</sub>, 'to die of *hunger, of *thirst, of *fatigue'
```

⁴ They generally specify afterwards that the use of the preposition *iš* is also possible in such a case.

⁵ The present article focuses on the question of "cause". However, one can note that the expression of a "cause" is not the only way to define a type of death, but it is still the instrumental case which is used: *mirti natural-ia / lèt-a / kankinam-a / kankin-io mirt-imi* (to die natural-IS / slow-IS / painful-IS / martyr-GS death-IS, to die a natural, slow, painful death, to die a martyr's death).

This property seems common to all the uses of the instrumental case, whether it introduces an adjunct or an attribute; it is employed in the cases when the N introduced belongs to a closed paradigm: choice of a route between several routes possible in the spatial value (važiuoti siauromis gatvėmis "to pass by narrow streets"), selection of terms belonging to closed lists (pirmadieniais "on mondays") or pinpointed by a demonstrative in the temporal value (tuo metu "at that time"), choice of an instrument - or of a means - among others in the manner value (važiuoti traukiniu "to go by train", šauti šautuvu "to shoot a gun"), unstable, temporary state (i.e. opposed to another one) in the case of the attribute, etc.

It is interesting to note here that there are two nouns corresponding to the unique 'hunger' in Lithuanian: *badas* and *alkis*.

- badas refers to hunger as 'lack': this term is defined as 'neturėjimas ko valgyti' (non possession of something to eat, absence of food); in colloquial Lithuanian, this term may also be employed to refer to all sorts of lacks 'popieriaus badas' (lack of paper)
- alkis refers to hunger as 'sensation': 'norėjimas valgyti, išalkimas, badas' (will to eat, starvation, hunger 1)

Only the first one (*badas*: hunger, famine, lack) is possible in the instrumental case with *mirti*, because internal sensations are not considered as typical causes of death.

2.1.3. Different constraints with other processes

These constraints on the use of names of emotions, feelings and sensations are not absolute: there are some processes whose typical causes are precisely emotions, feelings and sensations, and the instrumental case is then possible. This is for instance the case with the verb « švytėti » which means to shine, to radiate (see (9)).

```
(9) švytė-ti laim-e, meil-e džiaugsm-u ramyb-e vilt-imi shine-INF happiness-IS love-IS joy-IS serenity-IS hope-IS to shine with happiness, love, joy, serenity, hope
```

With this verb, the cause may be introduced either by the instrumental case or by the preposition *iš*. These expressions are *clichés*, where the list of the words possible in the instrumental case or in the prepositional phrase with *iš* highly depends on the properties of the predicate, or even the nature of the subject. The area of *clichés* with names of emotion/feeling/sensation seems to be occupied as well – but differently – by the instrumental case, as by the preposition *iš*. This area is however not covered by the preposition *nuo*. We will come back to this point in section 2.2.3.

2.1.4. Nouns referring to external phenomena possible

If we consider not only the nouns of emotion/feeling/sensation, the difference between the instrumental case and the preposition $i\check{s}$ becomes more explicit. Indeed, the typical causes of radiation are not restricted to internal feelings, and one can have numerous terms referring to external phenomena in the instrumental case. In that case, the preposition $i\check{s}$ can not be employed, the instrumental case competes with the preposition nuo, but we will come back to this point in section 2.3.3.

```
(10) švytė-ti auks-u, skaisč-iu raudon-iu, vis-u gražum-u, akinam-a šypsen-a shine-INF gold-IS pure-IS red-IS all-IS beauty-IS blinding-IS smile-IS to shine like gold, a pure red, of all one's beauty, with a blinding smile
```

2.2. Preposition is: the process as a manifestation of Y

2.2.1 Contexts of expression of a feeling

If *iš bado* and *badu* are most of the time substitutes for one another in contexts of effective death, the instrumental case is more difficult in cases when « to die of hunger » only expresses undernourishment or a simple feeling. Thus the preposition *iš* is preferably employed in contexts like (11), which corresponds to the usual expression you utter when you are very hungry:

