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| Pascal Gourdel, Nadia Mâagli. New approach of the hairy ball theorem. 2014. halshs-01025057

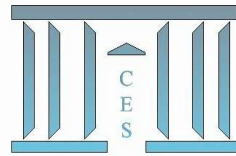
**HAL Id: halshs-01025057**

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Submitted on 17 Jul 2014

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**New approach of the hairy ball theorem**

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**2014.51**



# New approach of the hairy ball theorem

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## Abstract

In this paper, we establish an equivalent version of the hairy ball theorem in the form of a fixed point theorem. By using a version of Mas-Colell theorem [6] and by applying homotopy and approximation methods, we obtain our main result.

**Keywords:** Hairy ball theorem, fixed point theorems, approximation methods, homotopy, topological degree, connected components.

# 1 Introduction

The aim of this paper is to establish and prove the following fixed point theorem equivalent to the hairy ball theorem.

If  $f : S \rightarrow S$  is continuous and satisfying for any  $x \in S, f(x).x \geq \frac{1}{2}$ , then it possesses a fixed point.

The proof of this theorem is based on constructing of an explicit continuous homotopy  $F$  between a nontrivial function  $\alpha$  and the function  $f$ . A component starting from fixed points of  $\alpha$  will lead us to a fixed point of  $f$ . Yet, using a result of Mas-Colell [6], we prove the result for a twice continuous differentiable function. Our point is that the existence of a smooth path can easily be implemented on computers. Then, using approximation technics, we recover all fixed points of  $f$ .

The paper is organized in the following way. Section 2 contains preliminaries and notations. The equivalent fixed points theorems are set in section 3. The proof of the main result is given in section 4.

# 2 Preliminaries and notations

Throughout this paper, we shall use the following notations and definitions.

Let  $S = S^n = \{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$  be the unit  $n$ -sphere. For any  $0 < r < 1$ , we denote by  $B(S, r) = \{x \in \mathbb{R}^{n+1} : 1 - r \leq \|x\| \leq 1 + r\}$ . For a subset  $X \subset \mathbb{R}^n$ , we denote by  $\bar{X}$  the closure of  $X$ , by  $X^c$  the complement of  $X$ , by  $\text{int}(X)$  the interior of  $X$ , and by  $\partial X = \bar{X} \setminus \text{int}(X)$  the boundary of  $X$ . We denote by  $x_0 = (0, 0, \dots, 1)$  and  $-x_0 = (0, 0, \dots, -1)$ , respectively the north and south pole of  $S$  and by 'deg' the classical topological degree.

**Definition 1.** We denote by  $\mathcal{H}$  the set of continuous functions  $F : [0, 1] \times S \rightarrow S$  such that  $F(0, x_0) = x_0$ . For a continuous function  $F : [0, 1] \times S \rightarrow S$ , we denote by

1.  $C_F := \{(t, x) \in [0, 1] \times S : F(t, x) = x\}$ .
2. The translation  $\bar{F}$  of  $F$  is defined by  $\bar{F}(t, x) = F(t, x) - x$ .

For getting our main result, we need the following topological degree properties.

**Proposition 1.** Let  $\Omega$  be a bounded open set of  $\mathbb{R}^m$ ,  $f : \bar{\Omega} \rightarrow \mathbb{R}^m$  be a continuous function and  $y \in \mathbb{R}^m$  such that  $y \notin f(\partial\Omega)$ . Then, we have

- i.  $\text{deg}(\cdot, \Omega, y)$  is constant in  $\{g \in C(\bar{\Omega}) \setminus \|g - f\| < r\}$  where  $r = d(y, f(\partial\Omega))$ .
- ii. Let  $\Omega_1$  be an open set of  $\Omega$ . If  $y \notin f(\bar{\Omega} \setminus \Omega_1)$ , then  $\text{deg}(f, \Omega, y) = \text{deg}(f, \Omega_1, y)$ .
- iii. Let  $V$  be an open and bounded set of  $[0, 1] \times \mathbb{R}^m$ ,  $V(t) := \{x \in \mathbb{R}^m : (t, x) \in V\}$  and  $F : \bar{V} \rightarrow \mathbb{R}^n$ , with  $f_t = F(t, \cdot) \in C^1(\bar{V}(t))$ . Suppose that

there exists a continuous path  $t \rightarrow p_t$  such that  $p_t \notin f_t(\partial V(t))$ , then  $\deg(f_t, V(t), p_t)$  is constant in  $t \in [0, 1]$ .

We need also the following.

**Proposition 2.** *In a compact set, each connected component is the intersection of all open and closed sets that contain it.*

*Proof.* See the appendix. □

In the sequel, we will suppose that the integer  $n$  is even. The aim of this note is to provide a variant proofs of the hairy ball theorem. First, we recall the hairy ball theorem.

**Theorem 1.** (Hairy ball theorem) *An even dimensional sphere does not admit any continuous field of non-zero tangent vectors.*

In other terms, if  $g : S \rightarrow S$  is continuous and for every  $x \in S$ , we have  $g(x).x = 0$ , then there exists  $\bar{x}$  such that  $g(\bar{x}) = 0$ .

In what follows, we establish two equivalent versions of the hairy ball theorem presented as fixed point theorems.

### 3 Equivalent versions

In the following, we state the first equivalent version to the hairy ball theorem.

