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Providing Predictive Self-Healing for Web Services: A QoS Monitoring and Analysis-based Approach

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Abstract: Monitoring and analysis of QoS are crucial steps for the provisioning of self-healing web services and for managing web service-based distributed interactive applications. Dealing with these issues becomes even more challenging when applications are dynamically built by composition of distributed services involving different service providers. In this case, assuming access to the internal logic and its implementation within the composed web services is not realistic. In this paper, we propose an architectural framework for monitoring and analysis of QoS driven by models for QoS analysis. This framework has been implemented and experimented for the web service technology within the European WS-DIAMOND¹ project. We consider the general context where only SOAP messages between web services are monitored. The main novelty of our approach is, on the one hand, to provide a generic application-independent framework. On the other hand, we provide models allowing QoS deficiencies to be detected and considered as an indicator of the health degradation of the monitored web services.

Keywords: QoS, Self-healing, Web service, Monitoring, Analysis.

1. Introduction

Building distributed applications by dynamically selecting and connecting web services constitutes a powerful adaptation and repair mechanism. This also leads to complex composite systems where design-time requirement analysis solutions are no more sufficient. Additional management is needed not only for deciding about the capability of a given service to satisfy a given set of requirements, but also for assessing continuously this capability during the exploitation of the services. It relies on observing and analyzing the behavior of the service. This is useful for providing self-healing and self-optimizing web services and associated applications as addressed by autonomic computing and communication. Both functional and non functional properties may be analyzed through observation of exchanged messages between the interconnected web services. For QoS-like properties, analysis may rely on a

standard SLA verification. Observing mismatches is targeted, and exploited for a number of purposes such as billing negotiation, or future selection of services.

Assuming the existence of a pre-defined SLA may not apply in practice for all situations, such as free or informal cooperation between web services. SLA may not be known or defined in many situations. In these situations observing QoS parameters such as response and execution times may be analyzed by run-time comparison with similar values. When SLA is not predefined, analysis may be conducted following a collaborative technique by comparing, during its exploitation, the QoS parameters of a given service to these of services of the same class, obtained from past or current observations. This is the approach we adopted in the WS-DIAMOND project for providing self-healing solution for web service-based distributed applications. In this project, both functional and QoS properties are managed. The functional-related analysis is implemented for monitoring a given process execution, providing the so-called instance-level self-healing. The QoS-related analysis is implemented for monitoring multiple process executions, providing the so-called class-level self-healing. QoS parameters values are analyzed in their trends as indicators of a predictable degradation of the service health. For this purpose, we implemented a monitoring framework and defined a measurement approach for QoS parameters analysis. Our approach is application-independent and is applicable for any deployment context, both for the requester and the provider sides.

No assumption is required on the internal logic and the implementation details of web services. We rely on SOAP-level interceptors that may be deployed on the requester-side only when access policies restrict access to the provider-side. The analysis and diagnosis accuracy may require information about the global architecture when dealing with orchestration or choreography between several services cooperating to provide a common global function. This is reasonable since it may be deduced by analyzing the business process of the implemented application.

¹ Web Services – DIAgnosability Monitoring and Diagnosis

In this paper, we present a self-healing framework able to manage web service-based distributed interactive applications. Our framework focuses on QoS monitoring and uses models for QoS analysis. It considers the communication level monitoring while intercepting exchanged SOAP messages and extending them with QoS parameter values. It is achieved using dynamically deployed handlers. The analysis of logged QoS parameter values allows the detection of QoS deficiencies and the identification of the deficient source. This is achieved based on statistical functions and time-related constraints which represent an indicator of the health degradation of the monitored web services.

This paper is organized as follows. Section 2 presents the elaborated models for monitoring and for QoS degradation detection. Section 3 presents the analysis algorithm of the degradation source. Section 4 details the proposed architectural framework within the FoodShop application. Section 5 discusses related work. The last section concludes the paper.

2. Models for Monitoring and Detection

Monitoring software applications aims to observe their constituting components to estimate the current health level. We introduce, in this section, a QoS-driven approach to achieve this task for web service-oriented systems. It separates clearly the business logic of a web service from its monitoring functionality. In addition, we believe that laying on QoS characteristics observations is an efficient way to predict and prevent service breakdown. Indeed, a continuous increasing of the response time or a continuous decreasing of the admission rate is a significant indicator to a future denial of service. Hence, we monitor the evolution of a given QoS characteristic more than its absolute values. QoS values such as response time may differ from one context to another (e.g. deployment machine, available network bandwidth, etc.) while the evolution of these values within the same context is the real indicator of the service health. The general approach involves two complementary aspects. On the one hand, we use statistical functions (mean, max, min and standard deviation²) to have reference values related to the normal functioning of the system. And on the other hand, we use temporal chronicles to monitor the evolution of QoS values in order to avoid considering transient QoS degradation.

A temporal chronicle or pattern is a set of on-off events, interlinked by time constraints, and whose occurrence depends on the context [5]. Considered events may correspond for instance to detect or not that a measured QoS value exceeds a given threshold. Time constraints correspond mainly to the occurrence or not of a given event within a given time lapse.

