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### 24 Abstract

- 25 Social withdrawal is a well-established part of sickness behavior, but in some contexts sick
- 26 animals might gain from keeping close instead of keeping away. For instance, sick individuals
- are more willing to be near known individuals who can provide care and safety (close
- others) compared to when healthy. Yet, interactions with some strangers might also be
- 29 beneficial (i.e., healthcare professionals), but it is not known how sickness interplay with
- 30 social behavior towards such individuals. Here, we assessed if sickness affects perception of
- 31 caregivers and developed a new task, the Caregiver Perception Task (CgPT). Twenty-six
- 32 participants conducted the CgPT, once after an injection of lipopolysaccharide (LPS, 0.8
- 33 ng/kg body weight, n=24), and once after an injection of saline (n=25), one hour and forty-
- 34 five minutes post-injection. During the task, participants watched short video-clips of three
- 35 types of caregivers: a healthcare professional taking care of a sick individual (HP-c), a

- 36 healthcare professional not taking care of a sick individual (HP-nc), and a non-healthcare
- 37 professional taking care of their sick adult child or partner (NHP-c). After each video clip, the
- 38 likability, trustworthiness, professionalism, and willingness to interact with and receive care
- 39 from the caregiver were rated on visual analogue scales. Results showed that participants
- 40 injected with saline rated healthcare professionals who did not take care of a sick individual
- 41 less positively on all aspects compared to healthcare professionals who took care of a sick
- individual. Moreover, compared to saline, LPS increased the participants' willingness to
   receive care from healthcare professionals and non-healthcare professionals providing care,
- 44 but not from healthcare professionals not providing care. Thus, our results indicate that sick
- 45 individuals may approach unknown individuals with potential to provide care and support.

#### 46 1. Introduction

47 "There are only four kinds of people in the world: Those who have been caregivers. Those
48 who are currently caregivers. Those who will be caregivers, and those who will need a

49 *caregiver.*" – Rosalyn Carter

50

51 Humans and other animals respond to intruding pathogens with the triggering of immune 52 cascades aiming at neutralizing the invaders, but also with various behavioral changes 53 initiated by immune-to-brain signaling (Dantzer et al., 2008). These changes include 54 increased fatigue, decreased food intake, and an overall reduction in activity (Dantzer, 2001). Such recuperative "sickness behavior" is preserved across species (Lopes et al., 2021) 55 56 and is believed to adaptively promote immune functions and recovery (Aubert, 1999). Social 57 withdrawal was early on described as a hallmark of sickness (Dantzer and Kelley, 1989). For instance, sick rodents are less interested in exploring new social objects (Fishkin and 58 59 Winslow, 1997). Sick female rodents also exhibit decreased sexual behavior (Avitsur and Yirmiya, 1999). Humans made sick experimentally by an intravenous injection of a bacterial 60 61 endotoxin (lipopolysaccharide, LPS) feel more socially disconnected (Eisenberger et al., 62 2010) and are more willing to be alone (Hannestad et al., 2011), compared to when healthy. 63 This inflammation-induced social withdrawal fits with the adaptive framing of sickness 64 behavior, allowing for redirection of energy from social behaviors to immune responses (Dantzer, 2001), but also by protecting the sick individual from encountering threatful 65 others who could cause additional harm to a body with an ongoing infection (Hart, 1988; 66 Leschak and Eisenberger, 2019). Indeed, humans injected with LPS are more sensitive to 67 social threats (Inagaki et al., 2012) and to social exclusion (Eisenberger et al., 2009) 68 compared to when healthy. Crucially, other individuals do not only convey risk for harm; 69 70 they can also bring care. Hence, if a social interaction results in care, it might be favorable 71 for a sick animal to keep close rather than keeping away (Smith and Bilbo, 2021).

72

It has been suggested that sickness affects social behavior in an ambivalent manner 73 74 (Hennessy et al., 2014; Muscatell, 2021; Smith and Bilbo, 2021). Social withdrawal is not the 75 sole outcome in studies investigating inflammation-induced changes in social behavior, 76 several findings suggest the potential for sickness to foster social approach under specific 77 conditions. Apes cling to their cage mates (Willette et al., 2007) and rats increase their 78 huddling behavior, at the same time as they show increased avoidance of social 79 environments (Yee and Prendergast, 2012) after an injection with LPS compared to saline. 80 Additionally, humans show an LPS-induced increase in sensitivity to positive feedback from 81 a person evaluating them based on an interview (Muscatell et al., 2016). It is sensible that 82 the direction of the social behavioral change during sickness is affected by the identity and function of the social interaction partner (Muscatell and Inagaki, 2021). For instance, 83 immune challenged bats decrease social grooming of non-kin while maintaining grooming of 84 their offspring (Stockmaier et al., 2020). In humans, sick individuals express an increased 85 86 desire to be near a support figure (Inagaki et al., 2015) after an LPS injection compared to a 87 saline injection. Furthermore, in an approach-avoidance task where participants were 88 instructed to move a manikin towards or away from a picture of either a support figure or a

stranger, an increase in the concentration of the pro-inflammatory marker interleukin-6 89 after an influenza shot was associated with a faster approach towards support figures (Jolink 90 91 et al., 2022). Altogether, these findings imply that the ambivalent nature of social sickness 92 behavior lies in the relationship between the sick individual and the target of social 93 interaction, thus being functionally flexible. Yet, some strangers might be especially prone 94 to provide care, even for unknown sick individuals (i.e., healthcare professionals). Thus, it is 95 possible that sickness increases positive social behavior towards unknown individuals if they 96 are clearly in their healthcare professional roles, but this remains to be investigated. 97 Furthermore, given the importance of care providers' behavior on health outcomes (Finset, 98 2014), a sickness-induced change of an individual's social approach is likely to be affected by 99 the perceived benefit from interaction with a specific individual.