**Theorem 2.** *If  $f : S \rightarrow S$  is continuous, then either  $f$  or  $-f$  possesses a fixed point.*

As a first step, we prove that Theorem 2 is equivalent to the hairy ball theorem.

*Proof.* First, we claim that the hairy ball theorem implies Theorem 2. Indeed, let  $f : S \rightarrow S$  be a continuous function and consider  $g : S \rightarrow S$  given by  $g(x) = f(x) - (x.f(x))x$ . By the hairy ball theorem,  $g$  has a zero  $\bar{x}$  on  $S$ . That is,  $f(\bar{x}) = (\bar{x}.f(\bar{x}))\bar{x}$ . Now, using that  $f(\bar{x})$  is collinear to  $\bar{x}$  and that both of them belongs to the sphere, we conclude that either  $f(\bar{x}) = \bar{x}$  or  $f(\bar{x}) = -\bar{x}$ .

Conversely, let  $g : S \rightarrow S$  be a continuous function such that for any  $x \in S$ ,  $g(x).x = 0$ . Then for  $x \in S$ ,  $g(x) + x \neq 0$ . So, we consider the function  $f(x) = \frac{x+g(x)}{\|x+g(x)\|}$ . By Theorem 2, there exists  $\bar{x}$  such that  $f(\bar{x}) = \epsilon\bar{x}$ , with  $\epsilon \in \{-1, 1\}$ . This implies that  $g(\bar{x}) = 0$ . □

Now, we state the main result and we prove that it is equivalent to the hairy ball theorem.

**Theorem 3.** *If  $f : S \rightarrow S$  is continuous and satisfying for any  $x \in S$ ,  $f(x).x \geq \frac{1}{2}$ , then it possesses a fixed point.*

*Proof.* Obviously, Theorem 2 implies Theorem 3. In fact, since  $\|x\| = 1$  on  $S$ , then it is trivial to see that  $f(x) = -x$  is impossible. Conversely, we will prove that Theorem 3 implies the hairy ball theorem. Indeed, let  $g : S \rightarrow S$  be a non easy continuous function such that for any  $x \in S$ ,  $g(x).x = 0$ . Let  $M = \sup_{x \in S} \|g(x)\|$ . So, put  $\alpha = \frac{\sqrt{3}}{M}$  and consider the function  $f_\alpha(x) = \frac{x + \alpha g(x)}{\|x + \alpha g(x)\|}$ . We have  $f_\alpha(x).x = \frac{1}{\|x + \alpha g(x)\|} > 0$  and by simple calculus, we obtain

$$(f_\alpha(x).x)^2 = \frac{1}{\|x + \alpha g(x)\|^2} = \frac{1}{\|x\|^2 + \alpha^2 \|g(x)\|^2} \geq \frac{1}{1 + \alpha^2 M^2} = \frac{1}{4}.$$

By Theorem 3,  $f_\alpha$  possesses a fixed point  $\bar{x}$ . Setting  $f_\alpha(\bar{x}).\bar{x} = 1$  above implies that  $g(\bar{x}) = 0$ , and the result follows.  $\square$

**Remark 1.** *Let us observe that the choice of the real  $\frac{1}{2}$  is arbitrary. The main idea of the theorem is that we can allow a radial component if it is not fully opposite to  $x$ . Here we state the general version.*

*For any real  $\lambda \in (-1, 1)$ , we denote by  $P_\lambda$  the following proposition*  
*If  $f : S \rightarrow S$  is continuous and for any  $x \in S$ ,  $f(x).x \geq \lambda$ , then  $f$  has a fixed point.*

It is not difficult to prove that  $P_\lambda$  is equivalent to Theorem 2.

To sum up, we have Theorem 3 is equivalent to the hairy ball theorem. Thereafter, providing a proof of Theorem 3 will enable us to have a new proof of the hairy ball theorem which differs from the classical proofs [8].

## 4 Proof of Theorem 3

The proof of Theorem 3 will depend on the two following results.

**Lemma 1.** *If  $f : S \rightarrow S$  is continuous such that for any  $x \in S$ ,  $f(x).x \geq \frac{1}{2}$ , then there exists  $F \in \mathcal{H}$  such that  $F(1, \cdot) = f$ .*

**Theorem 4.** *There exists a connected component  $\Gamma$  subset of  $C_F$  such that  $\Gamma \cap (\{0\} \times S) \neq \emptyset$  and  $\Gamma \cap (\{1\} \times S) \neq \emptyset$ . Consequently,  $F(1, \cdot)$  has a fixed point.*

Once we prove Lemma 1 and Theorem 4, then Theorem 3 is deduced immediately.

*Proof of Lemma 1*

For any  $(t, x) \in [0, 1] \times S$ , consider the function  $F$  given by

$$F(t, x) = \frac{tf(x) + (1-t)\alpha(x)}{\|tf(x) + (1-t)\alpha(x)\|}. \quad (1)$$

Before introducing the function  $\alpha$ , we can easily remark that  $F$  satisfies the conclusion of Lemma 1 provided that for any  $(t, x) \in [0, 1] \times S$ , the function  $\alpha$  met the three following properties

(P<sub>1</sub>)  $tf(x) + (1-t)\alpha(x) \neq 0$ .

(P<sub>2</sub>)  $\alpha$  is continuous.

(P<sub>3</sub>) "α(x) is positively collinear to x" is equivalent to "x = ±x<sub>0</sub>".