Several QoS parameters may be considered, such as response time [11, 12], throughput [7, 13] and accuracy [7, 11]. These QoS parameters are measured while considering the following four time values as shown in Figure 1; t_1 : the time at which the request has been issued by the service requester, t_2 : the time at which the request has been received by the service provider, t_3 : the time at which the response has been

issued by the service provider, and t_4 : the time at which the response has been received by the service requester.

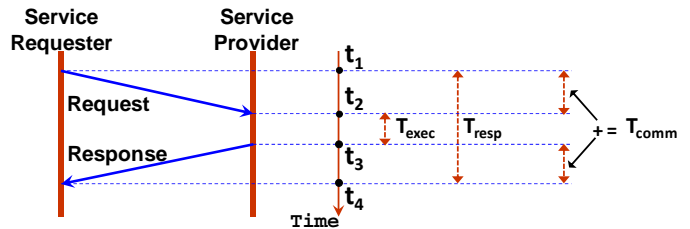


Figure 1. Measured times for QoS Monitoring

The considered QoS parameters are:

- The **Response Time**: defined as the time elapsed between sending a request and receiving its response; $T_{resp} = t_4 - t_1$,
- The **Execution Time**: defined as the time elapsed for processing a request; $T_{exec} = t_3 - t_2$,
- The **Communication Time**: defined as the round trip time of a request and its response; $T_{comm} = T_{resp} - T_{exec}$,
- The **Throughput**: defined as the amount of requests that can be processed in a specified period of time; $Throughput = \text{Number of requests/period of time}$, and
- The **Accuracy**: defined as the success rate produced by the service; $Accuracy = \text{Number of successful responses/Total number of requests}$.

Failed responses correspond to exceptions on the requester side.

Our approach is predictive. It is based on observing the evolution of runtime computed QoS values to detect QoS degradation considered as the symptom of a future deficiency. In the detection process, we target situations where measured QoS values continuously go beyond an (absolutely or a relatively) acceptable threshold rather than a transient QoS value mismatch. Such a situation corresponds to the so-called QoS degradation and is an indicator of the system health worsening. To take into account a reference behavior, we use pre-computed and/or on-the-fly-computed statistical indicators. Considered events may correspond for instance to detecting that a measured QoS value exceeds a given threshold. Time constraints correspond mainly to the occurrence or the absence of a given event within a given time lapse. Monitoring QoS aims to evaluating the health of a given service and not only a specific interaction within a given conversation. The degradation detection scope includes interactions between all requesters and providers. In our context, observing N response time increases, when dealing with several requests from distinct requesters, is considered as a QoS degradation in the same way as for N response time increases when dealing with several requests from the same requester. N is related to service accuracy and transition probability among service state, namely *Violation* and *OK*. It represents the number of successive violations before degradation happens.

For instance, for each measured T_{resp} , the service state may be $T_{resp}V$, corresponding to a measured response time higher than a threshold (maximal acceptable value), or $T_{resp}OK$, corresponding to a measured value under the threshold. The tolerated threshold of acceptable values of each QoS parameter is equal to the QoS parameter *Average*

² The standard deviation of a set of values distribution is a measure of the spread of its values