100

In the current study, we aimed to investigate if acute sickness modifies the explicit 101 preferences for healthcare professionals using a newly developed task, the Caregiver 102 Perception Task (CgPT). In a double-blind, placebo-controlled, randomized, cross-over study, 103 104 twenty-six participants received an intravenous injection of LPS (0.8 ng/ kg body weight) and saline at two different occasions, and conducted the CgPT during the peak of sickness 105 symptoms. During the CgPT, participants watched video recordings showing scenes with 106 107 healthcare professionals providing care or not to sick individuals, and non-healthcare 108 professionals providing care to sick individuals, and rated the professional and non-109 professional caregivers on different aspects (i.e., likability, trust, professionalism, willingness to interact, willingness to receive care). The purpose with the design of the task was to 110 create a controlled standardized test to assess perception of unfamiliar caregivers in 111 112 different sickness-relevant conditions. As described above, previous studies investigating social behavior during sickness have used pictures of close others and pictures of strangers 113 (Inagaki et al., 2015; Jolink et al., 2022). The video clips in the CgPT provides the participant 114 with more information about the unfamiliar individual (e.g., ability to provide care), and 115 thus allows to assess if sick individuals exhibit positive social behavior towards some 116 strangers with specific characteristics. Moreover, the CgPT resembles a real-life setting 117 where sick individuals might see unfamiliar caregivers interacting with other individuals 118 119 (e.g., at the emergency department). Hence, we believe that this more ecologically valid 120 design of the task can add to the current literature on ambivalent social sickness behavior 121 (Hennessy et al., 2014; Muscatell, 2021; Smith and Bilbo, 2021) by nuancing the view of sickness-induced avoidance of strangers. We also measured emotional expressions of the 122 participants during the CgPT. We hypothesized that LPS would increase positive ratings and 123 124 the facial expressions of happiness towards healthcare professionals taking care of sick individuals, compared to healthcare professionals who did not provide any care and 125 126 compared to non-healthcare professionals providing care.

- 127
- 128 2. Method
- 129 2.1 Participants

This study was part of an investigation of individual differences in response to inflammatory 130 stimulation and took place at the Karolinska University Hospital (Stockholm, Sweden), 131 between October 2021 and August 2022. The study was ethically approved (2020-05177, 132 2021-03034, 2021-04705, and 2021-05317-02), and pre-registered (<u>https://osf.io/mgu73</u>) 133 134 prior to the start of the data collection. The study was advertised at campuses in the Stockholm area, via Accindi (www.accindi.se), and a participant recruitment system 135 (https://ki-behavioraltesting.sona-systems.com/) for psychological tests. Prior to inclusion, 136 participants provided informed consent and took part in a health screening procedure. The 137 screening included a thorough medical examination, comprehensive laboratory analyses, 138 139 and an assessment of depressive symptoms using the PHQ-9 questionnaire (Kroenke et al., 140 2001). According to the inclusion and exclusion criteria of the study, participants had to be between 18-35 years of age, normal weight (BMI 18.5-25 kg/m<sup>2</sup>), non-smokers and non-141 142 excessive drinkers, without somatic and psychological disease, and without medication 143 (except from contraceptives). Participants conducted some tasks (not the CgPT) in a MR scanner, and thus had to be eligible for brain scanning (e.g., not suffer from claustrophobia 144 145 nor have metallic implants). Additionally, participants had to be vaccinated against COVID-19 (two doses, last dose at least one month prior to participation), and should not have had 146 COVID-19 or symptoms indicating a SARS-CoV-2 infection the past 6 months, or a history of 147 148 severe or long-term COVID-19 (high intensity or/and more than 8 weeks of symptoms).

149

150 Twenty-six (gender: 15 women, 10 men, 1 transgender man; average age: 25.2±4.5 years;

BMI range: 19.5-25.9) healthy volunteers were included in the study. The sample size

calculation was based on the main hypothesis of the main study, investigating how

inflammation affects brain morphology (<u>https://osf.io/t6bjh</u>). We conducted an *a posteriori* 

power calculation (with G\*Power, alpha=0.05, power=80%) indicating that the sample size of
 26 allows to detect a medium-to-large effect size (f=0.29). Noticeably, previous studies

156 indicate that a sample size of 20-25 is sufficient to investigate LPS-induced behavioral

157 changes (Dooley et al., 2018). Participants were compensated with 1750 SEK for each study

158 day (i.e., 3500 SEK in total, which equals to about \$350/€320).

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162

# 163 2.2 Study design

The study had a double-blind, within-subject, crossover, placebo-controlled design. In order
to induce an acute systemic inflammatory response, participants were injected with an
intravenous injection of LPS (*Escherichia coli* endotoxin, Lot H0K354, CAT number 1235503,
United States Pharmacopeia, Rockville, MD, USA) at 0.8 ng per kg body weight on one
occasion, and saline (0.9% NaCl) on another occasion (placebo). The injections were given in
a randomized order and were separated with a washout period of at least 4 weeks (range:
5-15 weeks). Participants and all study personnel, apart from the medical doctor who took

- care of the participants and who was responsible for the participant's safety during the day,
  were blind to the order of the assigned treatments. Three participants took part in the first
  study day only (*N*=2 saline, *N*=1 LPS) due to developing COVID-19 in between the two
  sessions.
- 175

### 176 **2.3 Inflammatory markers and sickness behavior**

Blood samples were drawn in EDTA vacutainers® at baseline, and at 1 hour, 2 hours, 3 177 hours, 4 hours, and 5 hours post-injection. Samples were centrifuged for 15 minutes at 2000 178 179 g (4°C), and extracted plasma aliquots were stored at -80 °C prior to analysis. Concentration 180 (pg/mL) of the cytokine interleukin (IL)-6 was measured as a part of a multiplex assay 181 including IL-8, IL-10, and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) using a V-PLEX Custom Human 182 Biomarkers assays (Meso Scale Discovery (MSD), Rockville, USA). The multiplex assays were prepared in accordance with the manufacturer's manual, and the plates were read on a SQ 183 120 instrument with the software Methodical Mind (version 1.0.38). The data was 184 185 processed and extracted from the software Discovery Workbench (version 4.0.13, MSD). Samples were averaged across duplicates, and values below the detection range were 186 replaced with the lower limit of detection value for each cytokine (IL-6 = 0.06 pg/ml, IL-187 188 8=0.07 pg/ml, IL-10 = 0.04 pg/ml, TNF- $\alpha$  = 0.04 pg/ml). Five values for IL-6 were below the limit of detection for the two duplicates and replaced with the lower limit of detection (0.06 189 190 pg/ml). Only the results for IL-6 are presented in the current paper to validate that the LPS 191 injection induced a systemic inflammatory response.