Now, we will construct gradually the function α. First, let us define the function β : S → S by β(x) = y, where x = (x<sub>1</sub>, ⋯, x<sub>n+1</sub>) and y = (y<sub>1</sub>, ⋯, y<sub>n+1</sub>) such that

$$\forall i \in \{1, \dots, n\}, y_i = x_i \sqrt{x_1^2 + \dots + x_n^2} = x_i \sqrt{1 - x_{n+1}^2},$$

and

$$y_{n+1} = x_{n+1} \sqrt{2 - x_{n+1}^2}.$$

Second, consider the following rotation R<sub>θ</sub> of S ⊂ ℝ<sup>n+1</sup> = ℝ<sup>2k+1</sup>, north-south axis, whose matrix is given by

$$R_\theta = \begin{pmatrix} \cos \theta & -\sin \theta & \dots & 0 & 0 \\ \sin \theta & \cos \theta & \vdots & \vdots & \\ \vdots & & \cos \theta & -\sin \theta & \vdots \\ 0 & & \sin \theta & \cos \theta & 0 \\ \vdots & & \ddots & \vdots & \vdots \\ 0 & \dots & \dots & \dots & 1 \end{pmatrix}$$

where 0 < θ < π/2.

Finally, we define the function α : S → S by α(x) = R<sub>θ</sub>(β(x)).

Next, our objective is to prove that the properties (P<sub>1</sub>), (P<sub>2</sub>) and (P<sub>3</sub>) are satisfied. In order to prove (P<sub>1</sub>), it suffices to prove that (tf(x) + (1 - t)α(x)).x > 0. Moreover, since f(x).x > 1/2, then we just need to prove that α(x).x > 0. This follows from the expression of α. Indeed, we have

$$\alpha(x) = R_\theta(\beta(x)) = \begin{pmatrix} \sqrt{1 - x_{n+1}^2}(x_1 \cos \theta - x_2 \sin \theta) \\ \sqrt{1 - x_{n+1}^2}(x_1 \sin \theta - x_2 \cos \theta) \\ \sqrt{1 - x_{n+1}^2}(x_3 \cos \theta - x_4 \sin \theta) \\ \sqrt{1 - x_{n+1}^2}(x_3 \sin \theta - x_4 \cos \theta) \\ \vdots \\ \sqrt{1 - x_{n+1}^2}(x_{n-1} \cos \theta - x_n \sin \theta) \\ \sqrt{1 - x_{n+1}^2}(x_{n-1} \sin \theta - x_n \cos \theta) \\ x_{n+1} \sqrt{2 - x_{n+1}^2} \end{pmatrix}$$

Therefore, we obtain that

$$\alpha(x).x = (1 - x_{n+1}^2)^{\frac{3}{2}} \cos \theta + x_{n+1}^2 \sqrt{2 - x_{n+1}^2} \geq (1 - x_{n+1}^2)^{\frac{3}{2}} \cos \theta + x_{n+1}^2 \geq \min\left(\frac{2}{3}, \cos \theta\right) > 0.$$

On the other hand, since all the components of the function β are continuous, then (P<sub>2</sub>) is trivial. This completes the proof of (P<sub>1</sub>) and (P<sub>2</sub>). In order to prove (P<sub>3</sub>), note that if α(x) = λx then, |λ| = 1. Thus,

we have  $x_{n+1}\sqrt{2-x_{n+1}^2} = \lambda x_{n+1}$ . Now, since  $\beta(x) = \lambda R_{-\theta}(x)$  and in virtue of [9], the only fixed points of  $R_{-\theta}$  are  $\pm x_0$ , then  $x_{n+1} \neq 0$ . Therefore, we obtain that  $\sqrt{2-x_{n+1}^2} = \lambda = 1$ . Squaring the last equality implies that  $x = \pm x_0$ , as required.

□

In the next section, we will interpret geometrically the properties of the function  $\alpha$ .

#### 4.1 The geometrical properties of the function $\alpha$

For any  $\bar{x}_{n+1} \in [-1, 1]$ , let us denote by  $P_{\bar{x}_{n+1}}$ , the following set  $P_{\bar{x}_{n+1}} := \{x \in S \text{ such that } x_{n+1} = \bar{x}_{n+1}\}$ . By analogy with the unit sphere of  $\mathbb{R}^3$ , we call this set a parallel. Remark that except at the poles, it is a sphere of dimension  $n-1$ .

- The image by  $\alpha$  of a parallel of altitude  $x_{n+1}$  is a parallel of altitude  $x_{n+1}\sqrt{2-x_{n+1}^2}$  and closer to the corresponding pole.
- The image by  $\alpha$  of a polar cap of altitude  $x_{n+1}$  is a polar cap of altitude  $x_{n+1}\sqrt{2-x_{n+1}^2}$  and closer to the corresponding pole.

Therefore, the parallel of altitude  $-1$  (reduced to the south pole), of altitude  $0$  (reduced to the equator) and of altitude  $1$  (reduced to the north pole) are the only one that are globally invariants. In addition, since  $\alpha(x)$  and  $x$  are on the sphere and belongs both either to the north semi-sphere or to the south semi-sphere, then co-linearity means equality. As a consequence of the computation of the image of a parallel, we have the following.

**Proposition 3.** *For any  $0 < \mu < \frac{1}{2}$ , we have*

$$\alpha(\overline{B}(x_0, \mu) \cap S) \subset \overline{B}(x_0, \frac{\mu}{2}) \cap S.$$

*Proof.* See the appendix. □

The following section provides the proof of Theorem 4.