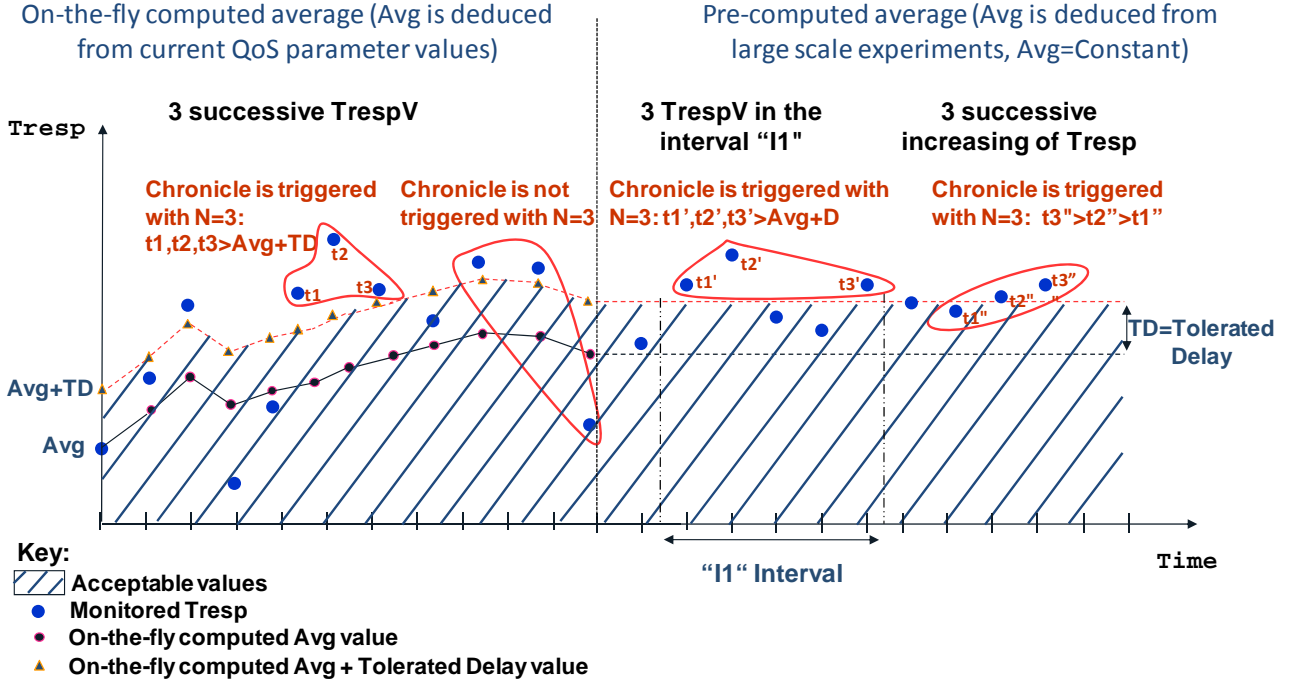


Figure 2. Examples of chronicle detection models

(Avg) and the *Tolerated Delay* (TD) which is proportional to the *standard deviation*. It can be pre-computed, on the basis of already achieved experiments, or computed on-the-fly from current measured values.

N is equal to the number of successive violations leading to the service invocation failure. In order to determinate a relevant value of N , we use the following expression, which corresponds to the probability of N successive *TrespV* less than the mean probability of the failed request measured experimentally ($1 - Accuracy$):

$$P[N \text{ successive } TrespV] \leq (1 - Accuracy)$$

In addition, the probability that "the next state is *TrespV*", is equal to the probability of being in state *TrespOk* multiplied by the probability of going from this state to the state *TrespV* added to the probability of being in state *TrespV* multiplying the probability of staying in this state for the next invocation:

$$P[\text{any state} \rightarrow TrespV] = P[TrespOk] * P[TrespOk \rightarrow TrespV]$$

$$+ P[TrespV] * P[TrespV \rightarrow TrespV]$$

The probability of obtaining N successive violations is equal to the probability to reach a *TrespV* state, after leaving any state, multiplied by the probability of staying in *TrespV* state ($N-1$) times:

$$P[N \text{ successive } TrespV] = P[\text{any state} \rightarrow TrespV] * P[TrespV \rightarrow TrespV]^{N-1}$$

When replacing the probability $P[N \text{ successive } TrespV]$ in the first inequality, we obtain the following expression providing the lower bound for N :

$$P[\text{any state} \rightarrow TrespV] * P[TrespV \rightarrow TrespV]^{N-1} \leq (1 - Accuracy)$$

$\Leftrightarrow N \geq \lambda$, where:

$$\lambda = 1 + \frac{\ln\left(\frac{1 - Accuracy}{P[\text{Any state} \rightarrow Violation]}\right)}{\ln(P[\text{Violation} \rightarrow Violation])}$$

When we choose an N 's value greater than the smallest one (more than $\lambda + 1$), we accurate the degradation detection rate.

Figure 2 presents examples of temporal chronicles involving the *response time* QoS characteristic. The chronicles show two ways to compute the threshold of the maximal acceptable value using either pre-computed or on-the-fly computed values. For these chronicles, considered events relate to the occurrence or not of *TrespOk* and *TrespV* events at a given moment or a given interval. The first chronicle is triggered when three occurrences of event *TrespV* ($t1$, $t2$ and $t3$ in figure 2) are observed while no occurrence of event *TrespOk* is observed. The second chronicle is detected when three occurrences of event *TrespV* ($t1'$, $t2'$ and $t3'$ in figure 2) are observed in a time interval $I1$. The third chronicle is triggered when three successive increases of the *response time* ($t1''$, $t2''$ and $t3''$ in figure 2) are observed.

The chronicle based on an on-the-fly computed threshold may not be significant when increasing progressively the *Tresp*, because it increases the acceptable value interval and affects the service level agreement. Also, the chronicle based on a pre-computed threshold does not take into account the context of the running application and the *Tresp* measurement may be unsatisfactory. However, combining the two chronicles is more related to the context thanks to the on-runtime measured values, and more robust while avoiding the case of progressive and continuously increasing thanks to large scale values already measured. More complex chronicles may be designed for robust reasoning about QoS degradation.

We have developed algorithms for degradation detection, which trigger alarms when N successive violations happen (represented by *Max_Nbr_Succ_Violation* in Table 1 and Table 2).