```
(11) Mir-št-u iš bad-o! /?bad-u! (/*nuo bad-o!) die-PRS-1S iš hunger-GS /?hunger-IS (/*nuo hunger-GS) I'm dying of hunger [starving]!
```

7

⁷ We call "external phenomenon" what refers to the *external* appearance or aspect of the element to which the subject refers, and as such stands in contrast with the emotions/feelings/sensations mentioned above. More generally, we will use the term "external cause" to refer to what is not an internal cause: it may correspond to the emotion/sensation/feeling/ psychological trait of an individual other than the one referred to by the subject of the predicate, to an element of the appearance of the subject (or *a fortiori* of someone else), to elements of nature, to diseases, etc. in sum, to anything that is not an emotion/sensation/feeling/psychological trait of the subject of the predicate.

2.2.2. Predictions of the semantic definition of iš

The semantics of $i\check{s}$ permits to account for the constraints of use of this preposition in causal relations in general. More precisely, it permits to account for the properties of the terms used in the position Y: $i\check{s}$ exclusively combines with nouns referring to emotions, sensations, psychological traits of the subject of the predicate. To put it another way, $i\check{s}$ combines exclusively with names of abstract notions which can only be materialized through the behavior of the individual who feels them. These 2 properties of Y, i.e. 'ABSTRACT / INTERNAL', are captured by the semantic definition of $i\check{s}$:

- The formulation "is posits that Y has a double status: Y as the notion as such (I)" captures the fact that the term in the position Y exclusively refers to **abstract notions**. For this reason, terms singularizing Y (noun adjuncts, possessives, etc.) are excluded from the prepositional phrase (see (12)).

```
(12) iš tinginystės /*iš savo tinginystės iš laziness /*iš refl.poss laziness because of laziness
```

More generally, the prepositional phrase can not easily be extended, because it is important that Y referred to the abstract notion as such. It can chiefly be completed by adjectives expressing a – most often – high degree (see 13))⁸.

```
(13) iš didelio džiaugsmo, iš neišpasakyto skausmo iš big joy iš unspeakable pain skausmo
```

- The formulation "X is initially located by I of Y (Y as such)" shows the necessary cohesion between X (the subject) and Y (the feeling, emotion or psychological trait); Y is necessarily a phenomenon **internal** to the subject of the predicate (X).

This constraint distinguishes $i\check{s}$ from the other 2 constructions which may introduce external causes, i.e. causes which may correspond to individuals or elements distinct or dissociable from the subject (see (14) & (15)).

```
(14) Jonas apsvaigęs nuo/*iš ją užpl\bar{u}d-us-io džiaugsm-o. Jonas intoxicated nuo/*iš pro3.AS invade-GERP-GS joy-GS Jonas was wild with the joy that had invaded her<sup>9</sup>.
```

```
(15) Pieva geltonuoja purien-omis /*iš purien-ų /nuo purien-ų field turn yellow buttercup-IP /*iš buttercup-GP /nuo buttercup-GP The field is covered in yellow with buttercups. (litt the field turns yellow with buttercups)
```

On the other hand, this property of the preposition *iš* explains that, contrary to the instrumental case, it is perfectly possible with the verb *mirti* (to die) and the term *alkis* (hunger sensation) in the position Y:

```
(16) mirti iš alkio
to die iš hunger
to die of hunger
```

One then understands why the preposition *iš* can not introduce nouns of diseases as causes of the predicate *mirti* (to die) (see (6')): a disease is an external element which is "caught" by the patient, it has an autonomy as it can be contagious, it can spread in the body on its own way and it has a series of symptoms which make it concrete.