#### 4.2 Existence of fixed points

In order to prove Theorem 4, we will need the following theorem of Mas-Colell [6]. Let  $X$  be an open subset of  $\mathbb{R}^n$ . Let  $A \subset X$  be open and such that  $\overline{A} \subset X$  and let  $\mathbb{F}$  be the set of twice continuously differentiable functions:  $F : [0, 1] \times X \rightarrow A$ .

**Theorem 5** (Mas-Colell). *There is an open and dense set  $\mathbb{F}' \subset \mathbb{F}$  such that for every  $F \in \mathbb{F}'$ , any non empty component  $\Gamma$  of  $C_F$  with  $\Gamma \cap (\{0\} \times X) \neq \emptyset$  is diffeomorphic to a segment.*



The proof of this theorem is based on a transversality argument which make his result only generic. In addition, it is important to notice that Mas-Colell established his result on a convex set  $X$ . However, a careful reading of the proof shows that this assumption was only used in a subsequent part.

In order to prove Theorem 4, we need first to prove the following result.

**Proposition 4.** *Let  $X = B(S, \frac{1}{2})$ ,  $A = B(S, \frac{3}{8})$ ,  $p \geq 2$  and  $F$  given by (1) of Lemma 1. Then there exists an open dense set  $\mathbb{F}' \subset \mathbb{F}$  and a function  $\tilde{G}_p : [0, 1] \times X \rightarrow A$  such that  $\tilde{G}_p \in \mathbb{F}'$  and  $\left\| \tilde{G}_p(t, x) - F(t, \frac{x}{\|x\|}) \right\| \leq \frac{1}{p}$ , for any  $(t, x) \in [0, 1] \times X$ .  
Moreover, there exists a connected component  $W_p \subset C_{\tilde{G}_p}$  such that  $W_p \cap (\{0\} \times X) \neq \emptyset$  and  $W_p \cap (\{1\} \times X) \neq \emptyset$ .*

*Proof.* In order to apply Theorem 5, we present here a constructive proof.

Let  $F$  be the function given by (1) and consider the function  $\tilde{F} : [0, 1] \times \bar{X} \rightarrow S$  defined by  $\tilde{F}(t, x) = F(t, \frac{x}{\|x\|})$ . By Stone Weierstrass approximation method, for any integer  $p \geq 2$ , there exists a  $C^2$  function  $\tilde{F}_p : [0, 1] \times \bar{X} \rightarrow A$ , such that  $\left\| \tilde{F} - \tilde{F}_p \right\|_\infty \leq \frac{1}{2p}$ . Let  $\mathbb{F}'$  be an open dense set given by Mas-Colell's theorem such that  $\bar{\mathbb{F}}' = \mathbb{F}$ . Since  $\tilde{F}_p \in \mathbb{F}'$ , then there exists  $\tilde{G}_p \in \mathbb{F}'$  such that  $\tilde{G}_p : [0, 1] \times X \rightarrow A$  and  $\left\| \tilde{G}_p - \tilde{F}_p \right\|_\infty \leq \frac{1}{2p}$ . So, we obtain that

$$\left\| \tilde{G}_p - \tilde{F} \right\|_\infty \leq \frac{1}{p}. \quad (2)$$

It remains to show that  $C_{\tilde{G}_p} \neq \emptyset$ . Indeed, let us prove that for any  $0 < r < \frac{1}{3}$ , there exists  $x_p \in \bar{B}(x_0, r) \subset X$ , such that  $\tilde{G}_p(0, x_p) = x_p$ .

By inequality (2), we have  $\left\| \tilde{G}_p(0, x) - \tilde{F}(0, x) \right\| = \left\| \tilde{G}_p(0, x) - \alpha\left(\frac{x}{\|x\|}\right) \right\| \leq \frac{1}{p}$ . This implies that for each  $x \in \bar{B}(x_0, r)$ , we have

$$\left\| \tilde{G}_p(0, x) - x_0 \right\| \leq \left\| \tilde{G}_p(0, x) - \alpha\left(\frac{x}{\|x\|}\right) \right\| + \left\| \alpha\left(\frac{x}{\|x\|}\right) - x_0 \right\| \leq \frac{1}{p} + \left\| \alpha\left(\frac{x}{\|x\|}\right) - x_0 \right\|.$$

A simple calculus\*, shows that for any  $x \in \bar{B}(x_0, r)$ , we have  $\alpha\left(\frac{x}{\|x\|}\right) \in \bar{B}\left(x_0, \frac{r}{\sqrt{2}\sqrt{1+\sqrt{1-r^2}}}\right)$ . Therefore, by Proposition 3, we conclude that  $\tilde{G}_p$  maps  $\bar{B}(x_0, r)$  into itself and by Brouwer theorem, there exists  $x_p \in \bar{B}(x_0, r)$  such that  $x_p \in C_{\tilde{G}_p}$ .