192

Sickness behavior was measured at baseline, 50 minutes post-injection, and at 1, 2, 3, 4 and
5 hours post injection, using the Sickness Questionnaire (SicknessQ) (Andreasson et al.,
2018). The questionnaire consists of ten items that measure sickness symptoms (e.g., "my
body feels sore"). Each item is rated on a 4-point scale, with a higher score indicating more
intense sickness behavior. Questionnaires were provided with the survey distribution

- 198 program REDCap (Harris et al., 2019, 2009).
- 199

### 200 2.4 The Caregiver Perception Task (CgPT)

### 201 2.4.1 Description of the CgPT

To investigate if LPS-induced systemic inflammation affects perception of caregivers, we 202 203 developed a computerized task in which participants watch and rate short video clips of 204 caregivers. The task compares three different caregiver conditions: a healthcare 205 professional in scrubs taking care of a sick individual (HP-c), a healthcare professional in 206 scrubs not providing care (HP-nc), and a non-healthcare professional (parent or partner) taking care of their sick adult child or partner (NHP-c). This design focuses thus on the 207 208 perception of healthcare professionals and enables assessments of the effect of type of 209 caregiver (healthcare professional vs. non-healthcare professional) as well as the effect of 210 caregiving per se (healthcare professional providing care vs. healthcare professional not

- 211 providing care), and how these effects interact with systemic inflammation. We chose not to
- include a condition with a non-healthcare professional not providing care for two main
- reasons: 1) to limit the duration of the task; 2) because a person in civil clothes who is not
- 214 interacting with the sick individual might not be perceived as a caregiver.
- 215

### 216 **2.4.2 Recording and processing of video stimuli**

Eleven volunteering non-professional "actors" took part in video recordings after providing

informed consent. Six actors (average age 29±1.5 years, 3 women) acted as sick, and five
 actors with healthcare professions (average age: 43±19.4 years, 3 women, two medical

doctors, two psychologists, and one medical student) acted as caregivers (healthcare

professionals and non-healthcare professionals). All actors were native Swedish speakers.

- 222 The actors were divided into three teams that recorded three unique scenes each (one per
- 223 condition). Two actor teams recorded the scenes with all possible gender combinations (sick
- female + female caregiver, sick male + female caregiver, sick female + male caregiver, sick
- 225 male + male caregiver), while one group recorded the scenes only with a female caregiver
- 226 due to shortage of male actors (sick female + female caregiver, sick male + female
- 227 caregiver). Given that six scenes had four versions, and three scenes had two versions, the
- 228 final sample constituted of 30 video clips.

229

The video clips were recorded at the MR centrum in the same study room and in a matching 230 context and set-up as the present study, prior to the start of the data collection. Actors 231 playing sick wore similar clothing as the study participants (white t-shirts and sweatpants), 232 and were instructed to remove makeup prior to the recordings. Caregivers were provided 233 234 with scrubs when acting as healthcare professionals, and kept their regular clothes when 235 acting as non-healthcare professionals. To mimic the setting of the study day and to reduce 236 risk for contagion, all caregivers wore facemasks. The videos were recorded with a GoPro® Hero 8 camera that was placed 1.9 m above the floor. The camera recorded continuously, 237 238 and each scene was repeated as many times as possible during the recording session (1.5 239 hours). Actors were compensated with three movie ticket vouchers or one movie ticket voucher together with a gift card of 300 SEK (about \$27/€25). 240

241

The scenes in the video clips resembled common interactions between participants injected 242 with LPS and medical study personnel (see examples of scripts in supplementary text 1). In 243 the HP-c condition, a medical doctor takes care of a sick individual (e.g., measuring 244 temperature, helping the sick individual to the bathroom). In the NHP-c condition, a 245 246 parent/partner takes care of their sick adult child or partner (e.g., providing tea or a magazine). The relationship between the sick individual and the caregiver was conveyed by 247 the greeting (e.g., "Hi dad", "Hi sweetie") as well as with the behaviors of the caregiver (e.g. 248 soothing touches). All scenes with caretaking (HP-c and NHP-c) includes both elements of 249 instrumental support (e.g., providing a blanket or a glass of water) and emotional support 250 251 (e.g., reassuring touch and empathic communication). In the HP-nc condition, a medical

doctor is present in the same room as a sick individual but without providing care (e.g., a

253 medical doctor wearing scrubs checks blood samples while a sick individual looks at their 254 phone). See information about the processing of the video clips in supplementary text 2.

255

For each participant, six video clips of caregivers were needed (see **Figure 1**). Thus, we 256 created stimuli lists according to the following criteria: six unique scenes, two scenes per 257 258 condition (2 HP-c, 2 HP-nc, 2 NHP-c), and one female and male caregiver per condition. The 259 same caregiver did not participate as both a healthcare professional and a non-healthcare 260 professional in the same list. These criteria resulted in four separate lists that were 261 randomized across participants. To avoid confusion (e.g., watching the same scene with different actors), the participants watched the same list on both study days. To increase 262 relatability, participants only watched videos with gender-matched sick individuals. Lists 263 264 with a mix of sick women and males were prepared for non-binary participants, but only participants identifying as either male or female took part in the study. 265