As illustrated in Table 1, on each measured QoS parameter value (line 6), the algorithm increases the *number of received violations* (*Nb_Received_Violation*, in line 8) if the new value exceeds the max acceptable value (line 7). In case of non-successive violations (line 9), the execution will jump to the initialization at line 4. Otherwise, it increments the

```

1 begin
2   Avg= Compute_Avg() // Constant
3   TD=Compute_Tolareded_Delay()
4   Idle: Nb_Received_Violation=0
5   loop
6     On_New_Measured_Tresp()
7     if (Tresp>Avg+TD) then
8       Nb_Received_Violation++;
9     else goto: Idle
10    endif
11    if (Nb_Received_Violation ≥ Max_Nbr_Succ_Violation) then
12      Notify_Degradation_To_Analysis_Service()
13    endif
14  endloop
15 end

```

Table 1. Degradation detection Algorithm on response time (Pre-computed average)

number of obtained violation until triggering alarms (line 12). In this algorithm, the *Average* and the *Tolerated Delay*, are pre-computed and deduced from a large scale experiment (lines 2,3).

```

1 begin
2   Idle: Nb_Received_Violation=0
3   loop
4     On_New_Measured_Tresp()
5     Avg= Compute_Avg() // Variable
6     TD=Compute_Tolareded_Delay()
7     if (Tresp>Avg+TD) then
8       Nb_Received_Violation++;
9     else goto: Idle
10    endif
11    if (Nb_Received_Violation ≥ Max_Nbr_Succ_Violation) then
12      Notify_Degradation_To_Analysis_Service()
13    endif
14  endloop
15 end

```

Table 2. Degradation detection Algorithm on response time (On-the fly computed average).

In the algorithm presented in Table 2, the *Average* and the *Tolerated Delay*, are computed and deduced on-the-fly (lines 5,6), after each new measured QoS parameter value (line 4). Non-successive violations re-initialize the algorithm (line 9). In case of degradation, alarms are sent to the analysis service (line 12).

3. Models for Analysis

The analysis task lays on the monitoring and the detection of deficiency patterns. Indeed, QoS degradation may have several sources and may be triggered by one or many services of the application. For implementing effective self-healing, we need to **locate** the deficient services and to **reason** about the degradation source. For instance, combining different QoS parameter values such as response time and execution time allows discriminating network and processing deficiencies while reasoning about architectural dependencies allows eliminating QoS degradation propagation that are irrelevant for repair.

Let's consider a pair of provider/requester for which alarms revealing QoS degradation on *Tresp* are raised, as shown in Table 3 at line 3. This algorithm discriminates between network (*Communication*) and processing (*Execution*) deficiencies. Three cases are distinguished.

In the first case (lines 3,4), the *Texec* value does not exceed the maximal acceptable value (*Average + Tolerated Delay*).

Since the *response time* is composed of *execution time* and *communication time*, we deduce that the degradation is located at the network level. In the second case (lines 5,6,7), only the *Texec* exceeds the maximal value and its delay (*Delay_{Texec}*) is the origin of the *Tresp* degradation raise. The degradation comes from the processing level. In the third case (lines 8,9), both *communication time* and *execution time* exceed the maximal acceptable values and the degradation is at both levels: processing and network.

```

1 begin
2   if (Tresp > AvgTresp + TDTresp) then
3     if (Texec ≤ AvgTexec + TDTexec) then
4       Degradation_Levels="Communication"
5     else DelayTexec = Texec - (AvgTexec + TDTexec)
6       if (Tresp - DelayTexec ≤ AvgTresp + TDTresp) then
7         Degradation_Levels="Execution"
8       else
9         Degradation_Levels="Execution&Communication"
10      endif
11    endif
12  endif
13 end

```

Table 3. The degradation localization algorithm

After locating the degradation level, we start the reasoning about its source. The analysis is not limited to interactions between a single pair of requester/provider. It includes the interactions of the web service with multiple other web services of the global application. This global view of the system gives us the possibility to identify the source of the degradation, and to optimize the repair effort by avoiding over-reactions and useless reconfiguration actions. Such a situation occurs for QoS degradation due to delay propagation.

For considering structural dependencies, the monitoring is targeting global QoS parameters belonging to several pairs of providers and requesters. Typically, we consider execution time related to a request sent to two different providers involved in the same orchestration or in the same choreography.

Let's consider the scenario of interlocked communication depicted in Figure 3. *WS2 execution time* exceeds the maximal acceptable value. It is deficient. Monitoring and detection mechanisms related to service *WS1* also detects *execution time* degradation due to the propagation phenomena. Not detecting that the second deficiency is a simple propagation of the first one may lead to useless reconfiguration actions.

As illustrated in Figure 3, the requester's message is processed by *WS1*, which calls *WS2* to achieve a part of the required task. *Texec_{WS1}* represents the *execution time* of the first pair Requester/*WS1* and *Texec_{WS2}* represents the execution time of the second pair *WS1* (as a requester)/*WS2*. *WS2* generates an important delay (*Delay_{WS2}*) during the processing of each request leading to a high overhead for both *Texec_{WS1}* and *Texec_{WS2}* values. The two detection processes, related to *Texec_{WS1}* and *Texec_{WS2}* trigger alarms.