At this step, applying Theorem 5 to  $\tilde{G}_p$ , we conclude that any component  $\Gamma_p \in C_{\tilde{G}_p}$  starting from  $(0, x_p)$  is diffeomorphic to a segment. Now, it remains to show that there exists a component  $\Gamma_p$  such that  $\Gamma_p \cap (\{1\} \times X) \neq \emptyset$ . First, it is important to notice that for any component in  $C_{\tilde{G}_p}$ , we have either it starts from 0 and belongs to the family denoted by  $\Gamma_{p,i}$ , or it doesn't intercept  $\{0\} \times$

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\*The proof is related in the appendix

$X$  and in this case belongs to the second family denoted by  $\Gamma'_{p,i}$ . Thus, we have  $CC_{\tilde{G}_p} = \{\Gamma_{p,i}\}_{i \in I_1} \cup \{\Gamma'_{p,i}\}_{i \in I_2}$ , where  $CC_{\tilde{G}_p}$  is the set of all components of  $C_{\tilde{G}_p}$ . Now, let  $\bar{G}_p$  be the translation of  $\tilde{G}_p$ . In the sequel, we abuse notation by writing  $C_{\tilde{G}_p} = C_{\bar{G}_p} := \{(t, x) \in [0, 1] \times X \text{ such that } \bar{G}_p(t, x) = 0\}$ . We argue by contradiction. Suppose that there is no component  $\Gamma_{p,i}$  intersecting  $(\{1\} \times X)$ , then there exists  $t_i < 1$  such that  $\Gamma_{p,i} \subset [0, t_i] \times X$ . By Proposition 2, we have  $\Gamma_{p,i} = \bigcap_{j \in J} U_{i,j}$ , where for any  $j \in J$ ,  $U_{i,j}$  is open and closed in  $C_{\tilde{G}_p}$ . Let  $D = C_{\tilde{G}_p} \setminus ([0, t_i] \times X)$ , then  $(\bigcap_{j \in J} U_{i,j}) \cap D = \emptyset$ . Since  $D$  is compact and the family  $U_{i,j}$  has the finite intersection property, we have that  $(\bigcap_{j \in J_1} U_{i,j}) \cap D = \emptyset$ , for  $J_1$  finite. Moreover, note that  $U = \bigcap_{j \in J_1} U_{i,j}$  is open and closed set containing  $\Gamma_{p,i}$ , then there exists  $j_0 \in J_1$  such that  $U = U_{i,j_0}$  included in  $[0, t_i] \times X$ . For the second type of components  $\Gamma'_{p,i}$  of  $C_{\tilde{G}_p}$ , by a similar argument, there exists  $j'_0 \in J_2$  finite such that  $U = U'_{i,j'_0}$  open and closed included in  $(r_i, 1] \times X$ .

The family  $\{(U_{i,j_0})_{i \in I_1}, (U'_{i,j'_0})_{i \in I_2}\}$  forms an open covering of the compact set  $C_{\tilde{G}_p}$ . Therefore, we can extract a finite sub-covering  $\{U_1, \dots, U_n\}$  such that the first  $k$  components are included in  $[0, \bar{t}] \times X$  and the remaining  $(n - k)$  components are included in  $(\bar{r}, 1] \times X$ , where  $\bar{t} = \max(t_i)_{1 \leq i \leq k}$  and  $\bar{r} = \min(r_i)_{k+1 \leq i \leq n}$ .

The set  $E = \bigcup_{i \leq k} U_i$  is open and closed in the compact set  $C_{\tilde{G}_p}$ . So  $E$  is compact and contained in  $[0, \bar{t}] \times X$ . Moreover,  $E^c = C_{\tilde{G}_p} \setminus E$  is also compact, open and contained in  $(\bar{r}, 1] \times X$ . We have  $C_{\tilde{G}_p} \subset \text{Im}(\tilde{G}_p) \subset A \subset \Omega = B(S, \frac{7}{16}) \subset X$ . Using the separation criteria in the Hausdorff metric space  $[0, 1] \times \Omega$ , there exists two disjoint open sets  $V_1$  and  $V_2$  in  $[0, 1] \times \Omega$  such that  $E \subset V_1$ , and  $E^c \subset V_2$ . The rest of the proof is ruled out in four steps collected in the following lemma.

**Lemma 2.** *We have*

1.  $\deg(\bar{G}_p(0, \cdot), \Omega, 0) = \deg(\bar{F}(0, \cdot), \Omega, 0)$ .
2.  $\deg(\bar{G}_p(0, \cdot), \Omega, 0) = -2$ .
3.  $\deg(\bar{G}_p(0, \cdot), \Omega, 0) = \deg(\bar{G}_p(0, \cdot), V_1(0), 0)$ .
4.  $\deg(\bar{G}_p(t, \cdot), V_1(t), 0)$  is constant in  $t \in [0, 1]$ .

Assuming this Lemma through, we claim that there exists a component  $W_p \subset C_{\tilde{G}_p}$  such that  $W_p \cap (\{0\} \times X) \neq \emptyset$  and  $W_p \cap (\{1\} \times X) \neq \emptyset$ . Indeed, by Lemma 2 (4), we have

$$\deg(\bar{G}_p(t, \cdot), V_1(t), 0) = \deg(\bar{G}_p(0, \cdot), V_1(0), 0) = -2.$$

Yet, by construction for  $t$  close enough to 1, we have  $V_1(t) = \emptyset$ . Therefore,  $\deg(\bar{G}_p(t, \cdot), V_1(t), 0) = 0$ , which establish a contradiction, as required.  $\square$

The proof of Lemma 2 is based on the degree properties and will take the rest of this section.