```
(6') mirti plaučių vėžiu, gripu /*iš plaučių vėžio *iš gripo to die lung-GP cancer-IS flu-IS *iš lung-GP cancer-GS *iš flu-GS to die of lung cancer, of the flu
```

-

⁸ See Valiulytė (1998: 341) for this point.

The instrumental case is also impossible to use here: with the instrumental case, causes must be typical, so when drunkenness is concerned, causes have to deal with alcohol.

With $i\check{s}$, the phenomenon expressed by the verb: the death, shaking, crying of the subject (X) are a manifestation, an 'exteriorization' of the hunger, fear or pain (Y) that this subject is feeling:

(17) *mirti iš bado, drebėti iš baimės, verkti iš skausmo* To die of hunger, to shake with fear, to cry in pain

2.2.3. Expressions close to set phrases

This principle of 'exteriorization' of the emotion/feeling/sensation referred to by the term in position Y explains that a part of the causal expressions with *iš* are close to set phrases: they are kinds of *clichés*, where one can not choose freely the terms involved. The list of terms possible in the position X highly depends on the properties of the term in position Y and vice versa. Example (18) displays the type of predicates possible in position X if we have 'baime' (fear) in position Y, and, conversely, (19) presents the list of nouns possible in position Y if we have the process '(pa)šokti' (to jump) in position X.

```
(18) (X) drebėti, urgzti, tirtėti, klykti, sustingti, mirti, *išblaivėti/ iš <u>baimės</u> to shake, to grunt, to shudder, to scream, to freeze, to die, *to sober<sup>10</sup> with fear (19) (X) (<u>pa)šokti</u> iš džiaugsmo, netikėtumo, laimės/*meilės, *troškulio, *gailesčio, to leap (up) in joy, with surprise, in happiness / *love, *thirst, *pity
```

This phenomenon of « set phrases » is neither surprising nor imputable to the preposition $i\check{s}$: the expression of emotions/feelings/sensations obeys certain norms peculiar to each language which correspond to anthropological realities (it turns out that we do not jump with thirst). But the semantics of the preposition $i\check{s}$ which constructs X as a manifestation of Y happens to be perfectly compatible with the expression of these relations.

This is not the case with the preposition *nuo* which introduces an autonomous element and which is, for this reason, excluded in this type of interpretation as we shall see in section 2.3.

2.2.4 Partial overlap between is and the instrumental case

As we have seen, there are 2 possible constructions for the expression of causal-relations-*clichés*: one, with the preposition *iš* whose application is limited to the expression of involuntary reactions linked to emotions, feelings, internal sensations; the other one, with the instrumental case, which has a broader application, as it covers not only the range of uses of *iš*, but also the expression of phenomena linked to external causes (see (15) above), a case to which we shall return after studying the semantics of *nuo*. If one can understand that the respective semantics of the instrumental case and of the preposition *iš* allow these markers to express the causal relations between emotions, feelings, internal sensations and the involuntary reactions of their author¹², the overlap between these two constructions is however only partial.

On the one hand, between the 2 constructions seems to take place the following partition: the instrumental case dominates in the cases where the subject refers to a body part 13 , whereas the preposition $i\check{s}$ dominates in the cases where the subject refers to humans. This may be explained by

(18') **Į** paryt**į** nuo /*iš baim-ės išblaivėj-ęs vežėjas towards morning nuo /*iš fear-GS sober-PPA carter

rado mirusįjį upėje, atvežė valsčiun ir iki pusiaudienio laukė, kol atsibus naminės išguldyti pareigūnai.

 $^{^{10}}$ It is to be noted that the verb *išblaivėti* (to sober) is perfectly possible with the cause *baimė* (fear) if it is introduced by the preposition *nuo*:

Towards morning, sobered up because of the fear, the carter found the dead body in the river, carried it and until noon waited for the local officers to wake up.

¹¹ See D. Leeman (1991) for the French language.

¹² Indeed considering that in *švytėti iš laimės* "to radiate with happiness", *radiating* is a manifestation of *happiness* is not very different, from an interpretative point of view, from *švytėti laime*_{is} "to radiate with happiness", where radiating is presented as qualitatively defined by happiness.

¹³ See E. Valiūlytė (1998 :349).

the fact that for the preposition $i\check{s}$, it is important that X referred to the author of Y (see point 2.2.2. above).

On the other hand, the interdependence we observed between the type of process and the nature of the emotion/feeling/sensation expressed does not answer the same criteria according to the construction, and the study of the various names of emotion/feeling/sensation possible with such or such construction according to the verb shows it. As a matter of fact, there are some emotions/feelings/sensations which are difficult to take into account as having a 'canonical' manifestation. If one can easily imagine, in English, which may be the manifestations of *despair* (cry, scream, etc.) or *nervousness* (shake), things get complicated with *hope* or *calm*. It is the same in Lithuanian where the latter 2 are impossible with *iš* (see 20):