266

### 267 2.4.3. Experimental task

268 Participants conducted the task 1h and 45 minutes after each injection. This time point occurs during the peak of sickness symptoms (Lasselin, 2021). Participants were seated in 269 front of a curved monitor (1920 × 1080, 27', Dell SE2722H) adjusted to a comfortable height. 270 271 Before starting the task, participants were instructed that they were going to watch video clips, and answer questions about the person outside of the bed in each video clip. 272 273 Participants did not receive any other information about the context or individuals 274 presented in the video clips (see full instructions in supplementary text 3). Each participant 275 was assigned a stimuli list (see above) and watched and rated six video clips (see Figure 1). 276 To prepare the participant for a new trial, a small square was presented for 1.5 seconds. A 277 beep sounded before and after each video clip to indicate the stimuli length and enable 278 matching of the stimuli with the facial expression data (see below). Each caregiver was 279 rated on four visual analogue scales: How much do you like this person? (Not at all – Very 280 much), How much do you trust this person? (Not at all – Very much), How much would you like to interact with this person right now? (Not at all – Very much), and How much would 281 you like to be taken care of by this person right now? (Not at all - Very much). Additionally, 282 healthcare professionals (HP-c, HP-nc) were rated on professionalism: How good is this 283 person at their job? (Not at all – Very good). Each rating was saved as a value between 0 and 284 285 100 (a high value indicating a positive rating). Participants had an unlimited time for each 286 rating (average response time after LPS: 3.8 sec±3.4 sec, average response time after saline: 287 4.2 sec ±2.6 sec, response time data are missing for the first study day of two participants 288 due to logistic reasons). The order of the video clips and scales was randomized. To avoid 289 fatigue, the task included a one minute break after the third video clip. The task lasted for 290 approximately 15 minutes. The software OpenSesame (Mathôt et al., 2012) was used to 291 prepare and run the task.

292



294

295 Figure 1. Stimuli presentation during the Caregiver Perception Task (CgPT). Participants watched six video 296 clips, two of each caregiver condition (HP-c, HP-nc, NHP-c), in a randomized order. Male (blue) and female 297 (orange) participants watched gender-matched actors playing sick individuals. All participants watched one 298 scene with a female caregiver and one scene with a male caregiver per caregiver condition. After each video 299 clip, participants rated the caregiver on different scales (i.e., likability, trust, professionalism, willingness to 300 interact, willingness to receive care). Abbrevations: HP-c: Healthcare professionals taking care of a sick 301 individual, HP-nc: healthcare professionals not taking care of a sick individual, NHP-c: non-healthcare 302 professionals (i.e., parent/partner) taking care of their adult sick child or partner.

303

#### 304 2.4.4 Collection of facial expressions

305 To investigate the emotional response to the caregiving scenes, participants' faces were recorded with a web camera (Logitech C925e) and the local recording option in ZOOM<sup>®</sup>. The 306 Noldus FaceReader 9 (Noldus, 2021) was used to analyze facial expressions. The FaceReader 307 308 is a well-used tool that can record facial expressions (neutral, happiness, sadness, anger, 309 surprise, fear, disgust) from both photos and videos. A validation test showed that the software had an average accuracy of 99% when classifying emotions from a standardized 310 stimuli set with photos of faces (Noldus, 2021; van der Schalk et al., 2011). Nevertheless, it is 311 312 possible that the FaceReader will have less accuracy for more complex stimuli. The software calculates the intensity of each emotional expression (neutral, happiness, sadness, anger, 313 314 surprise, fear, disgust), at each time frame, on a scale from 0 to 1.0. In the present study, 315 the proportion of frames with an intensity above 0.5 was calculated for each emotion in each video stimulus. The analysis was conducted without calibration and with the default 316 317 analysis settings. The calibration allows the software to correct for the baseline and neutral 318 facial expression of the specific participant in order to calculate changes from baseline 319 during the stimulus presentation. Nevertheless, we decided to use uncalibrated data due to 320 the within-subject design, which allows for a control condition.

321

#### 322 2.5 Statistical analysis

- 323 The analysis plan was preregistered on OSF (<u>https://doi.org/10.17605/OSF.IO/WSGQD</u>) prior
- to data analyses. Data files and scripts can be found via the same link. All analyses followed
- the preregistered analysis plan unless otherwise specified. All analyses were conducted in R,
- 326 version 4.2.0 (R Core Team, 2017).
- 327

### 328 **2.5.1** Validation of the effect of LPS on sickness responses

329 Two linear mixed models were conducted to validate the effect of LPS on systemic

- 330 inflammation (IL-6 concentration in plasma) and sickness behavior (scores on the
- 331 SicknessQ). Both models included treatment (LPS vs. saline), time, and treatment × time as
- 332 fixed factors, and study day (1-2) as a covariate. The models did not converge when
- including the intercepts for participants and all random slopes: treatment × caregiver
- 334 condition, treatment, and caregiver condition in participants. Hence, intercepts for
- participants and slopes for treatment in participants were included as random factors in
- both models. IL-6 concentrations were log10-transformed to meet model assumptions
- 337 (normality and homoscedasticity of residuals). Linear mixed models were conducted using
- the *lmer* function from the *lme4* package (Bates et al., 2015). Fifteen blood samples out of a total of 294 (5%) were missing due to difficulties in taking blood (LPS=12, saline=3). No
- 340 SicknessQ data was missing.
- 341

## 342 **2.5.2 Perception of caregivers during experimental sickness**

Five linear mixed models were conducted to assess the interaction effect of LPS and 343 344 caregiver condition on ratings of caregivers (likability, trustworthiness, professionalism, willingness to interact, willingness to receive care). All models included treatment (LPS vs. 345 saline), caregiver condition (HP-c vs. NHP-c and HP-nc), and treatment × caregiver condition 346 347 (LPS × NHP-c and LPS × HP-nc) as fixed factors, and controlled for the study day (1-2) and the 348 version of the stimuli list (1-4). In the preregistered analysis plan, we planned to include the 349 stimuli list as a random effect, but we later choose to include it as a covariate instead, to 350 simplify the models. Moreover, all models included random intercepts for participants as 351 well as slopes for treatment × caregiver condition, treatment, and caregiver condition in participants. The model for willingness to interact with the caregiver was conducted with 352 353 the *lmer* function from the *lme4* package (Bates et al., 2015), while the rest of the models were conducted with the function blmer from the package blme (Bates et al., 2015; Chung 354 et al., 2013) due to convergence difficulties. The package parameters was used to retrieve p-355 356 values (Lüdecke et al., 2020). The models for likability and professionalism were rerun 357 without an outlier in mean rating and showed similar results (see Table S1).