In the case of naive analysis, two independent analysis processes are considered. The first is related to *WS2* web service. It compares the response time and the communication time with the maximal acceptable values. It deduces that the problem comes from the processing level. It decides, for instance, to substitute *WS2* by an equivalent web service. Similarly, the local analysis related to *WS1* also

detects a QoS degradation. It decides also to substitute WS1 by an equivalent web service.

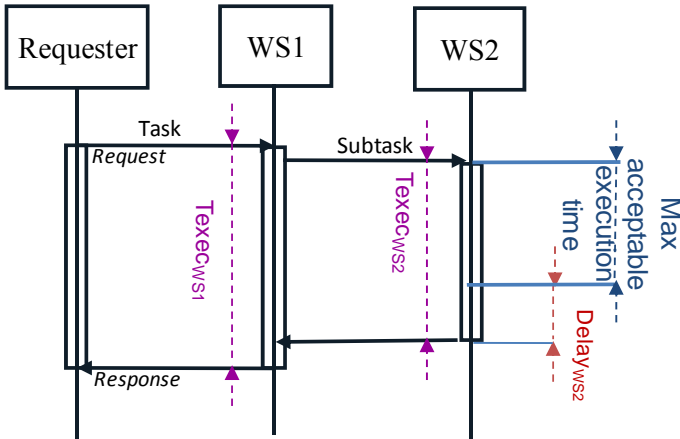


Figure 3. QoS degradation propagation

When the analysis is made locally and the degradations of WS1 and WS2 are considered separately, each analysis leads to the verdict of a local deficiency:

$Local_Analysis(WS1, degradation) \Rightarrow WS1\ deficiency$
and

$Local_Analysis(WS2, degradation) \Rightarrow WS2\ deficiency.$

When this analysis verdict is handled by repair functionalities, reconfiguration actions would substitute each one of the two services:

$Substitute(WS1, WS1')$, where WS1' is equivalent to WS1.
and

$Substitute(WS2, WS2')$, where WS2' is equivalent to WS2.

When considering global architectural dependencies, analysis is more accurate. It makes possible to identify that only WS2 is the source of degradation. Detected degradation of WS1 is correctly analyzed as a propagation manifestation.

$Global_Analysis(WS1, WS2, degradation, degradation) \equiv$

$Local_Analysis(WS1, degradation) \wedge$

$Local_Analysis(WS2, degradation) \wedge$

$(Texec_{WS1} - Delay_{WS2} \leq AvgTexec_{WS1} + TDTexec_{WS1})$

$\Rightarrow WS2\ deficiency \wedge WS1\ uses\ a\ deficient\ WS$

With WS1 degradation is due to a degradation propagation.

The corresponding reconfiguration sequence is more efficient and requires only substituting WS2:

$Substitute(WS2, WS2')$ where WS2' is equivalent to WS2.

Different analysis situations may be distinguished as follows:
1- First case: WS2 responses come with delay and WS1 responses come with delay after eliminating the WS2's delay propagation.

Both services are deficient. In this case, the global analysis is equivalent to the local analysis of WS1 and WS2. Both services have to be substituted.

$Global_Analysis(WS1, WS2, degradation, degradation) \equiv$

$Local_Analysis(WS1, degradation) \wedge$

$Local_Analysis(WS2, degradation) \wedge$

$(Texec_{WS1} - Delay_{WS2} \geq AvgTexec_{WS1} + TDTexec_{WS1})$

$\Rightarrow WS1\ deficiency \wedge WS2\ deficiency$

2- Second case: WS2 responses come with delay and if we eliminate the WS2's propagated delay, WS1 responses would not come with delay.

Both services seem to be deficient, but the WS2 is the source of degradation, and the delay engendered by this degradation

$(Delay_{WS2})$ propagates and affects the WS1. The global analysis identifies the degradation source, and requests for WS2 substitution.

$Global_Analysis(WS1, WS2, degradation, degradation) \equiv$

$Local_Analysis(WS1, degradation) \wedge$

$Local_Analysis(WS2, degradation) \wedge$

$(Texec_{WS1} - Delay_{WS2} \leq AvgTexec_{WS1} + TDTexec_{WS1})$

$\Rightarrow WS2\ deficiency \wedge WS1\ uses\ a\ deficient\ WS$

3- Third case: WS2 responses come with delay and not WS1 responses.

Only the WS2 web service seems to be deficient, and the high speed of WS1 execution absorbs the WS2's Delay $(Delay_{WS2})$.

$Global_Analysis(WS1, WS2, -degradation, degradation) \equiv$

$Local_Analysis(WS1, -degradation) \wedge$

$Local_Analysis(WS2, degradation) \wedge$

$\Rightarrow WS2\ deficiency$

4- Fourth case: WS1 responses come with delay and not WS2 responses.

Only the WS1 web service is degraded.