```
(20) X iš nevilt-ies, iš susijaudinim-o, *iš vilt-ies, *iš ramyb-ės X iš despair-GS iš nervousness-GS *iš hope-GS *iš serenity-GS X of despair, of nervousness, *of hope, *of serenity
```

But *viltis* (hope) and *ramybė* (calm) can be taken into account as defining a process by their qualitative properties, and these terms are quite possible in the instrumental case with a verb like *švytėti* (to to shine, radiate, glow) (see (10')).

```
(10') švytėti laime/iš laimės, meile/iš meilės, džiaugsmu/iš džiaugsmo/ pasididžiavimu/iš pasididžiavimo, ramybe/*iš ramybės, viltimi/*iš vilties to shine with happiness, love, joy, pride, serenity, hope
```

With the instrumental case, it is less a question of cause than of the definition of the nature of the *radiating* by qualitative properties, and the list of the terms possible in the instrumental case is wide (still, it is limited to positive terms, the verb *švytėti* (to shine, radiate, glow) referring to a positive state itself) (see (21)&(22)).

```
(21) Bruce'as Willisas, kaip visada, švyti vyrišku žavesiu ir sardonišku humoro jausmu./*iš Bruce Willis, as always, radiates with manly charm and with a sardonic sense of humour. (22) Jis švyti pasitenkinimu ir sveikata, o akyse negesta pašaipa. /*iš
```

He radiates with satisfaction and health, and in his eyes shines a glimmer of irony.

Iš, which can introduce only names of internal emotions/feelings/sensations of the subject of the predicate, is impossible to use in these 2 sentences. With the verb *švytėti* (to shine, radiate, glow), this preposition can only introduce a few names of emotions/feelings/sensations, whose canonical manifestation is culturally admitted as being « a radiation »: that is, in particular, *laimė*, *love*, *džiaugsmas*, *pasididžiavimas* (happiness, love, joy, pride).

While the semantics of $i\check{s}$ allows this preposition to express any reaction triggered by internal emotions/feelings/sensations, this is not the case with the instrumental case, for which the relation between the process and the qualifying term must be **typical**. Thus, the instrumental case can not replace the preposition $i\check{s}$ in a number of cases:

```
(23) užsimerkti iš baimės/*baime, iš siaubo/*siaubu, iš apmaudo/*apmaudu, iš malonumo/*malonumu, iš džiaugsmo/*džiaugsmu, iš skausmo/*skausmu, iš pykčio/*pykčiu, itt to close one's eyes in fear, terror, bitterness, pleasure, joy, pain, anger, etc.
```

Some processes, like the verb *užsimerkti* (to close one's eyes) (see (23)), which are on the border between voluntary and involuntary processes and hence can not be considered as having typical causes or being typical of certain causes, are incompatible with the instrumental case.

This limitation does not hold for $i\check{s}$ - which is not confined to set phrases - and can introduce any internal phenomenon of which the process is the manifestation, whatever type of process that it may be, voluntary or not :