358

In accordance with the Bonferroni method, the alpha level was set to 0.013 for the scales that tested the same research question: likability, trustworthiness, willingness to receive care from caregivers, and willingness to interact with caregivers. The scale professionalism tested a different research question, thus, the alpha level was set to 0.05. See the analysis plan for details regarding the model selection (https://doi.org/10.17605/OSF.IO/WSGQD). 364

### 365 **2.5.3 Facial expressions while watching caregivers during experimental sickness**

One participant injected with saline missed facial expression data due to technical problems. 366 Additionally, three participants missed facial expression data for several video clips due to 367 problems with the camera (2 participants injected with LPS = missing data for two video 368 clips, 1 participant injected with saline = missing data for five video clips). Data from one 369 370 participant was excluded due to poor data quality (the participant hides their face with their 371 hand while watching the video clips). Moreover, data from one video clip presentation was excluded for one participant injected with LPS due to poor data quality (face not visible 372 373 during 20% of the video presentation because the participant was sitting too close to the 374 screen).

375

376 Due to missing and excluded data, data for 266 video clip presentations (LPS=133,

377 saline=133) was available for analysis. The presentation of only 17% of these video clips

378 resulted in an expression of happiness. This amount of data was judged too small for

analyses of interactions between the treatment (LPS, saline) and caregiver condition (HP-c,

380 HP-nc, NHP-c) on emotional expressions to address our hypothesis. In order to explore if

experimental sickness by itself might modify the expression of emotions in general, as

indicated earlier (Sarolidou et al., 2019), we conducted exploratory analyses to assess the
 effect of treatment (LPS vs. saline) on emotional expressions during the task. We did not

analyze the expression of fear and surprise, given that no video presentations resulted in an

expression of fear and only 2 video presentations resulted in an expression of surprise. For

- remaining emotional expressions (neutral, happiness, sadness, anger, disgust) we conducted
   paired samples Wilcoxon tests to assess if there was any difference in the mean expression
- 388 of each emotion after a LPS injection compared to a saline injection.

389

### 390 **3. Results**

### 391 **3.1 Validation of the effect of LPS on sickness responses**

| 392 | During the Caregiver Perception Task (CgPT), participants injected with LPS had significantly |
|-----|---|
| 393 | higher concentrations of IL-6 (B=1.72(0.14), p<0.001), and higher SicknessQ scores            |

(B=11.82(1.31), p<0.001), compared to when injected with saline (Table S2, Figure 2).

395

396



398 Figure 2. Effect of lipopolysaccharide (LPS) on interleukin-6 concentrations and sickness behavior. The 399 injection of LPS (purple) significantly increased interleukin-6 concentrations (a) and SicknessQ scores (b), 400 compared to saline (cyan). The Caregiver Perception Task (CgPT) was conducted 1h and 45min after each 401 injection (grey area). Significance levels represent the interaction between LPS and time and were derived from 402 linear mixed models. Log10 transformed interleukin-6 concentrations were used in the analysis while the figure 403 shows raw data. The data points have been jittered to increase the readability of the figure. See **S2** for statistics. 404 \*\*\*p<0.001, \*p <0.05. Error bars: SEM. Abbrevations: IL-6: Interleukin-6, SicknessQ: Sickness Questionnaire, LPS: 405 Lipopolysaccharide.

406

397

#### 407 **3.2 Perception of caregivers when healthy**

408 Participants injected with saline rated healthcare professionals not taking care of sick

409 individuals as less likable, less trustworthy, and less good at their job compared to

410 healthcare professionals who took care of sick individuals (see Table 1 and Figure 3a-3c).

411 Participants injected with saline were also more willing to interact with and receive care

412 from healthcare professionals taking care of sick individuals compared to healthcare

413 professionals not taking care of sick individuals (see **Table 1** and **Figure 3d-3e**).

414 Non-healthcare professionals providing care were rated as less trustworthy compared to

415 healthcare professionals taking care of sick individuals (see Table 1 and Figure 3b).

416 Additionally, there was a trend of a decreased willingness to receive care from non-

417 healthcare professionals providing care compared to healthcare professionals providing care

418 (see **Table 1** and **Figure 3d**).

419

#### 420 **3.3.** Perception of caregivers during experimental sickness

- 421 Participants injected with LPS did not rate caregivers' likability, trustworthiness,
- 422 professionalism, and willingness to interact differently, compared to when injected with
- 423 saline (see Table 1 and Figure 3a-3d). However, participants injected with LPS were more

- 424 willing to receive care from healthcare professionals providing care compared to when
- injected with saline (see Table 1 and Figure 3e). The effect of LPS was similar in non-
- 426 healthcare professionals providing care and in healthcare professional providing care, as
- 427 indicated by the fact that the interaction effect of LPS and non-healthcare professionals on
- the willingness to receive care was not significant. In other words, participants injected with
- 429 LPS were more willing to receive care also from non-healthcare professionals compared to
- 430 when healthy. However, there was a negative interaction effect of LPS and healthcare
- professionals not providing care. Post-hoc analysis of the effect of LPS vs saline in the HP-nc
   condition only indicated that there was no significant difference in the willingness to receive
- 433 care from healthcare professionals not providing care in the LPS condition compared to
- 434 saline (B=1.4(5.7), p=0.8).