$Global_Analysis(WS1, WS2, degradation, -degradation) \equiv$

$Local_Analysis(WS1, degradation) \wedge$

$Local_Analysis(WS2, -degradation) \wedge$

$\Rightarrow WS1\ deficiency$

4. Implementation

4.1 Architectural Framework

We implemented a QoS manager providing a self-healing architecture in the context of the WS-DIAMOND project. It includes four main components [3]:

- The *Monitoring component*: It includes observing and storing relevant QoS parameter values entities. It is composed of:

- The *Requester-Side Monitor* which implements the QoS monitoring at the requester side (in short: *ReqSideMon*), and
- The *Provider-Side Monitor* which implements the QoS monitoring at the provider side (in short: *ProvSideMon*)
- The *Logging Manager* which manages QoS monitoring data.

- The *Detection component*: It inspects the service behavior and detects QoS degradation.

- The *Analysis component*: It reasons about the degradation by exploiting the QoS values stored by the monitoring and identifies the deficiency source. Then, it sends the analysis to the *Repair component* for possible reconfiguration.

- The *Repair component*: It switches requesters to substitutable providers using a dynamic binding connector.

This architecture is deployed between each provider and its requesters. The *Monitoring component* is composed of several monitors; *ReqSideMon*, deployed one on each requester side and a unique monitor, *ProvSideMon*, deployed on the provider side.

The *Monitoring component* intercepts request/response messages and extends them with metadata describing the involved QoS parameters and the related values obtained at runtime. These parameters may need to be processed on the provider side (as the *execution time*), or on the requester side (as the *response time*), or on both sides (as the *communication time*).

In a local context, we deal separately with each provider and

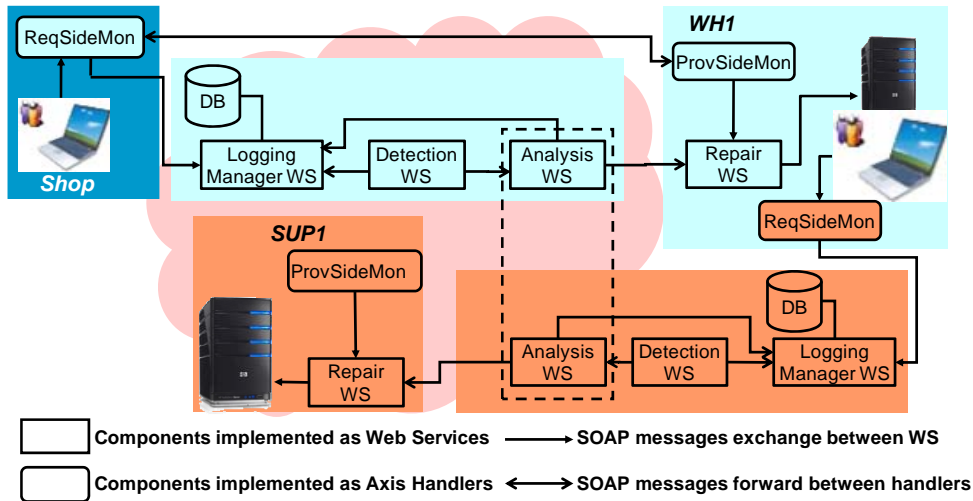


Figure 4. Details of QoS manager applied to the FoodShop scenario

its requesters. For each web service provider, we deploy a monitor and for each requester, we deploy a monitor per web service. Additional components for logging, detecting and analyzing QoS degradation are implemented as web services. We deploy an instance of these components for each web service provider and its requesters. In a global context, we need to connect the components implementing the analysis in order to exchange the pertinent information. This allows the identification of the source of the QoS degradation through the whole set of involved web services, on the basis of the received alarms from the distributed detection components.

Two different techniques are used to implement monitors. In the first, we used a SOAP handler within the web service container, which intercepts SOAP envelop of each request and each response [3]. In the second, we used a HTTP proxy, which intercepts HTTP messages of the exchanged data between providers and requesters [8].

4.2 Application

We consider, here, the instantiation of the QoS manager to the FoodShop scenario of the WS-DIAMOND project.

The FoodShop example is concerned with a web service-based company that sells and delivers food. The company has an online Shop, several warehouses ($WH1, \dots, WHn$) responsible for stocking imperishable goods. Customers (CI, \dots, Ck) interact with the Shop in order to make their orders, pay the bills and receive their goods. In case of perishable items, that cannot be stocked, or in case of out-of-stock items, the warehouses must interact with several suppliers ($SUP1, \dots, SUPm$).

In this application, the shop acts as a requester who, for example, orders cereal and milk from the warehouse. The cereals are an imperishable product available at the warehouse, the request is served locally. The milk is a perishable product, not available locally and will be orders by the warehouse from the supplier. Hence the warehouse acts simultaneously as a provider and a requester.

Figure 4 shows the QoS manager deployment details between each pair of requester/provider from the three considered actors: the FoodShop, *Shop*, the warehouse, *WH1*, and the supplier, *SUP1*. Grouping the analysis WSs as illustrated by the dashed box of Figure 4, allows comparing execution time of the two involved web services, *WH1* and

SUP1. This makes possible to diagnosis correctly the QoS degradation and to decide, for instance, substituting only *SUP1*, considered as the only deficient web service.