*Proof.* of Lemma 2

1. Let  $f$  and  $g$  given by the following: for any  $x \in \bar{\Omega}$ ,  $f(x) = \bar{F}(0, x) = \tilde{F}(0, x) - x = \alpha(\frac{x}{\|x\|}) - x$  and  $g(x) = \bar{G}_p(0, x)$ . We claim that  $0 \notin f(\partial\Omega)$ . Indeed, if there exists  $x \in \partial\Omega$  such that  $f(x) = 0$ , then  $x$  is a fixed point of  $\alpha$ . That is,  $x = \pm x_0 \notin \partial\Omega$ , which yields a contradiction. On the other hand, for any  $x \in \bar{\Omega}$ , we have  $\|\tilde{G}_p(0, x) - \alpha(\frac{x}{\|x\|})\| \leq \frac{1}{p}$ . That is,  $\|g(x) - f(x)\| \leq \frac{1}{p} \leq r$ , where  $r = d(0, f(\partial\Omega)) > 0$ . By Proposition 1 (i), we have  $\deg(\bar{G}_p(0, \cdot), \Omega, 0) = \deg(\bar{F}(0, \cdot), \Omega, 0)$ .
2. It suffices to prove that  $\deg(\bar{F}(0, \cdot), \Omega, 0) = -2$ . We recall that

$$\deg(\bar{F}(0, \cdot), \Omega, 0) = \sum_{x \in \bar{F}^{-1}(0, \cdot) \setminus \{0\}} \text{sgn}(\det D\bar{F}(0, x)) = \sum_{x \in \{x_0, -x_0\}} \text{sgn}(\det D\bar{F}(0, x)).$$

Next, we compute the differential of  $\bar{F}(0, \cdot)$  at the points  $x_0$  and  $-x_0$ . Define  $h : \mathbb{R}^{n+1} \setminus \{0\} \rightarrow \mathbb{R}^{n+1}$  by  $h(x) = \beta(\frac{x}{\|x\|})$ , then we have for  $x \neq 0$ ,  $D\bar{F}(0, \cdot)(x) = R_\theta(Dh(x)) - I_{n+1}$ .

Let us denote by  $x = (x', x_{n+1})$ , we have

$$h(x') = \frac{\|x'\| x'}{\|x\|^2},$$

and

$$(h(x))_{n+1} = \frac{x_{n+1} \sqrt{2\|x'\|^2 + x_{n+1}^2}}{\|x\|^2}.$$

The function  $h$  is differentiable at  $x_0$  and  $Dh(x_0) = 0$ . Indeed, we have  $h(x) - h(x_0) = (\frac{\|x'\| x'}{\|x\|^2}, \frac{x_{n+1}(x_{n+1}^2 + 2\|x'\|^2)^{\frac{1}{2}}}{\|x\|^2} - 1)$ .

It suffices to prove that  $h(x) - h(x_0) = o(\|x - x_0\|)$ . Since we have  $\|x - x_0\|^2 = \|x'\|^2 + (x_{n+1} - 1)^2$ , then we have only to prove that every component belongs to  $o(\|x'\|)$ . It is clear that  $\frac{\|x'\| x'}{\|x\|^2} = o(\|x'\|)$ . On the other hand, for the second component of  $h(x) - h(x_0)$ , we have

$$\begin{aligned} & \frac{x_{n+1}(x_{n+1}^2 + 2\|x'\|^2)^{\frac{1}{2}}}{\|x\|^2} - 1 = \frac{x_{n+1}^2(1 + \frac{2\|x'\|^2}{x_{n+1}^2})^{\frac{1}{2}} - \|x\|^2}{\|x\|^2} \\ & = \frac{x_{n+1}^2(1 + \frac{\|x'\|^2}{x_{n+1}^2} + o(\frac{\|x'\|^2}{x_{n+1}^2})) - \|x'\|^2 - x_{n+1}^2}{\|x\|^2} = \frac{o(\|x'\|^2)x_{n+1}^2}{\|x\|^2}. \end{aligned}$$

Hence,  $h$  is differentiable at  $x_0$  and  $Dh(x_0) = 0$ . A similar calculus leads to  $Dh(-x_0) = 0$ . Finally, we can conclude that  $D\bar{F}(0, \cdot)x_0 = D\bar{F}(0, \cdot)(-x_0) = -I_{n+1}$ . Thus, we have  $\deg(\bar{F}(0, \cdot), X, 0) = (-1)^{n+1} + (-1)^{n+1} = -2$ , since  $n$  is even, and the result follows.

3. We recall that  $V_1(t) = \{x \in \Omega : (t, x) \in V_1\}$  and let  $\Omega_1 = V_1(0)$ . We have  $0 \notin \overline{G_p(\overline{\Omega} \setminus V_1(0))}$ . Indeed, suppose that there exists  $x \in \overline{\Omega} \setminus V_1(0)$  such that  $\overline{G_p}(0, x) = 0$ . That is,  $x \notin V_1(0)$  and  $(0, x) \in C_{\tilde{G}_p} \subset V_1 \cup V_2$ . This implies that  $(0, x) \in V_2$ . Though, by construction,  $V_2$  doesn't contain  $(0, x)$  which set a contradiction. By Proposition 1 (ii), we get  $\deg(\overline{G_p}(0, \cdot), \Omega, 0) = \deg(\overline{G_p}(0, \cdot), V_1(0), 0)$ .
4. Let  $V(t) = V_1(t)$ ,  $f_t = \overline{G_p}(t, \cdot)$  and  $p_t = 0$ . We have  $0 \notin f_t(\partial V_1(t))$ . Suppose that there exists  $x \in \partial V_1(t)$  such that  $\overline{G_p}(t, x) = 0$ , then by definition  $(t, x) \in \partial V_1 = \overline{V_1} \setminus V_1$  and  $(t, x) \in C_{\tilde{G}_p} = E \cup E^c$ . Thus, we have two cases. If  $(t, x) \in E$ , then  $(t, x) \in V_1$ , an impossibility since  $(t, x) \in V_1^c$ . Otherwise, we have  $(t, x) \in E^c$ , then by construction of  $V_1$  and  $V_2$ , we have  $E^c \cap \partial V_1 = \emptyset$ , contradiction. Hence, by Proposition 1 (iii), we conclude that  $\deg(\overline{G_p}(t, \cdot), V_1(t), 0)$  is constant in  $t \in [0, 1]$ .