435

Table 1. Perception of caregivers during experimental sickness.

|   | В   | SE   | NOBS  | NIND   | р  |
|---|---|--|---|--|--|
| Likability  |   |  |   |  |  |
| Intercept   | 71.1  | 6.0  | 294   | 26   | <0.001   |
| Caregiver condition (HP-nc)   | -22.4   | 4.1  | 294   | 26   | <0.001   |
| Caregiver condition (NHP-c)   | -9.2  | 6.0  | 294   | 26   | 0.13   |
| LPS (in HP-c)   | 0.7   | 4.1  | 294   | 26   | 0.86   |
| LPS × HP-nc   | -7.9  | 5.0  | 294   | 26   | 0.12   |
| LPS × NHP-c   | -1.4  | 5.0  | 294   | 26   | 0.78   |
| Trustworthiness   |   |  |   |  |  |
| Intercept   | 72.2  | 6.4  | 294   | 26   | <0.001   |
|   |   |  |   |  |  |
| Caregiver condition (HP-nc)   | -22.9   | 4.4  | 294   | 26   | <0.001   |
| Caregiver condition (HP-nc)<br>Caregiver condition (NHP-c)  | -22.9<br>-19.3                                | 4.4<br>5.7   | 294<br>294  | 26<br>26   | <0.001<br><0.001                                   |
| Caregiver condition (HP-nc)<br>Caregiver condition (NHP-c)<br>LPS (in HP-c)   | -22.9<br>-19.3<br>-0.8                        | <b>4.4</b><br><b>5.7</b><br>3.6  | <b>294</b><br><b>294</b><br>294   | <b>26</b><br><b>26</b><br>26   | <0.001<br><0.001<br>0.82                           |
| Caregiver condition (HP-nc)<br>Caregiver condition (NHP-c)<br>LPS (in HP-c)<br>LPS × HP-nc  | -22.9<br>-19.3<br>-0.8<br>-0.1                | <b>4.4</b><br><b>5.7</b><br>3.6<br>5.9   | <b>294</b><br><b>294</b><br>294<br>294  | <ul> <li>26</li> <li>26</li> <li>26</li> <li>26</li> </ul>   | <0.001<br><0.001<br>0.82<br>0.99                   |
| Caregiver condition (HP-nc)<br>Caregiver condition (NHP-c)<br>LPS (in HP-c)<br>LPS × HP-nc<br>LPS × NHP-c                                 | -22.9<br>-19.3<br>-0.8<br>-0.1<br>3.8         | <ul> <li>4.4</li> <li>5.7</li> <li>3.6</li> <li>5.9</li> <li>5.2</li> </ul>              | <ul> <li>294</li> <li>294</li> <li>294</li> <li>294</li> <li>294</li> <li>294</li> </ul>              | <ul> <li>26</li> <li>26</li> <li>26</li> <li>26</li> <li>26</li> <li>26</li> </ul>   | <0.001<br><0.001<br>0.82<br>0.99<br>0.46           |
| Caregiver condition (HP-nc)<br>Caregiver condition (NHP-c)<br>LPS (in HP-c)<br>LPS × HP-nc<br>LPS × NHP-c<br>Professionalism              | -22.9<br>-19.3<br>-0.8<br>-0.1<br>3.8         | <ul> <li>4.4</li> <li>5.7</li> <li>3.6</li> <li>5.9</li> <li>5.2</li> </ul>              | <b>294</b><br><b>294</b><br>294<br>294<br>294   | <b>26</b><br><b>26</b><br>26<br>26<br>26   | <0.001<br><0.001<br>0.82<br>0.99<br>0.46           |
| Caregiver condition (HP-nc)<br>Caregiver condition (NHP-c)<br>LPS (in HP-c)<br>LPS × HP-nc<br>LPS × NHP-c<br>Professionalism<br>Intercept | -22.9<br>-19.3<br>-0.8<br>-0.1<br>3.8<br>78.7 | <ul> <li>4.4</li> <li>5.7</li> <li>3.6</li> <li>5.9</li> <li>5.2</li> <li>6.2</li> </ul> | <ul> <li>294</li> <li>294</li> <li>294</li> <li>294</li> <li>294</li> <li>294</li> <li>196</li> </ul> | <ul> <li>26</li> </ul> | <0.001<br><0.001<br>0.82<br>0.99<br>0.46<br><0.001 |

### 436

| -2.3          | 3.7  | 196   | 26  | 0.53  |
|---------------|--|---|---|---|
| -0.2          | 5.7  | 196   | 26  | 0.97  |
|               |  |   |   |   |
| 59.1          | 6.5  | 294   | 26  | <0.001  |
| -23.2         | 4.8  | 294   | 26  | <0.001  |
| -9.9          | 6.0  | 294   | 26  | 0.10  |
| -1.2          | 7.0  | 294   | 26  | 0.86  |
| -8.2          | 5.8  | 294   | 26  | 0.16  |
| -4.0          | 5.4  | 294   | 26  | 0.46  |
|               |  |   |   |   |
| 43.5          | 8.1  | 294   | 26  | <0.001  |
| -18.2         | 4.9  | 294   | 26  | <0.001  |
| -12.4         | 5.8  | 294   | 26  | 0.03(#)   |
|               |  |   |   |   |
| 15.2          | 5.1  | 294   | 26  | <0.01   |
| 15.2<br>-14.1 | 5.1<br>5.4   | 294<br>294  | 26<br>26  | <0.01<br><0.01  |
|               | -2.3<br>-0.2<br>59.1<br>-23.2<br>-9.9<br>-1.2<br>-8.2<br>-4.0<br>43.5<br>-18.2<br>-18.2<br>-12.4 | <ul> <li>-2.3 3.7</li> <li>-0.2 5.7</li> <li><b>59.1 6.5</b></li> <li><b>-23.2 4.8</b></li> <li>-9.9 6.0</li> <li>-1.2 7.0</li> <li>-8.2 5.8</li> <li>-4.0 5.4</li> <li><b>43.5 8.1</b></li> <li><b>-18.2 4.9</b></li> <li>-12.4 5.8</li> </ul> | -2.3       3.7       196         -0.2       5.7       196         59.1       6.5       294         -23.2       4.8       294         -9.9       6.0       294         -1.2       7.0       294         -8.2       5.8       294         -4.0       5.4       294         -4.0       5.4       294         -4.0       5.4       294         -4.0       5.4       294         -4.0       5.4       294         -4.0       5.4       294         -4.0       5.4       294         -4.0       5.4       294 | -2.33.719626-0.25.71962659.16.529426-23.24.829426-9.96.029426-1.27.029426-8.25.829426-4.05.429426-4.05.429426-12.45.829426-12.45.829426 |