More precisely, we consider the global QoS-related dependencies between these three showing the importance of the global monitoring and analysis for efficient reconfiguration actions. In the first phase, these dependencies are given as assumptions for the *Analysis* web services. This requires the knowledge of the all possible interactions between web services which are not easy to fix. In the second phase, we used WS-Addressing [14] in order to associate together requests of both synchronous and asynchronous invocations (using the message headers *MessageId* and *RelatesTo*) and to handle dependent services (using the message header *Source*). Doing so, we deduced automatically the structural dependencies from the monitoring data.

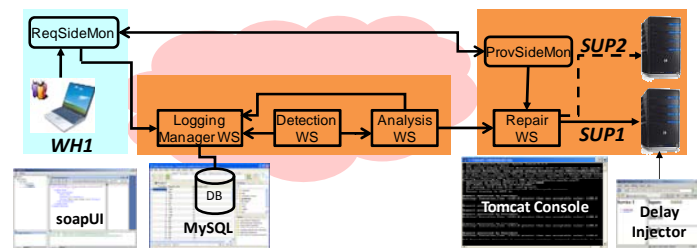


Figure 5. QoS degradation propagation

For instance, we consider the case of repeated violations between "ordering milk and cereals" and "receiving these products", detected in *WH1* and *SUP1* web services. In this situation, the first QoS manager which monitors interactions between the Shop and the *WH1* and the second QoS manager which monitors between the *WH1* and the *SUP1* web services, detect QoS degradation (using algorithm showed in Table 2). Then, it locates the deficient level, and identifies the degradation source (see Figure 3 for more details). $Texec_{WH1}$ and $Texec_{SUP1}$ exceed the maximal acceptable values and the detection services trigger alarms. The QoS manager analysis services identify that the degradation is coming from $Texec_{SUP1}$, and that $Texec_{WH1}$ deficiency is a degradation propagation. *SUP1* is substituted.

Figure 5 details the developed prototype of the FoodShop

application³. The consumer acts as a requester of the *Shop* web service which starts a new process. Between each requester and each provider, we deploy the QoS manager. We use a *Delay Injector* to simulate QoS degradations. As a result, the *Detection* and *Analysis* web services detect and identify the degradation. The *Repair* web service asked for recovery, substitutes *SUP1* by *SUP2* in a seamless way to both the requester and the provider. We use Apache Tomcat5.9 as a web server, Axis1.4 as a web service container, ActiveBPEL2.1 as a BPEL engine, soapUI1.5 as a client, MySQL5 as a database management system, and Java as a programming language.

We computed the N value (see section 2), in order to estimate after how much successive violations we trigger a substitution operation. The used values and probabilities are deduced from past execution experiments under the French Grid5000⁴.

$$P[\text{any state} \rightarrow \text{TrespV}] =$$

$$0.78 * 0.13 + 0.23 * 0.09 = 0.1221$$

$$\text{With } P[\text{TrespOK}] = 0.78, P[\text{TrespV}] = 0.23,$$

$$P[\text{TrespOK} \rightarrow \text{TrespV}] = 0.13, \text{ and}$$

$$P[\text{TrespV} \rightarrow \text{TrespV}] = 0.09.$$

$$\Leftrightarrow 0.1221 * 0.09N - 1 \leq 0.04, \text{ With Accuracy} = 0.96.$$

$$\Leftrightarrow N \geq 2.115$$

That means, N must at least be equal to 3.

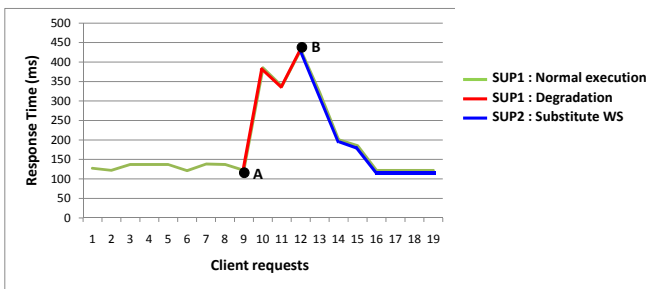


Figure 6. Runtime recovering of degradation

Figure 6 illustrates the whole self-healing cycle applied to the Foodshop application. The QoS manager monitors *response time* at runtime of the *SUP1*. It detects degradation according the first chronicle showed in Figure 2, with $N=3$. The green curve represents the normal execution of *SUP1*. At the level of the point *A*, we inject degradation and we follow the behavior of QoS manager. After three successive violations, the QoS manager detects a degradation (red curve in figure 6), and reacts by substituting the *SUP1* web service at point *B*. After rerouting requesters to the new supplier (*SUP2*), the *response time* regains the normal behavior (blue curve in figure 6).