□

### ***Transition smooth-continuous version***

To sum up, the result holds for the functions twice continuously differentiable. In this section, we will extend our result to continuous functions and recover the fixed points of  $F$ . Now, we come to the heart of the proof of Theorem 4.

*Proof.* From the above result, there exists  $x_p$  such that  $(0, x_p) \in W_p \cap (\{0\} \times X) \subset C_{\tilde{G}_p}$ . Since the sequence  $x_p$  is bounded, then we may assume that it converges to some  $\bar{x}$ . Thus, we have  $\lim_{p \rightarrow +\infty} \overline{G_p}(0, x_p) = \overline{F}(0, \bar{x}) = \alpha(\frac{\bar{x}}{\|\bar{x}\|}) - \bar{x} = 0$ . As explained before, this yields to  $\bar{x} = \pm x_0$ . Without loss of generality, we can suppose that the sequence  $(x_p)_{p \geq 0}$  converges to  $x_0$ . We denote by  $W_k^{tr}$ , the translated component of  $W_k$ , given by  $W_k^{tr} = W_k + (x_0 - x_k)$ . Thus, in the spirit of the limsup, we put  $Z = \bigcap_{p > 1} \overline{\bigcup_{k \geq p} W_k^{tr}} = \bigcap_{p > 1} Z_p$ .

We prove that  $Z$  is connex. Since the components  $W_k^{tr}$  are connex, for all  $k$ , and contains a common point  $(0, x_0)$ , then  $\bigcup_{k \geq p} W_k^{tr}$  is connex. Therefore, the set  $Z_p = \overline{\bigcup_{k \geq p} W_k^{tr}}$  is the closure of a compact connex set. Hence,  $Z$  is connex.

Now, we claim that  $Z \subset C_F$ . Let  $(t, x) \in Z$ , then for any  $p$ , we have  $(t, x) \in Z_p$ . That is  $B((t, x), \frac{1}{p}) \cap (\bigcup_{k \geq p} W_k^{tr}) \neq \emptyset$ . By definition, we obtain that  $\exists k(p) \geq p$ , such that  $(t_p, z_p) \in B((t, x), \frac{1}{p}) \cap W_{k(p)}^{tr}$ . That is,  $(t_p, z_p) \rightarrow (t, x)$  and  $(t_p, z_p) \in W_{k(p)}^{tr}$ . Let  $z'_p = z_p - (x_0 - x_{k(p)})$ , then  $(t_p, z'_p) \in W_{k(p)} \subset C_{\tilde{G}_{k(p)}}$ . Equivalently, we have  $\tilde{G}_{k(p)}(t_p, z'_p) = z'_p$ . Since  $x_{k(p)}$  converges to  $x_0$ , then we have that  $(t_p, z'_p) \rightarrow (t, x)$ . By continuity of  $\tilde{F}$ , we obtain that  $d(\tilde{F}(t, x), \tilde{F}(t_p, z'_p)) < \frac{\epsilon}{4}$ . We have already established that for any  $p$  large enough,  $\left\| \tilde{F}(t_p, z'_p) - \tilde{G}_{k(p)}(t_p, z'_p) \right\|_\infty \leq \frac{1}{p} < \frac{\epsilon}{4}$ . This implies that,  $d(\tilde{F}(t, x), \tilde{G}_{k(p)}(t_p, z'_p)) = d(\tilde{F}(t, x), z'_p) < \frac{\epsilon}{2}$ . Hence, we conclude that  $d(\tilde{F}(t, x), x) \leq d(\tilde{F}(t, x), z'_p) + d(z'_p, x) \leq \epsilon$ . Tending  $\epsilon$  towards zero, we obtain that  $\tilde{F}(t, x) = x$ . In addition, we have both  $C_F$  and  $C_{\tilde{F}}$  are included in  $S$ . Since  $C_{\tilde{F}} \subset \text{Im}(\tilde{F}) = \text{Im}(F) \subset$

$S$  and  $F$  and  $\tilde{F}$  coincide on  $S$ , then  $C_{\tilde{F}} = C_F$ , as required. It remains to show that  $Z \cap (\{1\} \times S) \neq \emptyset$ . Using our previous result, we know that for any  $k \geq p$ , there exists  $(1, x'_k) \in W_k$ . Let  $x''_k = x'_k + (x_0 - x_k)$ . We have  $x''_k \in W_k \subset \cup_{j \geq p} W_j \subset Z_p$ . Since  $Z_p$  is compact, then  $\lim_{k \rightarrow +\infty} x''_k = y$  belongs to  $Z_p$ . On the other hand, we have  $\tilde{G}_k(1, x'_k) = x'_k$ . Passing to the limit leads to  $\tilde{F}(1, y) = y \in S$ . Therefore, we have  $(1, y) \in Z_p \cap (\{1\} \times S)$ . Consequently, for any finite set  $J$ , we have that  $\cap_{p \in J} Z_p \cap (\{1\} \times S) \neq \emptyset$ . Thus, applying the finite intersection property, we obtain that  $Z \cap (\{1\} \times S) \neq \emptyset$  proving the main result.  $\square$