```
(24) Jis atsisveikino iš mandagum-o /*mandagum-u. He said goodbye iš politeness-GS /*politeness-IS He said goodbye out of politeness.
```

 14 We call it « canonical » because the manifestation of emotions/feelings/sensations in languages obeys to norms.

In (24), the greeting of the subject (X) is not taken into account as such, but as a pure manifestation of politeness (Y), hence the fact that the greeting may be interpreted as not really wished/sincere.

2.3. Preposition Nuo: an autonomous element as origin of the process

2.3.1 Official cause-of-death statements

Mirti nuo bado is the least frequent construction, but it is the one used in official or scientific contexts, where the causes of death are researched, established, recorded, classified:

(25) Lietuviai įkišo pensininką į jo paties automobilio bagažinę ir paliko nuošaliame miško keliuke. <u>Teismo ekspertai</u> nustatė, kad G.Anderssonas mir-ė nuo troškul-io, bad-o ir šalč-io.

die-PST nuo thirst-GS hunger-GS and cold-GS

The Lithuanians had introduced the pensioner in the trunk of his own car and had abandoned it in a small and remote path of the forest. <u>Legal experts established</u> that G. Anderssonas **died of thirst, hunger and cold**.

(26) Be to, Vilniuje jau dirba penki antropologai iš Prancūzijos, kurie sieks nustatyti tikrąsias kariūnų mirties priežastis
- nors manoma, kad **kariai mir-ė nuo bad-o ar šalč-io**,
soldiers die-PST nuo hunger-GS or cold-GS

bus tiriama, ar <u>mirties priežastis</u> nebuvo kokia nors epidemija.

In addition, 5 French anthropologists, who will seek to establish the real causes of death of the soldiers, are already working in Vilnius. Although we think, that **the soldiers died of hunger or cold**, we will consider whether the cause of death was not an epidemic.

In these contexts, the instrumental case or the preposition *iš* are either impossible to use or considered as not as good by informants. Here, death is not taken into account as a manifestation or as a type. The main thing in these contexts is to establish the causes of death in an objective way, which is precisely the specificity of *mirti nuo bado*.

This function of *nuo* which constructs Y as an independent, autonomous and objective cause of death explains :

1) that Y may correspond to a pronoun, which is not possible with *iš* and the instrumental case:

(27) Kaime viešpatavo badas.

Nuo /*Iš jo /*Juo ir mir-ė visi gyventojai.

nuo /*iš PRO3.GS /*PRO3.IS and die-PST all inhabitants

In the village famine prevailed. And this is what all the inhabitants died of.

- 2) that *nuo* combines with terms referring to external phenomena (which are not possible with *iš*, see point 2.1.4.):
 - Nouns of disease:

mirti nuo/*iš vėžio, apendicito, širdies ligos (to die of cancer, appendicitis, heart disease)

- Nouns of external agent:

mirti nuo/*iš perdozuotų narkotikų, peilio dūrio, dvylikos tūkstančių lazdų smūgių, okupanto rankos

(to die of overdoses, from a stab, from 12000 blows with a stick, from the hand of the occupant)

Abstract Nouns :

*mirti nuo/*iš* bet kokios priežasties, privataus chirurgo kaltės, (to die for any reason, by the fault of a private surgeon 15)

15 If the phrase nuo privataus chirurgo kaltės may seem doubtful at first glance (one would a priori expect the preposition dėl with a term like kaltė 'fault'), the clarification of the context where this expression occurs permits to remove all doubt: "Ypač šis skirtumas išryškėja analizuojant medikų atsakomybę ir, galima teigti, kad geriau jau mirti nuo privataus chirurgo kaltės, nes teismas tada nereikalaus įrodyti jo grubios klaidos, o pasitenkins paprasto aplaidumo įrodymu. Tuo tarpu valstybinei ligoninei, kuri turi rūpintis bendru visuomenės interesu, smulkios klaidos, nors ir su ypač skausmingomis pasekmėmis, atleidžiamos. Toks bylų padalijimas tarp administracinių ir civilinių teismų, kuris neegzistuoja anglosaksų teisinėse sistemose turi dar vieną negerovę." (This difference is particularly clear when one analyses the responsibility of physicians and one can argue that it is better to die due to the fault of a private surgeon, because in this case, the court will not require the proof of a serious fault, a proof of mere negligence will suffice. Whereas for a public hospital, which has to care for the general interest of society, minor faults, even with very

3) that *nuo* is difficult with terms referring to internal sensations:

```
mirti nuo/iš troškulio, bado, alkio, šalčio, karščio (to die of thirst, hunger 1, hunger 2, cold, heat)
```

The most common noun of sensation with *nuo* are the cold (*šaltis*), heat (*karštis*), and hunger (*badas*), that is terms which can be considered as referring to internal sensations as well as external phenomena¹⁶. It is interesting to note in this respect that the term *alkis* (hunger as internal sensation) just like the term *troškulys* (thirst) are much more rarely used with the preposition *nuo*: *hunger* (sensation "*alkis*") and *thirst* are sensations which can hardly be considered as being autonomous, independent of the subject who feels them (contrary to *cold*, *heat* or *hunger* as lack of food "*badas*").

These terms are not excluded with *nuo*, but they require a context which shows clearly that these sensations are considered as autonomous, objective causes of the process (see (25)). One then understands the opposition between the prepositions *iš* and *nuo* underlined by Valiūlytė (1998: 354): the preposition *nuo* is frequent with subjects referring to inanimate elements, the cold, heat, etc., expressed in the position Y being in this case understood as "natural phenomena", i.e. elements independent of the subject (see for instance *Vanduo nuo šalčio sustingo į ledą*, 'Water froze in ice in the cold'), whereas the preposition *iš*, on the contrary, is less frequent with such subjects as it is important for this preposition that the subject be the author of Y.

2.3.2. Y as an objective autonomous cause

These properties are at stake in all the causal uses of the preposition *nuo*. For instance, we note that *nuo* is difficult with terms like *nustebimas* (surprise), *apstulbimas* (astonishment) which refer to sudden and unexpected impressions, which is hard to reconcile with the principle of autonomy of the cause introduced by this preposition. There is no occurrence of a causal *nuo nustebimo/apstulbimo* in the Lithuanian database or on the internet.

(28) Iš/*nuo apstulbimo mano akys išsprogo.

My eyes bulged with astonishment.

(29) Aš sušukau iš/*nuo nustebimo.

Il let out a cry of surprise

It is the same with the feeling of 'shame' $(g\dot{e}da)$, which, maybe because it is more intimate, more internal than others, is rare with nuo in the causal value. The only example of the Lithuanian database clearly presents the conditions of use of nuo (see (30)):

```
(30) - Kaip šuva, - tarė K., tartum ši gėda turėtų jį pergyventi. Jozefas K. mirė, kaip ir gyveno - gėdydamasis. 

Ir mir-ė jis nuo /?iš gėd-os.