In order to estimate the monitor overload, we conduct a large scale experiments under the grid5000 to measure the response time of web services while varying the requester's number from 1 to 500. We obtained the two curves shown in Figure 7. In the first, the monitoring is achieved using the *ReqSideMon* and the *ProvSideMon* (monitoring components). In the second, the measurement is done in the client code and without using monitors. We can see for

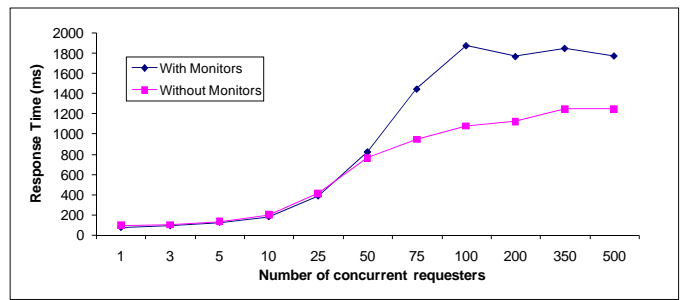


Figure 7. Overload of monitoring

instance that for less than 50 concurrent clients, both curves are similar and the overload of monitors is negligible. For the largest requester's number (500), the overload is smaller than 0.5s.

5. Related Work

In this section, we first present different QoS monitoring approaches compared to our work. However, the monitoring may be performed differently at three levels, namely: service level [6, 11], communication level [3, 13, 9] (as our approach) and orchestration level [2, 4].

The service level monitoring considers the basic monitoring approach. It inserts the monitoring code within the web service requesters/providers code. Such monitoring may be achieved while inserting directly, for instance, a timer within the client code [6], or encapsulating it in an aspect, which is merged into the functional code using the Aspect Oriented Programming (AOP) [11].

The communication level monitoring intercepts exchanged messages between web service providers and requesters. Such approach targets only the interactions where a given service is involved and do not need access to its internal state which is generally hidden due to security reasons. This approach may be applied at the SOAP level while using standard XML parsing libraries [3, 13], or at the HTTP level while using proxies [9].

The orchestration level monitoring supervises orchestrated services as BPEL using handlers provided by the orchestration engine such as Active BPEL. Such approach may observe its behavior by intercepting the input/output messages that are received/sent by the processes [2]. The approach presented in [4] monitors behaviors of orchestrated web services with respect to an already expected behavior which is specified formally with algebraic notations and saved in a registry. The monitoring is achieved using the AOP inside the BPEL engine.

The analysis of a web service behavior may be performed at instance level that deals with the execution of a single instance [1] from a specific requester, either at class level that considers all instances like our work. The work in [2] deals with boolean and time related properties at instance and class levels.

In [1], authors propose an instance level and hierarchical analysis for complex services. They deploy local analyzer on each basic service, and a global one associated with the workflow that collects local analysis and reasons about faults in current running activity. However, web services are usually multi-providers, which do not allow modifying their services in order to interact with an external component, like

³ Demonstrator is available at <http://www.laas.fr/~khalil/TOOLS/QoS-4-SHWS/Video.html>

⁴ Details about the experiments are available at <http://www.laas.fr/~khalil/TOOLS/QoS-4-SHWS/grid5000experiment.pdf>

the local analyzer. But, in our approach, no assumptions are required.

Robinson [10] presents a goal-driven methodology for monitoring requirements using temporal logic and KAOS. It uses agents with specific patterns in order to inspect the observable system events. Contrarily to our approach, this approach is not generic for web service-based applications. It supposes that it can access to provider sites in order to deploy monitors to track web services. But, this is not realistic when involving different service providers.

6. Conclusion

In this paper, we presented an application-independent framework to monitor, detect and analyze QoS degradation for web services. Our framework relies on logging and appropriately analyzing web service conversations using SOAP messages header information. It applies to both situations where a reference model of QoS values is available and situations where such a model has to be built dynamically on the fly during the execution of the application.

Acting at the communication level by intercepting conversations allowed us to handle web services as black boxes. Two prototypes have been implemented at the SOAP and HTTP levels using service container handlers (Axis) and HTTP proxies, respectively. Our implementation exploits header information to manage both synchronous and asynchronous interactions for which QoS values are computed differently. Two application scenarios have been successfully experimented. The first scenario implements a collaborative review process using massively interacting services [3]. The second, discussed in the paper, implements a supplier chain-like scenario where several web services acts simultaneously as providers and requesters of each others with highly interlocked conversations.

Our future work includes extending and improving our framework by implementing more advanced detection and analysis algorithms that can learn from previous execution traces to choose the best decision. Our approach was successfully experimented with an orchestrated web service-based distributed application using a centralized QoS manager. Managing choreographed web services may also be implemented by a distributed deployment of replicated copies of the QoS manager. Our interceptor-based framework has also been used to experiment dynamic routing between a requester and a collection of equivalent web services providers. Managing communications at the HTTP level showed more powerfulness for handling state-sensitive cooperation scenarios. Such situations may be encountered when handling choreographed between two or more orchestrated collections of web services. In such hybrid scenarios state preservation is necessary when a new provider is used to substitute a degraded provider.

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