Results from linear mixed models that assessed the effects of treatment (LPS vs. saline), caregiver condition (HP-c vs. HPnc and NHP-c), and the interaction effects of treatment and caregiver condition (LPS × HPnc, LPS × NHP-c) on caregiver ratings. All models controlled for study day and stimuli list. All models included random intercept for participants as well as random slopes for treatment × caregiver condition, treatment and caregiver condition in participants. The alpha level was set to 0.013 for models assessing likability, trustworthiness, willingness to interact, and willingness to receive care due to multiple testing. The alpha level for professionalism was set to 0.05. Abbreviations: LPS: lipopolysaccharide, HP-c: Healthcare professionals taking care of a sick individual, HP-nc: healthcare professionals not taking care of a sick individual, NHP-c: non-healthcare professionals (i.e., parent/partner) taking care of their adult sick child or partner, NOBS: number of observations, NIND: number of individuals, #: Did not reach statistical significance (<0.013) but is here listed as a trend (<0.05).



#### 474

Figure 3. Perception of caregivers during experimental sickness. Participants watched video clips of
healthcare professionals taking care of a sick individual (HP-c), healthcare professionals not taking care of a
sick individual (HP-nc), and non-healthcare professionals (e.g., parent/partner) taking care of their adult sick
child/partner after a LPS injection and a saline injection. The likability (a), trustworthiness (b), and
professionalism of each caregiver (c), as well as the participant's willingness to interact with (d) and receive
care from the caregiver (e), were rated on visual analogue scales (0-100). A high score indicating a positive

481 rating. Linear mixed models were used to assess the effect of LPS (lipopolysaccharide), caregiver condition

482 (HP-nc, NHP-c) and the interaction effects (LPS\*HP-nc, LPS\*NHP-c) on perception of caregivers.

483 Professionalism was only rated for healthcare professionals (HP-c and HP-nc). The alpha level was set to 0.013
 484 for assessments of likability, trustworthiness, willingness to receive care from caregivers, and willingness to

485 interact with caregivers in accordance with the Bonferroni method, and 0.05 for the model assessing perceived

486 professionalism of caregivers. The line in each box plot represents the median, the box represents upper and

487 lower quartile, and the whiskers represent maximum and minimum values (max 1.5 \* inter-quality range from

488 the hinge). The data points have been jittered to increase the readability of the figure. \*\*p<.01,\*\*\*p<.001.

### 490 **3.3.** Facial expressions during experimental sickness.

Results from our exploratory analyses indicated that participants injected with LPS did not
 express a different amount of neutrality, happiness, sadness, anger, or disgust during the
 task, compared to when injected with saline, as detected by FaceReader. See **Table S3** for
 statistics.

495

### 496 4. Discussion

497 In this randomized placebo-controlled study we assessed how experimentally sick 498 participants (injected with LPS) perceive caregivers, compared to when healthy. For this purpose, we developed the caregiver perception task (CgPT), in which participants watch 499 500 video clips of caregivers and care receivers, and rate their perception of these caregivers 501 according to different scales (i.e., likability, trust, professionalism, willingness to interact, 502 willingness to receive care). The stimuli consist of three different types of video clips: 503 healthcare professionals taking care of a sick individual (HP-c), healthcare professionals not taking care of a sick individual (HP-nc), and non-healthcare professionals taking care of their 504 sick adult child or partner (NHP-c). The results show that healthy participants clearly rated 505 506 the healthcare professionals taking care of sick individuals more positively than healthcare professionals not taking care of sick individuals, and also trusted healthcare professionals 507 taking care of sick individuals more compared to non-healthcare professionals. These 508 findings support the face validity of this task to assess explicit preferences for (health)care 509 providers. When sick, participants reported being more willing to receive care from any care 510 provider seen in the video clips compared to when healthy. The finding highlights the 511 512 flexibility of the social response during sickness beyond the previously prevailing idea of 513 social withdrawal.

514

Previous studies investigating social behavior during sickness have used the relatedness of 515 the social interaction partner as a switch point between social withdrawal and social 516 517 approach (Inagaki et al., 2015; Jolink et al., 2022). An increased approach towards close 518 others during sickness would be sensible, given the idea that kinship selection would facilitate caregiving towards close others. However, unknown others (i.e., healthcare 519 professionals) may also provide care for a sick individual. Clearly, in some situations, 520 521 approaching strangers represents adaptive behavior during sickness. Our results support 522 this notion by showing that sick individuals report higher willingness to approach unknown 523 healthcare professionals, as well as non-healthcare professionals, for care compared to 524 when they were healthy. Importantly, this effect was not observed for healthcare 525 professionals who was not taking care of a sick individual, indicating that the sole presence 526 of a healthcare professional is not enough. Instead, more information about the caregiver's 527 skills might be needed to increase the willingness to receive care. A future direction may be 528 to investigate the relationship between activation of immune processes and skilled vs non-529 skilled healthcare professionals, such as previously investigated in the placebo literature (Howe et al., 2017). The fact that sick individuals would be willing to receive care from 530 531 a non-healthcare professional was unexpected, given that these individuals are strangers

532 who would probably not be prone to provide care for unknown individuals. Given the

533 previously observed inflammation-induced increased willingness to be close to a support

figure (Inagaki et al., 2012), we could speculate that participants injected with LPS might

have projected their own close other onto the non-healthcare provider in the video (i.e.,

imagined being taken care of by their own parent or partner). Future studies using the CgPT

task could gain from asking the participants follow-up questions regarding their ratings.