and die-PST he nuo /?iš shame-GS
```

« Like a dog », said K., as if this shame was to survive him. Jozefas K. died as he lived, in shame. And he died [because] of this shame.

It clearly appears here that shame has a strong presence in the left context, it has an autonomy and a sufficient independence to function as external cause of the process *mirti* and *iš* is not as good here, although the expression *mirti iš gėdos* (to die of shame) is a *cliché* to express shame to a high degree.

These regularities are at stake with all the nouns of sensation used with the preposition *nuo*: cause has a strong autonomy, it is often present in the left context (see the underlined part):

(31) Nutraukti bambagyslę su šia sistema kvietė "šoko terapijos" ideologai. Jie įrodinėjo, jog <u>reikia pulti nuogiems į</u> <u>dilgėles</u> įr, **sukand-us dantis nuo skausm-o**, greitai persiorientuoti į kapitalistinius santykius.

painful consequences, are excused. This distribution of cases between the administrative and civil courts, which does not exist in the Anglo-Saxon legal system, has another drawback.)

¹⁶ See the difference between *alkis* (hunger 2) and *badas* (hunger 1) above.

clench-GERPST teeth nuo pain-GS

The ideologists of the «shock therapy» invited to cut the umbilical cord with this system. They proved that it is necessary to throw oneself nude in nettles, and teeth clenched because of the pain, quickly shift towards capitalist relations.

This consideration of an autonomous element, put in relation with its effects, explains that we have 'raitytis **nuo** skausmo' in (32), whereas 'raitytis **iš** skausmo' (to writhe in pain) is the cliché par excellence.

(32) Naktį atvežė jauną merginą, sergančią apendicitu. Ji **raitėsi nuo skausm-o** ir privalėjau vienas ryžtis operacijai.

she writhed nuo pain-GS

One night a woman suffering from the appendicitis was brought. She writhed in pain and I had to make the decision alone to operate her.

In this utterance, it is a surgeon who speaks: he observes the symptoms, objectively establishes their cause, and makes the appropriate medical decision. The main thing here is not the expression of a sensation, but the objective statement of a cause and its effects, hence the use of *nuo*.

The semantics of *nuo* explains that this preposition always expresses concrete relations. *Nuo* can not introduce an image or a metaphor to express a sensation or emotion (see the impossibility of *nuo* in (33)).

(33) *Tėv-ų šird-ys tebe-plyš-ta iš/*nuo skausm-o.* parent-GP heart-NP still-split-PRS *iš /**nuo pain-GS *Alytiškė Daiva Anušauskienė niekaip negali susitaikyti su sūnelio Girmanto mirtimi.*

Even now, the heart of the parents is broken in pain. Daiva Anušauskienė from Alytus can in no way recover from the death of her little boy Girmantas.

2.3.3. Marginal overlap between nuo and iš or the instrumental case

None of these properties are shared by the prepositional phrase with *iš*. Moreover, the terms privileged with *nuo* (external causes) are excluded with *iš* and the terms privileged with *iš* (internal states) are difficult with *nuo*. Finally, the overlap between these 2 prepositions is limited, and one can rarely substitute them for one another in a given context.

These properties of *nuo* are not shared by the construction with the instrumental case either, and even if external causes are not excluded with this construction, the overlap with this preposition remains marginal.

If *nuo* can introduce any kind of external cause - or presented as such - the use of the instrumental case is limited to three fields :

- Verbs referring to the manifestation of visual properties

(34) Piev-a geltonuo-ja purien-omis. field-NS turn yellow-PRS.3 buttercup-IP

The field turns yellow with buttercups.

- Verbs of sound

(35) Mišk-as skardė-jo paukšč-iais. forest-NS echo-PST.3 bird-IP The forest echoed with sounds of birds.

- The verb *lūžti* (to break) in the sense of "to give way under the weight of"

(36) *Sod-ai lūž-ta obuol-iais*. garden-NP break-PRS.3 apple-IP Gardens are drowning in apples

In these expressions, unlike what happens with the preposition $i\check{s}$, the subject which is involved in the process is entirely affected by the properties of the term in the instrumental case, to such an extent that it merges with it: « the field becomes buttercups, the forest becomes sounds of birds, the gardens become abundance of apples ». With the instrumental case, it is not so much a matter of expressing an external cause than giving a global description of the process by qualitative properties inherited from the term in the position Y.

On the one hand, this semantics of the instrumental case explains why this construction frequently appears in descriptions of nature in Lithuanian poetry (see (37)) and is often considered by native speakers as poetic in itself.

```
(37) Mišk-ais lyg rūt-a kaln-ai žaliuoja. / ??nuo (Maironis) forest-IP as rue-IS hill-NP turn green /??nuo
Hills, of forests and rue covered, turn green.
```

Replacing the instrumental case by the preposition *nuo* in this sentence (with a specific word order, rhythm, etc.) would be very difficult; it would reduce the expression of forests and rue to an objective external cause, to a cold statement of the green colour of the Lithuanian countryside, and all poetry would be lost.

On the other hand, as the instrumental case constructs « typical » relations, these expressions, just as for the internal causes are considered as *clichés*. Thus a field can mainly become yellow with 'buttercups' (*geltonuoti purienomis*) or a few other flowers typical of Lithuania; colza, which was brought in only recently in Lithuania, is rather introduced by the preposition *nuo*.