## 5 Appendix

### i. Proof of Proposition 2

Let  $C$  be a connected component of the compact set  $K$  and  $(K_i(C))_{i \in I}$  be the family of all open and closed sets of  $K$  that contains  $C$ . We denote by  $K(C) = \bigcap_{i \in I} K_i(C)$ . We have,  $K(C)$  is closed in the compact set  $K$ , then compact. We prove that  $C = K(C)$ . We have  $C \subset K_i(C)$ , for any  $i \in I$ . Indeed, since  $K_i(C)^c$  is also open and closed, then if  $C \cap K_i(C)^c \neq \emptyset$ , this will contradict that  $C$  is connex. Therefore,  $C \subset K(C)$ .

Conversely, it suffices to prove that  $K(C)$  is connex. We argue by contradiction. Suppose that  $K(C) = F_1 \cup F_2$ , where  $F_1$  and  $F_2$  are nonempty, open, closed and disjoint sets. Using the separation criteria, we obtain that there exists  $U_1, U_2$  two disjoint open sets of  $K$  such that  $F_1 \subset U_1$  and  $F_2 \subset U_2$ . Since  $C \subset K(C)$ , then suppose that  $C \subset F_1 \subset U_1$ . Let  $U = U_1 \cup U_2$ , then  $K(C) \cap U^c = \emptyset$ . That is,  $\bigcap_{i \in I} K_i(C) \cap U^c = \emptyset$ . Using the finite intersection property, we obtain that there exists  $I_1$  finite such that  $\bigcap_{i \in I_1} K_i(C) \cap U^c = \emptyset$ . Therefore, there exists  $i_0 \in I_1$ , such that  $K_{i_0} \subset U$ . However,  $K_{i_0} \cap U_1$  is open and closed in  $K$  containing  $C$  but not  $K(C)$ , which establish a contradiction. □

### ii. Proof of Proposition 3

Let  $x \in \overline{B}(x_0, \mu) \cap S$ , then  $\|x - x_0\|^2 = 2(1 - x_{n+1}) \leq \mu^2$ .  
On the other hand, we have  $\|\alpha(x) - x_0\|^2 = 2(1 - x_{n+1})\sqrt{2 - x_{n+1}^2}$   
 $= \frac{2(1 - x_{n+1})(2 - x_{n+1}^2)}{1 + x_{n+1}\sqrt{2 - x_{n+1}^2}} = \frac{2(1 - x_{n+1})^2}{1 + x_{n+1}\sqrt{2 - x_{n+1}^2}} \leq \frac{2(1 - x_{n+1})^2(1 + x_{n+1})^2}{1 + x_{n+1}}$   
 $= 2(1 - x_{n+1})^2(1 + x_{n+1}) \leq 4(1 - x_{n+1})^2 \leq \mu^4 \leq \frac{\mu^2}{4}$ , for any  $0 < \mu < \frac{1}{2}$ . □

iii. Let  $0 < r < \frac{1}{3}$ , then for any  $x \in \overline{B}(x_0, r)$ , we have  $\alpha(\frac{x}{\|x\|}) \in \overline{B}(x_0, \frac{r}{\sqrt{2}\sqrt{1+\sqrt{1-r^2}}})$ .

*Proof.* Let  $x \in \overline{B}(x_0, r)$ , then  $\|x - x_0\|^2 = \|x\|^2 - 2x_{n+1} + 1 \leq r^2$ . Let  $t = x_1^2 + \dots + x_n^2$  and  $s = x_{n+1}$ , then  $t + s^2 - 2s + 1 = (1 - s)^2 + t \leq r^2$ . On the other hand, we have  $\left\| \frac{x}{\|x\|} - x_0 \right\|^2 = 2(1 - \frac{x_{n+1}}{\|x\|}) = 2(1 - \frac{s}{\sqrt{t+s^2}})$ . Put  $f(t) = 2(1 - \frac{s}{\sqrt{t+s^2}})$  for any  $t \in (0, r^2 - (1 - s)^2)$ , then  $f$  is increasing and  $f(t) \leq f(r^2 - (1 - s)^2) = 2(1 - \frac{s}{\sqrt{r^2 - 1 + 2s}}) = g(s)$ . Now the function  $g$  is defined on  $(1 - r, 1)$  and  $g$  reaches its maximum at  $(1 - r^2)$ , then  $g(s) \leq g(1 - r^2) = 2(1 - \sqrt{1 - r^2}) = \frac{2r^2}{1 + \sqrt{1 - r^2}}$ . Hence, we obtain that  $\left\| \frac{x}{\|x\|} - x_0 \right\| \leq \frac{\sqrt{2}r}{\sqrt{1 + \sqrt{1 - r^2}}} < \frac{1}{2}$ . By Proposition 3, we have

$\alpha(\frac{x}{\|x\|}) \in \overline{B}(x_0, \frac{r}{\sqrt{2}\sqrt{1+\sqrt{1-r^2}}})$ , as required.  $\square$

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