538

The perception of caregivers and changes in this perception when one is sick is highly 539 540 relevant for many clinical settings. Healthcare professionals who did not take care of a sick individual were rated as less likable, less trustworthy and less good at their job, and 541 participants reported being less willing to interact with them, compared to healthcare 542 543 professionals who took care of a sick individual. Participants were also less willing to receive 544 care from healthcare professionals not taking care of a sick individual compared to those 545 who took care of a sick individuals, and this effect was even stronger when the participants 546 were sick. Thus, only observing the way the needs of other patients are attended to might 547 affect the perception of healthcare professionals. Hence, the fact that healthcare professionals are often busy with administrative and other tasks diverting them away from 548 actively caring for patients might lead patients to more negative appraisal of their 549 550 healthcare provider. Ultimately, this could affect the patient-provider relationship, which is 551 a central component of treatment and health outcomes (Finset, 2014). Further studies 552 should thus investigate how the type of caregiving affect perception of caregivers in 553 different health settings.

554

Even though the results show an increase in willingness to receive care from care providers 555 556 in the LPS condition compared to in the saline condition, a considerable amount of variance 557 in the ratings was observed (see Figure 3). The inflammatory response and the sickness behavior did not predict the LPS-associated changes in willingness to receive care from care 558 providers, although these analyses were clearly too underpowered to interpret anything 559 from a non-significant effect (see supplementary text 4). It is possible that other 560 psychosocial factors, such as psychological traits of the participants could explain the 561 variance. Additionally, participants' previous experiences with healthcare professionals 562 might have affected their willingness to receive care while sick. Thus, assessment of 563 predictors for caregiver perception is an important direction for future studies. 564

565

In the present study, we also aimed to investigate if experimental sickness would affect 566 expressions of happiness in response to caregivers. However, expressions of happiness 567 while watching the video clips were almost absent. A previous study has shown that sick 568 569 individuals with a neutral expression are perceived as expressing more negative emotions, 570 compared to when healthy (Sarolidou et al., 2019). Yet, our exploratory analyses with the 571 FaceReader showed that there was no difference in expression of neutrality, happiness, sadness, anger, and disgust after a LPS injection compared to a saline injection. It is possible 572 573 that the FaceReader was less efficient in detecting emotions compared to the human eye.

574 Stimuli with stronger emotional content would most likely be needed to analyze expressions 575 of emotions during experimental sickness with the FaceReader.

576

There are several limitations to consider for the present study. First of all, the task took part 577 during the peak of sickness symptoms (Lasselin, 2021), hence, most of the participants 578 579 injected with LPS noticed the often clear symptoms, and could guess they had been injected 580 with LPS at this point. It is possible that the knowledge about the treatment would bias 581 participants injected with LPS to give more positive ratings of the caregivers. However, given 582 the difference in ratings between different caregiver conditions (i.e., no LPS effect in willingness to receive care for HP-nc), we do not believe that this was a problem for the 583 present study. Another limitation is the usage of non-professional "actors" for the video 584 585 clips, possibly affecting the perception of the video clips. Importantly, all the "actors" playing 586 caregivers in the video clips were healthcare professionals (medical doctors, medical 587 students, or clinical psychologists), and it was thus natural for them to act as a caregiver. Moreover, the likability and trustworthiness of the caregivers in the caregiver conditions 588 589 with more challenging acting (HP-c, NHP-c) were high (see Figure 3), indicating that the participants perceived the caregiving scenes as authentic. Lastly, we did not collect any 590 591 follow-up information on the perception of the task. Given the novelty of the task, it would 592 have been favorable to ask the participants about their beliefs about the origin of the video 593 clips and the purpose of task.

594

595 One important aspect is that it is not clear to which extent the current results can be 596 generalized to other states of sickness and hospital settings. The injection of LPS involves a 597 specific context in which participants have a caregiver at their bedside ready to assist them, 598 and knowledge about that their symptoms are transient and non-harmful. Also, the 599 experiment used video clips and participant estimations of how they believe they would act in response to a certain healthcare provider, which might limit the generalizability. Future 600 studies need to investigate how caregivers are perceived in other settings, e.g., in settings 601 602 involving sick individuals who are more vulnerable and in acute need of care. Furthermore, although the age span of the included participants was quite limited (18-33), age and 603 604 lifetime experience might affect perception of caregivers as well as the effect of sickness on 605 this perception, something that the current sample size did not allow to investigate. 606 Moreover, participants injected with LPS are not contagious, and are most likely aware of 607 this aspect. Approaching caregivers can be beneficial, but if the sick individual is contagious, 608 it also comes with the risk of infecting others. Thus, it is possible that contagious sick 609 individuals would be less willing to receive care from others due to the risk of disease 610 spreading (Shakhar and Shakhar, 2015).

611

In conclusion, our study demonstrates the possibility to use the newly developed Caregiver
 Perception Task (CgPT) to assess explicit preferences for caregivers. Our results indicate that
 participants who were made sick with an injection of LPS were more willing to receive care
 from unknown caregivers, either healthcare professionals or non-healthcare professionals

- taking care of a sick individual, compared to when healthy. Sick individuals were not more
- 617 willing to receive care from healthcare professionals who did not take care of a sick
- 618 individual, highlighting the specific preferences for individuals who are known to have the
- ability to provide care, support, and possibly a relief of symptoms, during the state ofsickness.
- 621
- 622

### 623 Declaration of competing interests

- 624 The authors declare that they have no competing financial interests or personal
- 625 relationships that could have appeared to influence the work reported in this paper.
- 626

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646

647

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| 765               | Perception of unfamiliar caregivers during experimental sickness – using the new Caregiver Perception Task   |
| 766               | (CgPT)   |
| 767               | Hansson et al.   |

### 768 <u>Highlights</u>

| 769        |   |  |
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| 770        | ٠ | We assessed if experimental sickness affected perception of unknown caregivers.                      |
| 771        |   |  |
| 772        | • | We developed the Caregiver Perception task (CgPT), in which participants watch and rate caregivers.  |
| //3        |   | <b>T</b>   |
| //4<br>775 | • | I wenty-six participants were injected with lipopolysaccharide (U.8 ng/kg body weight) and placebo.  |
| 775        |   | Healthcare professionals providing care were retail more positively than these not providing care    |
| 770        | • | Healthcare professionals providing care were rated more positively than those not providing care.    |
| 778        | • | Experimental sickness led to increased willingness to receive care from caregivers who provided care |
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