```
(38) Piev-a geltonuoja nuo raps-ų / ?raps-ais fiels-NS turn yellow nuo colza-GP/ ?colza-IP
The field covers in yellow because of the numerous flowers of colza
```

Lastly, when the context clearly shows that the cause of a phenomenon is in question, and when it is obviously not about describing a phenomenon from the point of view of its qualitative properties, the preposition *nuo* is employed (see (39)).

```
(39) -Kodėl čia taip šviesu?

- Jau pavasaris - lauk-ai nuo purien-ų /?purien-omis geltonuoja.
field-NP nuo buttercups-GP/?buttercups-IP turn yellow
- Why is it so clear here?
```

- It is spring already: fields become yellow because of the abundance of buttercups.

3. CONCLUSIONS

The prepositions *nuo*, *iš* and the instrumental case have the property to express causal relations in certain circumstances, depending on the properties of the noun they introduce, of the verb employed, and of the noun subject.

Our hypotheses on their respective semantics allowed us to account for their conditions of use and to show that they give rise to 3 rather different interpretations of what a 'cause' may be.

- With preposition *nuo*, the cause is an autonomous factor, which is at the origin of the event referred to by the process.
- With the instrumental case, the causal interpretation can not be dissociated from a qualitative characterization of the process.
- With preposition *iš*, the cause is interpreted as an abstract notion which manifests itself in the process.

The alleged synonymy of these three constructions thus turns out to be illusory; it shatters as soon as one looks into the language in actual use and into the nuances of interpretation in the full diversity of the contexts. The exploration of the constructions sketched out here is however only partial and the complexity of the phenomena was only just touched on. A detailed study of the different types of predicates and the different types of nouns possible in positions X and Y would show new factors of diversification and would reveal other proximities (with the prepositions $d\dot{e}l$ and per for instance). An analysis of the position of the constituents in the utterance in relation to intonation would also open new sources of differentiation of the constructions considered and

disclose new factors of deployment of meaning¹⁷. The analysis is in fact necessarily unfinished, as meaning is infinitely subtle and impossible to tackle as a whole.

This analysis of a microscopic fact may appear to be just a simple contribution to the study of certain collocations and idiomatic expressions used in Lithuanian. Its scope is a bit wider.

The hypotheses on the semantics of the 3 markers concerned are general and permit to account for all their other uses¹⁸.

This study is also a contribution to research on prepositions and cases. In this respect, we characterized a case and a preposition by a common function, - that of relator. But the question remains open as to what constitutes the difference between these two categories. Indeed, if we defend the idea that the different forms we can observe in languages are the tracks of as many different linguistic operations, considering that there is no difference between cases and prepositions is not coherent. Our hypothesis is that the difference between the two lies in the type of location they establish, but we leave this question open for further research¹⁹.

Lastly, this analysis is the occasion to reaffirm that there are no minor facts of language, that 'idiomatic' expressions do not constitute unanalysable blocks, but are the results of the interactions of the forms which constitute them. As such, they are just as important to the linguist as any other fact of language. They may even be a precious element contributing to a better understanding of how language functions when they reveal an abyss of complexity, where at first glance one imagined homogeneity.

ABBREVIATIONS:

S : singular PRS: présent POST : postposition SUBJ: subject

P: plural PP: past participle PRO: pronoun 3: 3rd person N: nominative GER: gerundive POSS: possessive A : accusative DIM: diminutive

G: génitive SUP: superlative PPA: Past active participle

1: 1st person 3: 3rd person D: dative NEG: negation I: instrumental REFL: reflexive

ALL : allative L : locative PP: prepositional phrase

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¹⁷ For an analysis of this type, see de Penanros (2004).

¹⁸ See de Penanros 2013b (in the same volume) for a study of another value of the instrumental case and of the preposition $i\tilde{s}$. ¹⁹ See de Penanros 2013a for an analysis of this question.

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